

17 **Abstract**

18 Increasing hydraulic and organic overloading has intensified upgrading pressures on municipal
19 wastewater treatment plants (WWTPs). Rising energy costs and stricter regulatory requirements further
20 constrain reliance on conventional biological reactor expansion strategies. This study evaluates primary
21 filtration applied upstream of activated sludge processes using a steady-state mass and energy balance
22 modelling approach. The assessment examines microsieving and a combined microsieving-sand filtration
23 configuration applied to overloaded WWTPs. Two representative operational scenarios are considered in
24 the analysis. These correspond to average influent flow rates of 10,000 and 15,000 m³/d under extended
25 aeration conditions. A comprehensive steady-state mass and energy balance framework was applied. This
26 framework quantifies changes in aeration tank volume, oxygen demand, aeration energy consumption,
27 and secondary sludge production. Microsieving alone achieved aeration energy reductions ranging from
28 9% to 27%. The combined microsieving-sand filtration configuration enabled aeration energy reductions
29 of up to 54%. Aeration tank volume requirements were reduced by 15-55%, depending on influent loading
30 and filtration performance. Secondary sludge production decreased substantially, while primary sieved
31 solids (PSS) with high dry solids content enhanced anaerobic digestion potential. For severely overloaded
32 systems failing to comply with Directive (EU) 2024/3019, primary filtration restored effluent quality
33 compliance without biological reactor expansion. Overall, primary filtration emerges as a space-efficient
34 upgrading strategy aligned with European energy-neutrality objectives.

35 **1. Introduction**

36 Municipal wastewater treatment plants (WWTPs) are designed to remove organic matter, suspended
37 solids, and nutrients from wastewater to protect receiving water bodies and public health (Bertrand et
38 al., 2015). Over recent decades, rapid population growth, urbanization, and economic development have
39 exerted increasing pressure on available water resources, intensifying the need for efficient wastewater
40 treatment and reuse within sustainable water management frameworks (Abdelfattah et al., 2023). Under
41 these evolving conditions, WWTPs are simultaneously required to comply with stricter effluent quality
42 standards, while treating progressively higher hydraulic and organic loads. This combination has led to a
43 marked increase in energy demand, positioning energy consumption as a critical operational and
44 economic challenge for wastewater utilities worldwide (Capodaglio and Olsson, 2019; Siatou et al., 2020).
45 In Greece, as in many European countries, municipal wastewater treatment relies predominantly on
46 activated sludge processes as the core biological treatment technology. Due to this reliance, aeration
47 tanks constitute the dominant energy consumers in most municipal WWTPs. Consequently, overall plant
48 energy performance is highly sensitive to loading conditions and aeration requirements. A survey of
49 seventeen Greek activated sludge WWTPs reported specific energy consumptions ranging from 0.13 to
50 2.28 kWh/m³ for average daily flows between 300 and 27,000 m³/d demonstrating both the magnitude
51 and variability of energy demand across installations (Siatou et al., 2020). Many of these WWTPs were
52 constructed several decades ago based on historical design assumptions. Since then, sewer network
53 expansion, population growth, and continuously increasing influent flows have resulted in widespread
54 hydraulic and organic overloading. Such operating conditions frequently lead to deteriorated treatment
55 performance and reduced process stability. As a result, operational costs increase, while overall treatment
56 efficiency declines (Prochaska and Zouboulis, 2020). Conventional upgrading strategies typically focus on
57 aeration tank expansion or the incorporation of primary sedimentation as load-reduction measures
58 (Tsamoutsoglou et al., 2022). However, biological reactor enlargement is often constrained by limited
59 space availability and high capital costs in urban and peri-urban settings. Conventional primary
60 sedimentation also requires large footprints and long hydraulic retention times (HRT). Moreover, primary
61 sedimentation produces dilute primary sludges that increase downstream thickening, dewatering, and
62 handling demands, further burdening plant operation (Caliskaner and Pena-Tijerina, 2019). These
63 technical limitations have become increasingly significant in the context of rising energy prices and
64 growing concerns over greenhouse gas (GHG) emissions. The European regulatory framework for urban
65 wastewater treatment was historically established by Directive 91/271/EEC. This framework has recently
66 been revised through the recast Urban Wastewater Treatment Directive, adopted as Directive (EU)
67 2024/3019, which introduces stricter treatment requirements and energy neutrality targets for
68 wastewater treatment plants serving more than 10,000 population equivalents (PE) by 2040. This
69 development intensifies the need for treatment strategies that reduce organic and solids loading, thereby
70 improving the overall efficiency and energy performance of WWTPs. Conventional primary
71 sedimentation, however, exhibits inherent performance limitations under modern operating conditions.
72 Typical removal efficiencies rarely exceed 50-60% for total suspended solids (TSS) and 25-35% for
73 biochemical oxygen demand (BOD₅), while performance remains highly sensitive to hydraulic loading
74 variations common in tourist regions and combined sewer systems (Metcalf and Eddy, 1991; Lema and
75 Martínez, 2017). During peak flow events, reduced sedimentation efficiency allows increased particulate
76 organic matter to enter downstream biological processes. This, in turn, increases oxygen demand,

77 biomass growth, sludge production, and overall energy consumption in activated sludge systems,
78 exacerbating operational challenges in overloaded plants (Brown, 1987). Consequently, conventional
79 primary sedimentation alone is increasingly regarded as insufficient to simultaneously address
80 overloading, energy efficiency, and regulatory compliance. Many WWTPs operate under overloaded
81 conditions, mainly due to high hydraulic loading. In such cases, expansion of the activated sludge process
82 is often necessary. However, in many existing facilities, the available space is limited, which restricts
83 further expansion and creates the need for compact upgrading solutions (Tsamoutsoglou et al., 2022).
84 Microsieves, apart from their high efficiency in suspended solids removal, have a very small footprint,
85 requiring about one-tenth of the area of conventional primary clarifiers. In addition, particle removal,
86 sludge thickening and dewatering can be combined in a single unit, making microsieves a practical option
87 for modern wastewater treatment plants (Turovskiy and Mathai, 2006). In this context, advanced primary
88 treatment technologies have emerged as promising alternatives capable of effectively removing
89 suspended solids and particulate organic matter directly from raw wastewater (Tchobanoglous et al.,
90 2014). Micro- and nanoplastics (MNPLs) are increasingly detected in wastewater streams, while WWTPs
91 represent the last barrier limiting their release to receiving waters (Gupta et al., 2026). These particles
92 frequently associate with suspended solids and can therefore be removed together with particulate
93 matter during primary filtration processes such as microsieving. Removing solids prior to the aeration tank
94 limits the amount of particulate organic material entering the biological treatment units and prevents a
95 portion of the associated MNPLs from reaching the aeration tank (Bayo et al., 2020). At the same time,
96 capturing MNPLs during primary treatment reduces the likelihood that they will appear in the final
97 effluent (Alatabe et al., 2025). Enhanced solids removal at the primary stage substantially reduces organic
98 loading to biological treatment, resulting in lower aeration requirements, reduced sludge production, and
99 decreased overall energy consumption (Blanca et al., 2000; Lema and Martínez, 2017). Among these
100 technologies, microsieving has received particular attention as a compact, gravity-driven process
101 requiring no chemical addition and minimal energy input while achieving high suspended solids removal
102 efficiencies (Bourgeois et al., 2003; Grabbe et al., 1998; Wilén et al., 2012). By reducing particulate and
103 organic loading to the activated sludge process, microsieving increases treatment capacity and lowers
104 aeration energy demand (Sutton et al., 2008). Integrating microsieving with continuous sand filtration
105 further enhances fine particulate removal. Compared with conventional primary sedimentation, this
106 configuration requires a smaller footprint, lower capital and operating costs, and produces primary solids
107 with substantially higher dry solids content. Advanced primary filtration has not yet been comprehensively
108 assessed as an upgrading strategy for hydraulically and organically overloaded municipal WWTPs.
109 Although most available studies focus on (Gikas, 2017; Venditto et al., 2020; Boutros et al., 2022;
110 Tsamoutsoglou et al., 2024) individual filtration technologies and report removal efficiencies in terms of
111 TSS and COD, they do not provide a quantitative link between upstream removal of particulate and
112 biodegradable organic matter and overall plant performance. Specifically, the effects on aeration energy
113 demand, activated sludge reactor volume requirements, and secondary sludge production under
114 extended aeration conditions remain largely unexplored. As a result, the extent to which enhanced
115 primary filtration could effectively replace conventional biological reactor expansion remains
116 insufficiently understood. In this study, advanced primary filtration is evaluated as an integrated
117 upgrading option for WWTPs operating under extended aeration, focusing on microsieving and a
118 combined microsieving-sand filtration configuration installed upstream of the activated sludge process,

119 under two representative operating scenarios corresponding to average influent flow rates of 10,000 and
120 15,000 m³/d. Using a steady-state mass and energy balance approach, the effects of upstream removal of
121 suspended solids and BOD₅ on oxygen demand, aeration energy consumption, aeration tank volume
122 requirements, and secondary sludge production are quantified. The innovation lies in the early removal
123 of suspended solids prior to the aeration tank, leading to reduced energy aeration requirements and
124 increased treatment capacity without any need for expansion or modification of the biological treatment
125 system. Under the examined assumptions and operating conditions, this approach has the potential to
126 support compliance with the requirements of Directive (EU) 2024/3019 through upstream solids and load
127 management.

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129 **2. Methodology and steady-state modelling framework**

130 Section 2 presents the methodological framework adopted in this study. The detailed description of the
131 modelling approach and the evaluated treatment scenarios is provided in the following subsections. The
132 methodology is based on steady-state mass and energy balance calculations applied to activated sludge
133 systems in order to assess the potential benefits of implementing primary filtration upstream of the
134 biological treatment stage.

135

136 **2.1 Methodological framework**

137 This study aims to quantify the benefits derived from the implementation of primary filtration systems
138 upstream of the biological treatment stage in hydraulically and organically overloaded WWTPs. Two
139 representative treatment scenarios are developed in order to evaluate the impact of microsieving and
140 combined microsieving-sand filtration on the performance of activated sludge systems operating under
141 extended aeration conditions. The first scenario represents a moderately overloaded WWTP with an
142 average daily influent flow rate of 10,000 m³/d, which complies with the effluent discharge limits of
143 Directive (EU) 2024/3019. The second scenario represents a severely overloaded WWTP with an average
144 daily influent flow rate of 15,000 m³/d, which fails to meet the effluent quality requirements of the same
145 Directive under baseline operating conditions. The benefits of primary filtration are quantified in terms of
146 reductions in aeration tank volume, oxygen demand, specific aeration energy consumption, and
147 secondary sludge production. These performance indicators are calculated for two upgrading
148 configurations: (i) microsieving as a standalone primary treatment step and (ii) a combined treatment
149 configuration consisting of microsieving followed by sand filtration. Although microsieving and filtration
150 technologies are individually investigated in previous studies, their systematic assessment as integrated
151 upstream load-management strategies for overloaded municipal WWTPs remains limited. The novelty of
152 the present work lies in the combined evaluation of microsieving and microsieving-sand filtration as
153 quantitative upgrading solutions, explicitly linked to aeration energy demand, reactor sizing, sludge
154 production, regulatory compliance, and energy-neutrality objectives. All mass and energy balance
155 calculations were implemented using a spreadsheet-based calculation framework (Microsoft Excel). The
156 framework applies standard steady-state design equations for activated sludge systems and ensures
157 consistent treatment of all evaluated scenarios and upgrading configurations.

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159 **2.2 Description of the evaluated treatment scenarios**

160 Two realistic wastewater treatment scenarios are defined, representing municipal WWTPs operating
161 under Mediterranean conditions. The scenarios differ in terms of influent flow rate and baseline effluent
162 quality performance. Scenario 1 corresponds to an overloaded WWTP treating an average daily influent
163 flow of 10,000 m³/d, which meets the effluent discharge limits of Directive (EU) 2024/3019 under baseline
164 operation. Scenario 2 corresponds to a more severely overloaded WWTP with an average daily influent
165 flow of 15,000 m³/d, which does not comply with the effluent discharge limits prior to upgrading.

166 For each scenario, three treatment configurations are evaluated:

- 167 1. A baseline configuration without primary filtration
- 168 2. An upgraded configuration incorporating microsieving as the sole primary filtration step
- 169 3. A further upgraded configuration combining microsieving and sand filtration

170 The upgraded configurations are assessed under two levels of primary filtration performance, defined as
171 satisfactory and excellent, corresponding to different removal efficiencies for TSS and BOD₅.

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173 **2.3. Primary filtration systems**

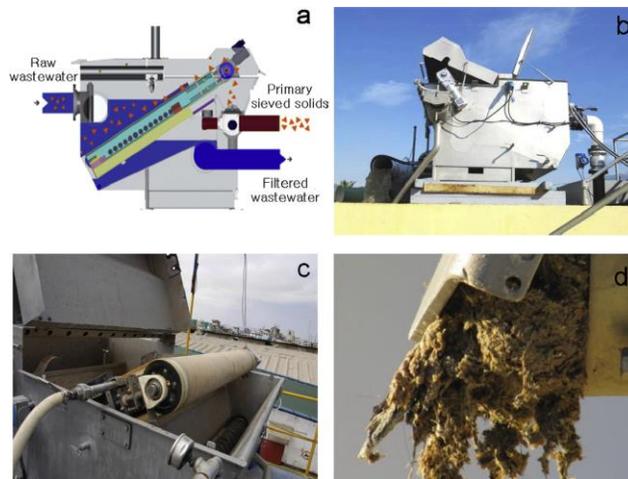
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175 **2.3.1 Microsieve**

176 Microsieving has emerged as a primary solids separation alternative (Gupta, 2018) (Fig. 1). Compared with
177 conventional primary clarifiers, microsieves achieve 50-80% higher suspended solids removal with
178 minimal footprint and reduced costs. This improvement allows efficient solids capture without expanding
179 clarification infrastructure. During operation, filtration occurs through rotating self-cleaning cloth media.
180 Filters typically operate with pore sizes between 200-350 μm. Retained material is collected in troughs
181 and conveyed continuously by mechanical auger screw systems. This process produces PSS lacking cellular
182 biomass. Such PSS exhibit solids content suitable for energy recovery. Their characteristics favor anaerobic
183 digestion and thermal treatment applications (Gikas, 2014). Compared with conventional sludge, these
184 solids exceed 30% dry content, simplifying handling and downstream processing. This results from
185 absence of cellular biomass (Koliopoulos and Gikas, 2013). Accordingly, PSS may also support compost
186 production routes.

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 190 **Figure 1.** (a) Schematic diagram of microsieve, (b) Photograph of microsieve. (c) Fabric belt of the
 191 microsieve with cake of solids and screw auger. (d) PSS exiting the microsieve (Gikas, 2017).
 192

193 **2.3.2. Combined treatment: microsieving and sand filtration**

194 In combined treatment, microsieving precedes sand filtration polishing. A representative technology is
 195 the CBUMF, a sand-based filtration system with continuous self cleaning media (Feldthusen, 2004). It
 196 operates under counter current filtration conditions driven by an airlift. Sand moves downward opposite
 197 the upward wastewater flow. Wastewater enters the filter bottom and distributes evenly through
 198 specially designed inlet arms channels. Clarified effluent is collected at the top. TSS are retained within
 199 the sand matrix during upward filtration process operation. An airlift continuously conveys sand toward
 200 the upper filter section. Accumulated organic matter is separated using a static meandric separator.
 201 Separated solids are discharged as a concentrated waste stream while regenerated sand returns
 202 downward. Sand gradually moves toward the conical base. This occurs through continuous media
 203 regeneration maintaining stable long term filtration performance during operation. When required,
 204 chemical flocculants may enhance particle removal efficiency. Their use depends on influent
 205 characteristics and operational objectives. Such additions are selected according to treatment goals and
 206 wastewater properties (Tsamoutsoglou et al., 2024).
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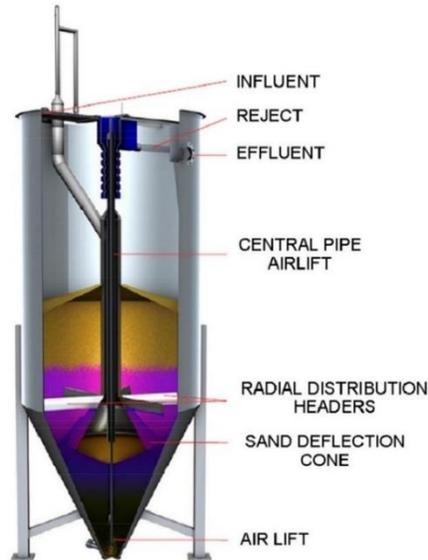


Figure 2. Schematic diagram of the CBUMF (Gikas, 2017).

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Microsieving units operate with automatic self-cleaning systems and therefore do not require manual cleaning of the filter mesh (typically 200-350 μm) during normal operation. Similarly, the sand filtration unit is designed for continuous self-cleaning operation, with internal media regeneration that does not require interruption of the treatment process for backwashing, in contrast to conventional sand filters. As a result, both primary filtration systems can be operated with limited maintenance effort, while maintaining stable filtration performance. In terms of energy demand, microsieving exhibits a low specific electricity consumption of approximately 0.005 kWh/m³ of raw wastewater, while the corresponding value for continuous sand filtration is around 0.010 kWh/m³ as reported by Gikas (2017). Under the examined configurations, the only recurring operational cost may be associated with the use of coagulants, when required, to enhance filtration performance and solids removal.

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2.4. Activated sludge process modeling

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Fig. 3 illustrates the mass balance of the activated sludge process used in secondary wastewater treatment. Influent wastewater enters the aeration tank, where microorganisms are supplied with sufficient oxygen to promote the oxidation of organic matter. After aeration, the mixed liquor flows into the secondary clarifier, where the biomass is allowed to settle. A portion of the settled sludge is recycled back to the aeration tank as return activated sludge (RAS), while the remaining fraction is discharged to the sludge treatment line. To evaluate the potential benefits of implementing primary filtration systems in overloaded WWTPs, comprehensive mass and energy balance analyses were conducted for the activated sludge process.

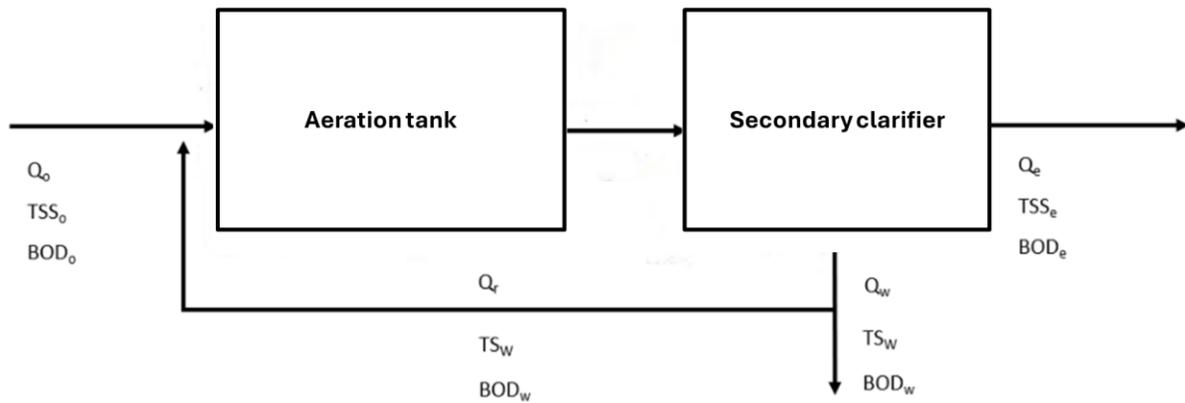


Fig 3. Mass balances in the activated sludge process.

The parameters used for the calculation of mass balances in the activated sludge process are as follows:

- Average daily influent flow rate, Q_o , m^3/d
- TSS concentration at the inlet of the aeration tank, TSS_o , mg/L
- BOD_5 concentration at the inlet of the aeration tank, BOD_o , mg/L
- Aeration tank volume, V , m^3
- Mixed liquor suspended solids (MLSS) concentration in the aeration tank, $MLSS$, mg/L
- Average daily effluent flow rate, Q_e , m^3/d
- Effluent TSS concentration from the secondary clarifier, TSS_e , mg/L
- Effluent BOD concentration from the secondary clarifier, BOD_e , mg/L
- Waste activated sludge flow rate, Q_w , m^3/d
- Total solids (TS) concentration in the waste activated sludge, TS_w , mg/L
- BOD_5 concentration in the waste activated sludge, BOD_w , mg/L
- Return sludge flow rate, Q_r , m^3/d
- Food-to-microorganism ratio (F/M), $F:M$, $kg \cdot BOD_5 / kg \cdot MLVSS \cdot d$
- HRT in the aeration tank, h
- Solids retention time (SRT) in the aeration tank, d
- Volumetric loading rate (VLR) of the aeration tank VL , $kg \cdot BOD_5 / d \cdot m^3$
- Wastewater temperature, T , $^{\circ}C$

2.5. Design criteria and process assumptions

Table 1 presents the design ranges for VLR, food-to-microorganism ratio (F/M), and HRT adopted for extended aeration activated sludge systems. All mass and energy balance calculations were performed assuming operation within these design limits.

Table 1. Design characteristics of the activated sludge process (Tchobanoglous et al., 2003).

Activated sludge process	VL ($kg \text{ BOD}_5/d \cdot m^3$)	F/M ($kg \text{ BOD}/kg \text{ MLVSS} \cdot d$)	HRT (h)
Extended aeration	0.1-0.3	0.05-0.15	18-36

261 The aeration tank volume for the activated sludge process was calculated according to the Eq.1
262 (Tchobanoglous et al., 2003):
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$$V = \frac{BOD_o \cdot Q_o}{VL} \quad 1$$

264

265 Where:

266 V is the aeration tank volume (m³)

267 BOD_o is the influent BOD₅ concentration to the aeration tank (mg/L)

268 Q_o is the average daily influent flow rate (m³/d)

269 VL is the volumetric loading rate of the aeration tank (kg BOD₅ /d·m³)
270

271 The HRT is defined as the ratio of the aeration tank volume to the average daily influent flow rate and is
272 calculated as follows (Eq.2) (Tchobanoglous et al., 2003):
273

$$HRT = \frac{V}{Q_o} \quad 2$$

274 Where:

275 HRT is the hydraulic retention time in the aeration tank (h)

276 V is the aeration tank volume (m³)

277 Q_o is the average daily influent flow rate (m³/d)
278

279 The food-to-microorganism (F/M) ratio is a fundamental operational parameter of the activated sludge
280 process and is defined as (Tchobanoglous et al., 2003) (Eq.3):
281

$$\frac{F}{M} = \frac{BOD_o \cdot Q_o}{MLVSS \cdot V} \quad 3$$

282

283 Where:

284 F/M is the food-to-microorganism ratio (kg BOD₅ /kg MLVSS·d)

285 BOD_o is the influent BOD₅ concentration (mg/L)

286 Q_o is the influent flow rate (m³/d)

287 MLVSS is the concentration (mg/L) of volatile suspended solids in the aeration tank and is assumed
288 to be equal to 75% of the MLSS concentration (Tchobanoglous et al., 2003).

289 V is the aeration tank volume (m³)
290

291 The RAS flow rate to the aeration tank, Q_r, was calculated as follows (Tchobanoglous et al., 2003) (Eq.4):
292

$$Q_r = \frac{Q_o \cdot TSS_o}{TS_w - MLSS} \quad 4$$

293

294 Where:

295 Q_r is the return activated sludge flow rate (m³/d)

296 Q_o is the influent flow rate (m^3/d)
 297 TSS_o is the influent TSS concentration (mg/L)
 298 TS_w is the total solids concentration in waste activated sludge (mg/L)
 299 MLSS is the mixed liquor suspended solids concentration in the aeration tank (mg/L)

300
 301 The waste activated sludge flow rate, Q_w , was calculated using the following Eq.5 (Tchobanoglous et al.,
 302 2003):
 303

$$Q_w = \frac{\frac{V \cdot X}{SRT} - Q_e \cdot TSS_e}{TS_w} \quad 5$$

304
 305 Where:

306 Q_w is the waste activated sludge flow rate (m^3/d)
 307 V is the aeration tank volume (m^3)
 308 X is the MLSS concentration in the aeration tank (mg/L)
 309 SRT is the solids retention time (d)
 310 Q_e is the effluent flow rate (m^3/d)
 311 TSS_e is the effluent TSS concentration (mg/L)
 312 TS_w is the total solids concentration in the waste sludge (mg/L)

313
 314 Oxygen serves as the terminal electron acceptor in the energy metabolism of aerobic heterotrophic
 315 microorganisms in the aeration tank. The oxygen demand associated with carbonaceous BOD_5 removal
 316 and nitrification was calculated using the following Eq.6 (Tchobanoglous et al., 2003):
 317

$$\text{Oxygen demand} = Q_o \cdot \left\{ (BOD_o - BOD_e) \cdot \left[\left(\frac{1}{f} \right) - (1.42 \cdot Y_{obs}) \right] + 4.57 \cdot (TKN_o - NH_4 - N) \right\} \quad 6$$

318
 319 Where:

320 Oxygen demand is the total oxygen requirement for carbonaceous BOD_5 removal and nitrification
 321 ($kg O_2/h$)
 322 Q_o is the average daily influent flow rate (m^3/d)
 323 BOD_o is the influent BOD_5 concentration (mg/L)
 324 BOD_e is the effluent BOD_5 concentration (mg/L)
 325 f is the BOD_5/BOD_u ratio, assumed equal to 0.68 (Tchobanoglous et al., 2003)
 326 Y_{obs} is the observed biomass yield coefficient ($kg VSS/kg BOD_5$)
 327 TKN_o is the influent total Kjeldahl nitrogen concentration (mg/L)
 328 NH_4-N is the effluent ammonium nitrogen concentration (mg/L)

329
 330 The observed yield coefficient, Y_{obs} , was calculated as follows (Tchobanoglous et al., 2003) (Eq.7):
 331

$$Y_{obs} = \frac{Y}{(1 + k_{nd} \cdot SRT)} \quad 7$$

332 Where:

333 Y_{obs} is the observed yield coefficient with recycle (kg VSS / kg BOD₅)

334 Y is the synthesis yield coefficient, equal to 0,6, kg VSS/kg BOD₅ (Tchobanoglous et al., 2003)

335 k_{nd} is the endogenous decay coefficient (1/d)

336 SRT is the solids retention time (d)

337

338 The endogenous decay coefficient, k_{nd} , for a wastewater temperature of 15 °C was calculated using the
339 following temperature correction (Eq.8) (Tchobanoglous et al., 2003):

340

$$K_{nd} = k_{d20} \cdot 1,024^{(T-20)} \quad 8$$

341

342 Where:

343 K_{nd} is the endogenous decay coefficient at temperature T (1/d)

344 k_{d20} is the endogenous decay coefficient at 20 °C, equal to 0,06 1/d (Lin, 2007)

345 T is the wastewater temperature (°C)

346

347 The power requirement, P_w , to meet the oxygen demand in the aeration tank was calculated as follows
348 (Tchobanoglous et al., 2003) (Eq.9):

349

$$P_w = \frac{\text{Oxygen demand}}{\text{SAE}} \quad 9$$

350

351 Where:

352 P_w is the power requirement for aeration (kWh/h)

353 Oxygen demand is the total oxygen requirement (kg O₂/h)

354 SAE is the standard aeration efficiency (SAE), defined as the oxygen transfer rate per unit of energy
355 input. For diffused aeration systems, SAE values typically range from 2 to 8 kg O₂/kWh according to
356 the literature (Tchobanoglous et al., 2003). A value of 5 kg O₂/kWh was selected for the calculations
357 of both scenarios.

358

359 Because the same aeration system characteristics and SAE value were assumed for all evaluated scenarios,
360 the resulting specific aeration energy consumption (kWh/m³) remains comparable between scenarios,
361 while absolute aeration energy demand varies according to the calculated oxygen requirements.

362

363 The energy requirement of the sludge treatment line, including thickening, dewatering and composting,
364 is estimated using the concept of specific energy consumption (SEC), which relates the total energy
365 consumption to the secondary sludge flow rate. The total energy consumption of the sludge line, E_{sludge} ,
366 is calculated as follows (Eq. 10):

367

$$E_{sludge} = Q_w \cdot SEC_{sludge} \quad 10$$

368

369 Where:

370 E_{sludge} is the total energy consumption of the sludge treatment line (kWh/d),

371 Q_W is the secondary sludge (waste activated sludge) flow rate (m^3/d), and

372 SEC_{sludge} is the specific energy consumption of the sludge treatment line (kWh/m^3). A constant SEC
373 value of $1.0 \text{ kWh}/\text{m}^3$ of secondary sludge was adopted for the calculations for both scenarios
374 (Tchobanoglous et al., 2003).

375

376 **2.6 Influent and effluent characteristics and primary filtration performance**

377 The influent and effluent wastewater quality characteristics adopted for the mass and energy balance
378 calculations were selected in full consistency with the methodological framework described in Sections
379 2.1-2.5. Average influent wastewater quality data from a representative municipal WWTP operating under
380 Mediterranean conditions were used as a reference case, reflecting the high hydraulic and organic loading
381 conditions typically encountered in overloaded WWTPs in Southern Europe. For all evaluated
382 configurations, the same average influent flow rate and raw wastewater characteristics were applied in
383 order to isolate the effects of primary filtration on the performance of the downstream activated sludge
384 process. Any reductions in TSS and BOD_5 entering the aeration tanks were attributed exclusively to the
385 assumed removal efficiencies of the primary filtration systems, namely microsieving and combined
386 microsieving-sand filtration. The selected removal efficiencies correspond to conservative and upper-
387 range values reported for full-scale applications in the literature. For each treatment scenario, two levels
388 of primary filtration performance were considered, defined as satisfactory and excellent. These
389 performance levels directly determine the influent TSS and BOD_5 concentrations at the inlet of the
390 biological treatment stage and, consequently, influence the calculated aeration tank volumes, oxygen
391 demand, aeration energy consumption, and secondary sludge production.

392

393 Scenario 1 represents an overloaded WWTP receiving $10,000 \text{ m}^3/\text{d}$ influent. Under baseline operating
394 conditions, the WWTP complies with effluent discharge limits set by Directive (EU) 2024/3019. Influent
395 and effluent characteristics and assumed primary filtration performance are summarized in Table 2.
396 Microsieving reduces influent TSS and BOD_5 by 30% and 15%. Under excellent performance, TSS and BOD_5
397 are reduced by 60% and 35%, respectively. Despite this substantial reduction in organic and solids loading
398 entering biological treatment, effluent TSS and BOD_5 concentrations were assumed constant across all
399 configurations. This assumption reflects stable secondary treatment performance under extended
400 aeration conditions and enables direct comparison of process requirements and energy demand.

401

402 Scenario 2 corresponds to a more severely overloaded WWTP with an average daily influent flow rate of
403 $15,000 \text{ m}^3/\text{d}$, which fails to comply with the effluent quality requirements of Directive (EU) 2024/3019
404 under baseline operating conditions. The influent and effluent quality characteristics and the assumed
405 primary filtration performance for this scenario are presented in Table 2. The introduction of primary
406 filtration substantially reduces the organic and solids loading entering the biological treatment stage.
407 Under both satisfactory and excellent performance levels of the primary filtration systems, effluent TSS
408 and BOD_5 concentrations were assumed to comply with the regulatory discharge limits. This highlights the
409 effectiveness of primary filtration as an upgrading strategy capable of restoring compliance in overloaded
410 WWTPs without the need for biological reactor expansion.

411
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Table 2. Influent and effluent quality characteristics and assumed performance of primary filtration systems for Scenario 1 and 2.

Scenario 1			
Parameter	Overloaded WWTP	Unit upgrade with microsieving	Unit upgrade with combined treatment
Influent parameters	-	Satisfactory performance	Excellent performance
Average daily flow rate, (m³/d)	10,000	10,000	10,000
TSS removal, (%)	-	30	60
BOD₅ removal, (%)	-	15	35
Influent TSS, TSS₀ (mg/L)	713	499	285
Influent BOD₅, BOD₀ (mg/L)	466	396	303
Effluent TSS, TSS_e (mg/L)	30	30	30
Effluent BOD₅, BOD_e (mg/L)	20	20	20
Effluent TSS limit (Directive (EU) 2024/3019)	< 35 mg/L	< 35 mg/L	< 35 mg/L
Effluent BOD₅ limit (Directive (EU) 2024/3019)	< 25 mg/L O ₂	< 25 mg/L O ₂	< 25 mg/L O ₂
Scenario 2			
Parameter	Overloaded WWTP	Unit upgrade with microsieving	Unit upgrade with combined treatment
Influent parameters	-	Satisfactory performance	Excellent performance
Average daily flow rate, (m³/d)	15,000	15,000	15,000
Influent TSS, TSS₀ (mg/L)	713	499	285
Influent BOD₅, BOD₀ (mg/L)	466	396	303
Effluent TSS, TSS_e (mg/L)	70	30	30
Effluent BOD₅, BOD_e (mg/L)	50	20	20
Effluent TSS limit (Directive (EU) 2024/3019)	< 35 mg/L	< 35 mg/L	< 35 mg/L
Effluent BOD₅ limit (Directive (EU) 2024/3019)	< 25 mg/L O ₂	< 25 mg/L O ₂	< 25 mg/L O ₂

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Table 3 summarizes all parameters and values used in the steady-state mass and energy balance calculations for the activated sludge process. The same set of assumptions was applied to both evaluated scenarios, allowing direct comparison between baseline and upgraded configurations. Differences between scenarios are solely related to influent flow rate and resulting process loading.

Table 3. Parameters and values used for steady-state mass and energy balance calculations.

Parameter	Scenario	Overloaded WWTP	Microsieving upgrade - satisfactory	Microsieving upgrade - maximum	Combined treatment - satisfactory	Combined treatment - maximum
Average daily flow (Q_0), m ³ /d	Scenario 1	10,000	10,000	10,000	10,000	10,000
	Scenario 2	15,000	15,000	15,000	15,000	15,000
TSS removal (%)	Both	-	30	60	60	90
BOD ₅ removal (%)	Both	-	15	35	35	55
Influent TSS in aeration tank (TSS ₀), mg/L	Both	713	499	285	285	71
Influent BOD ₅ in aeration tank (BOD ₀), mg/L	Both	466	396	303	303	210
Influent TKN ₀ , mg/L	Both	8	8	8	8	8
Effluent in secondary clarifier						
Effluent TSS (TSS _e), mg/L	Scenario 1	30	30	30	30	30
	Scenario 2	70	30	30	30	30
Effluent BOD ₅ (BOD _e), mg/L	Scenario 1	20	20	20	20	20
	Scenario 2	50	20	20	20	20
Effluent NH ₄ -N, mg/L	Scenario 1	1.5	1.5	1.5	1.5	1.5
	Scenario 2	3.0	1.5	1.5	1.5	1.5
Activated sludge design parameters						
MLSS, mg/L	Both	3,500	3,500	3,500	3,500	3,500
MLVSS/MLSS ratio, -	Both	0.75	0.75	0.75	0.75	0.75
MLVSS, mg/L	Both	2,625	2,625	2,625	2,625	2,625
VL, kg BOD ₅ /d·m ³	Both	0.30	0.30	0.30	0.30	0.30
F/M ratio, kg BOD ₅ /kg MLVSS·d	Both	0.11	0.11	0.11	0.11	0.11
HRT, h	Both	37	32	24	24	17
SRT, d	Both	30	30	30	30	30
Wastewater temperature, °C	Both	15	15	15	15	15
Kinetic and stoichiometric parameters						
f = BOD ₅ /BOD _u , -	Both	0.68	0.68	0.68	0.68	0.68
Y, kg VSS/kg BOD ₅	Both	0.60	0.60	0.60	0.60	0.60
K _{nd} at 20 °C, 1/d	Both	0.060	0.060	0.060	0.060	0.060
K _{nd} at 15 °C, 1/d	Both	0.053	0.053	0.053	0.053	0.053
Y _{obs} , kg VSS/kg BOD ₅	Both	0.30	0.30	0.30	0.30	0.30
Energy parameters						
SAE, kg O ₂ /kWh	Both	5	5	5	5	5
SEC, kWh/m ³	Both	1.0	1.0	1.0	1.0	1.0

420 2.7 Sensitivity analysis

421 To evaluate the robustness of the obtained results, a sensitivity analysis was conducted on key operational
422 and design parameters influencing aeration demand and reactor sizing. Influent TSS and BOD₅ removal
423 efficiencies of the primary filtration systems were varied by $\pm 20\%$, while SAE and SRT were independently
424 varied within typical operational ranges reported in the literature. The objective of this analysis was to
425 assess the stability of the predicted energy savings and aeration tank volume reductions under realistic
426 operational uncertainty.

427

428 3. Results

429

430 3.1 Scenario 1: moderately overloaded WWTP (10,000 m³/d)

431 Implementing primary filtration upstream reduces hydraulic and organic loading of overloaded WWTPs.
432 These upstream load reductions directly lowered aeration requirements, energy consumption, and
433 secondary sludge production. Table 4 presents calculated performance indicators for Scenario 1. Baseline
434 operation and post upgrade configurations are compared. Microsieving and combined microsieving sand
435 filtration were evaluated under satisfactory and excellent performance levels.

436

437

Table 4. Summary of calculated performance indicators for scenario 1.

Parameter	Baseline	Microsieving	Microsieving	Combined treatment (microsieve followed by CBUMF)	Combined treatment (microsieve followed by CBUMF)
Performance		Satisfactory	Excellent	Satisfactory	Excellent
Aeration tank volume, (m ³)	15,533	13,200	10,100	10,100	7,000
Reduction (%)	-	15	35	35	55
Oxygen demand, (kg O ₂ /h)	235	202	157	157	113
Reduction (%)	-	14	33	33	52
Aeration energy, (kWh/m ³)	0.11	0.10	0.08	0.08	0.05
Reduction (%)	-	9	27	27	54
Secondary sludge, (m ³ /d)	189	155	110	110	65
Reduction (%)	-	19	43	43	66
Sludge energy requirements, (kWh/d)	189	155	110	110	65
Reduction, (%)	-	19	43	43	66

438

439 The results indicated that microsieving alone provided significant performance improvements, yielding
440 reductions of up to 33% in oxygen demand and 27% in aeration energy consumption under excellent
441 operating conditions. However, the combined microsieving-sand filtration configuration consistently
442 achieved the greatest reductions across all evaluated indicators. Under excellent combined treatment
443 performance, aeration energy consumption was reduced by more than 50%, while aeration tank volume
444 and secondary sludge production decreased by approximately 55% and 66%, respectively. The energy

445 requirements of the sludge treatment line were reduced by 19% and up to 43% with microsieving, while
 446 the combined treatment configuration achieved a reduction of approximately 66% under excellent
 447 operating conditions. These findings demonstrated the strong sensitivity of extended aeration activated
 448 sludge systems to upstream removal of suspended solids and biodegradable organic matter.

449

450 3.2 Primary and secondary sludge production (Scenario 1)

451 The reduction in secondary sludge production achieved through the implementation of primary filtration
 452 systems is accompanied by the generation of PSS characterized by a high dry solids content (Gikas, 2014).
 453 This redistribution of solids from the biological treatment stage to the primary treatment stage
 454 significantly alters the overall sludge production profile. Table 5 summarizes the resulting distribution of
 455 sludge streams for Scenario 1 under baseline conditions and after upgrading with microsieving and
 456 combined microsieving-sand filtration.

457

458 **Table 5.** Primary and secondary sludge production for scenario 1.

Parameter	Baseline	Microsieving	Microsieving	Combined treatment (microsieve followed by CBUMF)	Combined treatment (microsieve followed by CBUMF)
		Satisfactory	Excellent	Satisfactory	Excellent
Secondary sludge, (t/d, wet)	197	161	114	114	68
Reduction, (%)	-	19	43	43	66
PSS, (t/d, dry)	-	0.5	0.6	0.6	0.6

459

460 Primary filtration substantially reduced secondary biological sludge production. Reductions ranged from
 461 19% under satisfactory microsieving to 66% under excellent combined treatment. In contrast, PSS
 462 production remained relatively constant. This stream formed a small yet highly concentrated solids
 463 fraction. Unlike secondary sludge, PSS exhibited higher dry solids content, lower water binding, improved
 464 dewaterability, and reduced handling volumes. Overall sludge treatment line loading decreased despite
 465 additional solids. Redistributing solids improved operational flexibility and supported downstream energy
 466 recovery through anaerobic digestion or thermal treatment.

467

468 3.3 Scenario 2: severely overloaded WWTP (15,000 m³/d)

469 Under baseline conditions, Scenario 2 failed effluent quality compliance. This failure reflects severe
 470 hydraulic and organic overloading of the biological treatment stage. Primary filtration implementation
 471 upstream restored regulatory compliance. It also substantially reduced biological treatment
 472 requirements. Table 6 summarizes calculated performance indicators for Scenario 2 under baseline
 473 operation and after upgrading with microsieving and combined microsieving sand filtration, assuming
 474 satisfactory and excellent performance levels.

475

Table 6. Summary of calculated performance indicators for scenario 2.

Parameter	Baseline	Microsieving	Microsieving	Combined treatment (microsieve followed by CBUMF)	Combined treatment (microsieve followed by CBUMF)
		Satisfactory	Excellent	Satisfactory	Excellent
Aeration tank volume, (m³)	23,300	19,800	15,150	15,150	10,500
Reduction, (%)	-	15	35	35	54
Oxygen demand, (kg O₂/h)	348	303	236	236	170
Reduction, (%)	-	13	32	32	51
Aeration energy, (kWh/m³)	0.11	0.10	0.08	0.08	0.05
Reduction, (%)	-	9	27	27	54
Secondary sludge, (m³/d)	209	165	165	165	97
Reduction, (%)	-	21	21	21	54

477

478 Microsieving alone substantially reduced hydraulic and organic loading on the biological treatment stage.
 479 Under excellent operating conditions, oxygen demand decreased by up to 32% and aeration energy
 480 consumption by 27%. The combined microsieving and sand filtration configuration consistently delivered
 481 the greatest overall performance benefits. When operating at excellent combined treatment
 482 performance, aeration energy demand was reduced by more than 50%, while aeration tank volume and
 483 secondary sludge production decreased by approximately 54%. Importantly, compliance with effluent TSS
 484 and BOD₅ limits was achieved in all upgraded configurations without biological reactor expansion,
 485 demonstrating that primary filtration could serve as a space-efficient and energy-efficient capacity-
 486 recovery and compliance-restoration strategy for severely overloaded WWTPs.

487

488 Table 7 summarizes the results of the sensitivity analysis of key operational and design parameters
 489 affecting aeration energy demand and aeration tank volume, highlighting the relative influence of primary
 490 filtration performance, aeration efficiency, and biological operating conditions on the robustness of the
 491 predicted energy and volume reductions.

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Table 7. Sensitivity analysis of key operational and design parameters affecting aeration energy demand and aeration tank volume

Parameter varied	Variation range	Effect on aeration energy demand	Effect on aeration tank volume	Sensitivity level	Key interpretation
Influent TSS removal efficiency (primary filtration)	±20%	±15-25% change in aeration energy savings	±10-20% change in required aeration volume	High	Upstream particulate removal is the primary determinant of oxygen demand and reactor sizing; small deviations in removal efficiency result in disproportionate energy impacts.
Influent BOD ₅ removal efficiency (primary filtration)	±20%	±10-20% change in aeration energy demand	±10-15% change in aeration volume	High	Demonstrates nonlinear aeration response to variations in biodegradable organic load reduction.
Standard aeration efficiency (SAE)	2-8 kg O ₂ /kWh	Affects absolute energy consumption while maintaining relative energy savings (>40%)	No significant effect	Moderate	Energy benefits from primary filtration remain consistent under conservative aeration efficiency assumptions.
Solids retention time (SRT)	Extended aeration range	±5-10% change in aeration energy demand	±10-15% change in reactor volume	Low-Moderate	Biological operating parameters influence sizing but are secondary to influent load reduction.
Combined variation of all parameters	Realistic operational uncertainty	Net energy savings remain >30-40%	Volume reductions remain >35-45%	Low risk	Results indicate robustness of conclusions under realistic WWTP operational variability.

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The sensitivity analysis confirms robustness of the main findings. Variations in primary filtration removal efficiencies exerted the strongest influence on aeration energy demand and aeration tank volume requirements. A ±20% change caused substantial variability. Aeration energy savings varied by approximately ±15-25%. Required aeration tank volume changed by approximately ±10-20%. Despite this variability, combined microsieving and sand filtration consistently achieved energy reductions exceeding 40%. This suggests that the predicted benefits remain relatively stable under operational uncertainty. Changes in standard aeration efficiency affected absolute energy consumption significantly. Relative aeration energy savings remained within ±3%. Variations in SRT produced comparatively smaller effects. Aeration energy demand varied by 5-10%. Aeration tank volume changed by approximately 10-15%. When all parameters varied simultaneously within realistic bounds, combined treatment maintained savings above 30-40%. Aeration tank volume reductions exceeded 35-45%. These results indicate that the conclusions are not highly sensitive to individual parameter assumptions. Primary filtration remains the dominant driver of energy and capacity benefits.

511 4. Discussion

512 Results from both scenarios indicate substantial reductions in aeration requirements following
513 implementation of primary filtration. In Scenario 1, microsieving reduced oxygen demand by 14-33%,
514 while combined microsieving-sand filtration achieved reductions up to 52%. Similar trends were observed
515 in Scenario 2 under higher flow conditions. There, microsieving lowered oxygen demand by 13-32%, while
516 combined treatment achieved reductions up to 51%. These reductions exceeded corresponding influent
517 BOD₅ decreases of 15-35%. This nonlinear response reflects lower carbon oxidation requirements and
518 reduced endogenous respiration rates in the biological treatment. Limiting particulate and slowly
519 biodegradable organic matter lowers immediate oxygen consumption. It also reduces long-term
520 maintenance energy demand. The modeled aeration reductions are consistent with observations
521 reported for full-scale activated sludge plants. At the Las Calaveras WWTP, USA, aeration power
522 decreased from approximately 48.5 to 42.5 kW per 3,785 m³/d, representing a 12% reduction.
523 Comparable decreases were reported at the Manteca WWTP, USA, from 57.4 to 46.2 kW per 3,785 m³/d
524 (20%), and at the Lancaster WWTP, from 50.7 to 41.0 kW per 3,785 m³/d (19%) (Caliskaner and Pena-
525 Tijerina, 2019). This agreement suggests that aeration energy demand is sensitive to upstream removal
526 of particulate biodegradable organic matter. Modest improvements in primary treatment can yield
527 disproportionate energy savings, particularly in overloaded extended aeration activated sludge systems.

528 A direct comparison showed a clear hierarchy in upgrading performance. Microsieving alone offered
529 model-estimated benefits, with aeration energy reductions ranging from 9 to 27% across the evaluated
530 scenarios. The addition of sand filtration further improved performance, particularly under excellent
531 operating conditions. In both scenarios, the combined configuration resulted in additional energy savings.
532 These gains were attributed to the improved removal of fine suspended particulate organics that
533 otherwise bypassed microsieves and entered biological treatment. Within aeration tanks, such fine
534 particles degraded slowly and contributed disproportionately to oxygen demand and endogenous
535 respiration during extended aeration operation (Metcalf and Eddy, 1991). Consequently, upstream
536 removal reduced both short- and long-term aeration requirements. Full-scale observations reported in
537 the literature provide qualitative context for these findings. At the LCWD, Manteca, and Lancaster
538 WWTPs, USA, fine solids bypassing primary treatment were identified as a key driver of aeration energy
539 demand (Caliskaner and Pena-Tijerina, 2019). Effective upstream removal helped protect biological
540 reactors and stabilize process performance, thereby maximizing aeration energy savings under high
541 organic loading and overloaded operating conditions.

542 Reductions in influent loading reduce required aeration tank volumes. In Scenario 1, aeration tank
543 volumes decreased by 15-35% with microsieving and up to 55% under combined microsieving and sand
544 filtration. Similar reductions were observed in Scenario 2, with maximum volume savings reaching
545 approximately 54%. These reductions are particularly important for overloaded WWTPs. Conventional
546 upgrading strategies typically rely on costly biological reactor expansion. The results demonstrate that
547 primary filtration can effectively substitute reactor enlargement. This enables substantial treatment
548 capacity recovery within the existing plant footprint. Modeled volume reductions are qualitatively
549 consistent with reported full-scale APF observations. At the Las Calaveras WWTP, activated sludge volume
550 decreased from approximately 2,950 to 2,765 m³ per 3,785 m³/d corresponding to a 6% reduction. At the
551 Manteca WWTP, required volume decreased from 2,270 to 1,590 m³ per 3,785 m³/d, representing a 30%
552 reduction. At the Lancaster WWTP, activated sludge volume decreased from 1,480 to 1,140 m³ per 3,785

553 m³/d, equivalent to a 22% reduction (Caliskaner and Pena-Tijerina, 2019). Based on the modelling results
554 and plant-scale observations, primary filtration represents a feasible alternative to biological reactor
555 expansion. This is of particular importance for overloaded WWTPs where space is limited.

556 Implementation of primary filtration reduced secondary sludge production substantially. Reductions
557 ranged from 19-66% in Scenario 1 and from 21-54% in Scenario 2. These decreases are directly linked to
558 reduced biomass growth within activated sludge systems. By limiting biodegradable particulate matter
559 entering the biological stage, primary filtration significantly reduces excess biomass generation and
560 endogenous decay requirements. At the same time, particulate organic matter is diverted upstream as
561 PSS. This diversion partially offsets reductions in secondary sludge production. However, PSS differ
562 fundamentally from biological sludge in physical characteristics. They exhibit higher dry solids content and
563 lower water binding capacity. This improves dewaterability and reduces volumetric handling
564 requirements substantially. Consequently, overall sludge treatment line loading decreases despite an
565 additional solids stream. These trends are consistent with observations reported at the Lancaster and
566 Manteca WWTPs (Caliskaner and Pena-Tijerina, 2019). There, reduced biological reactor volume and
567 aeration demand coincided with lower secondary sludge production. Concurrent diversion of particulates
568 reduced hydraulic and solids loading downstream. This redistribution improves sludge handling
569 performance and enhances operational robustness, especially under high loading and overloaded
570 operating conditions.

571 Shifting from secondary sludge to PSS has a positive effect on energy recovery potential in WWTPs. Due
572 to its lower cellular biomass content and higher heating value, PSS is more suitable for anaerobic digestion
573 and thermal treatment. In Scenario 1, PSS production was estimated at 0.5-0.6 t/d, indicating the
574 availability of an internal energy source that is relevant for plant operation. Primary filtration also reduced
575 aeration demand. In the configurations examined, aeration energy savings of up to 54% were observed.
576 Under these conditions, a 54% decrease in aeration demand corresponds to an overall electricity
577 reduction of approximately 25-30%. For a WWTP serving 10,000 PE, with a typical electricity demand of
578 300-400 kWh/PE-y, the resulting annual energy savings are estimated at 0.8-1.2 GWh. At electricity prices
579 of 0.15-0.20 €/kWh, this corresponds to annual operational savings in the range of 120,000-240,000 €.
580 These values underline the relevance of primary filtration from an energy management perspective. In
581 addition, diverting organic matter to the sludge line increased biogas production. At the Lancaster WWTP,
582 biogas production increased from 320 to 430 m³/d for a treated flow of 3,785 m³/d. Comparable increases
583 were observed at the Manteca WWTP. In both cases, increased biogas production occurred alongside
584 reduced aeration demand, indicating that energy savings and energy recovery can be achieved
585 simultaneously.

586 In Scenario 2, the baseline configuration did not meet effluent quality requirements. After the
587 introduction of primary filtration, compliance was achieved in all upgraded configurations, without any
588 increase in biological reactor volume. The results show that primary filtration can be applied as an
589 upgrading measure under the examined conditions. In this case, compliance was mainly achieved by
590 reducing the influent load rather than by increasing the intensity of biological treatment. Consequently,
591 overall process demands were reduced, and plant operation became less susceptible to unstable
592 conditions. This is of particular relevance for small and medium-sized WWTPs, where available space for
593 expansion is limited. Primary filtration may also affect biological nutrient removal. By removing part of
594 the influent organic carbon upstream of the aeration tank, the availability of readily biodegradable carbon

595 for heterotrophic denitrification may decrease, potentially influencing nitrogen removal. However,
596 studies indicate that primary filtration does not necessarily compromise the carbon-to-nitrogen (C:N) ratio
597 required for effective nutrient removal when sufficient biodegradable carbon remains (Bahreini et al.,
598 2021; Lema and Martínez, 2017). At the Lancaster WWTP, NO_x-N concentrations in the secondary effluent
599 increased from 6.8 to 14.5 mg/L following the implementation of primary biofiltration. At the Manteca
600 WWTP, NO_x-N concentrations increased from 4.1 to 6.1 mg/L. At Lancaster, a further increase from 3.9
601 to 7.3 mg/L was also observed. These changes were addressed through operational measures rather than
602 additional infrastructure. At the Lancaster WWTP, adjustment of dissolved oxygen setpoints reduced
603 effluent NO_x-N concentrations to 9.3 mg/L. As a result, the net increase in NO_x-N was limited to
604 approximately 17%. Overall, the results indicate that regulatory compliance can be maintained through a
605 combination of load reduction and targeted operational control of biological processes.

606 The results show that primary filtration can be beneficial for WWTPs operating under overload
607 conditions. The assessment was carried out using steady-state mass and energy balances. These balances
608 were applied in the same way for all cases examined in this study. Dynamic behaviour of the systems was
609 not analysed. Effects related to daily flow variations, seasonal changes, or short-term loading events were
610 therefore not included. Despite these limitations, similar trends were observed in both scenarios. This
611 suggests that the effects identified are not case-specific and are likely relevant for typical operating
612 conditions in municipal WWTPs. While the findings indicate that primary filtration can substantially
613 improve effluent quality and reduce biological treatment requirements, verification under real operating
614 conditions is required. In particular, the implications for regulatory compliance need to be confirmed
615 through full-scale implementation and operational data. The application of combined microsieving and
616 sand filtration systems should also be evaluated using life-cycle approaches. A detailed life-cycle cost
617 analysis was not included in the present work. The present analysis focused primarily on the water
618 treatment line, particularly on aeration demand and biological reactor sizing. The effects of primary
619 filtration on the sludge treatment line and on the overall plant energy balance were addressed using
620 simplified assumptions. A more comprehensive evaluation including detailed sludge treatment modelling
621 and full plant energy balance assessment would further clarify the role of primary filtration within the
622 broader regulatory framework. Significant reductions in energy demand were associated with lower
623 aeration requirements. Aeration generally represents about 30-60% of total electricity consumption in
624 WWTPs. Reductions of up to 54% in aeration demand therefore have a noticeable effect on overall energy
625 use. In addition, primary filtration systems require limited space and may reduce capital costs. The need
626 for expansion of biological reactors can be avoided, which is particularly important for urban WWTPs with
627 restricted available area. Taken together, the results indicate that primary filtration can provide practical
628 advantages for plant upgrading. Both short-term operational improvements and longer-term
629 infrastructure benefits can be achieved. While advanced primary treatment can lead to a reduction in
630 overall organic loading, several studies have demonstrated that primary filtration does not significantly
631 compromise the availability of easily biodegradable carbon fractions. As a result, no negative impact on
632 the carbon-to-nitrogen (C:N) or carbon-to-phosphorus (C:P) ratios necessary for efficient biological
633 nitrogen and phosphorus removal has been reported (Bahreini et al., 2021; Lema and Martinez, 2017). In
634 addition to its influence on biological processes and nutrient removal efficiency, the implementation of
635 primary filtration also affects sludge handling strategies, thereby having notable consequences for the
636 overall energy balance of the treatment facility.

637 **5. Conclusions**

638 Many municipal WWTPs operate under continuous hydraulic and organic overloading. In such cases,
639 upgrading through the expansion of biological reactors is often not feasible due to space limitations,
640 increasing energy costs, and stricter effluent quality requirements. Primary filtration may be applied as an
641 alternative upgrading approach for overloaded WWTPs. In this study, microsieving and combined
642 microsieving-sand filtration were examined as upstream load reduction options for overloaded municipal
643 WWTPs operated under extended aeration conditions. The analysis focused on steady-state operation of
644 WWTPs subjected to hydraulic and organic stress. Both configurations were shown to reduce the
645 particulate and biodegradable organic loads entering the biological stage. As a consequence, oxygen
646 demand, aeration energy consumption, and required aeration tank volumes were substantially reduced
647 in both evaluated scenarios. Aeration energy demand was reduced by up to 54%, while the required
648 aeration volume was reduced by up to 55%. These reductions exceeded the corresponding decreases in
649 influent BOD₅, indicating that upstream removal of particulate organic matter can have a non-linear effect
650 on aeration requirements. Primary filtration also reduced the production of secondary biological sludge
651 by limiting biomass growth in the activated sludge system. Organic solids were instead removed upstream
652 as PSS with higher dry solids content, which is more suitable for sludge handling and anaerobic digestion.
653 The combined microsieving-sand filtration configuration consistently outperformed microsieving alone,
654 as it enabled the removal of fine particulate fractions that would otherwise remain in the biological
655 reactors and degrade slowly under extended aeration conditions. In the scenario representing severe
656 overloading, the modelling results indicate that effluent quality compliance could be achieved without
657 expansion of the biological reactors, provided that the assumed filtration performance is attained. Overall,
658 the findings of this study suggest that primary filtration has the potential to serve as a space-efficient
659 upgrading strategy for overloaded municipal WWTPs, within the limitations of the applied steady-state
660 modelling framework. Further research based on dynamic modelling and full-scale operational data is
661 required to validate the predicted energy savings and compliance outcomes under real WWTP operating
662 conditions.

663

664 **6. Abbreviations**

Abbreviation	Definition
APF	Advanced Primary Filtration
BOD ₅	Biochemical Oxygen Demand (over five days)
CBUMF	Continuous Backwash Upflow Media Filter
COD	Chemical Oxygen Demand
F/M	Food-to-Microorganism Ratio
GHG	Greenhouse Gas
HRT	Hydraulic Retention Time
MLSS	Mixed Liquor Suspended Solids
MLVSS	Mixed Liquor Volatile Suspended Solids
MNPLs	Micro- and nanoplastics
PE	Population Equivalent
PSS	Primary Sieved Solids
RAS	Return Activated Sludge
SAE	Standard Aeration Efficiency
SRT	Solids Retention Time
TSS	Total Suspended Solids
VLR	Volumetric Loading Rate
WWTP	Wastewater Treatment Plant

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