

1 **Ecological compensation in the Chinese coal resource-depleted cities based on the ecosystem**  
2 **service value**

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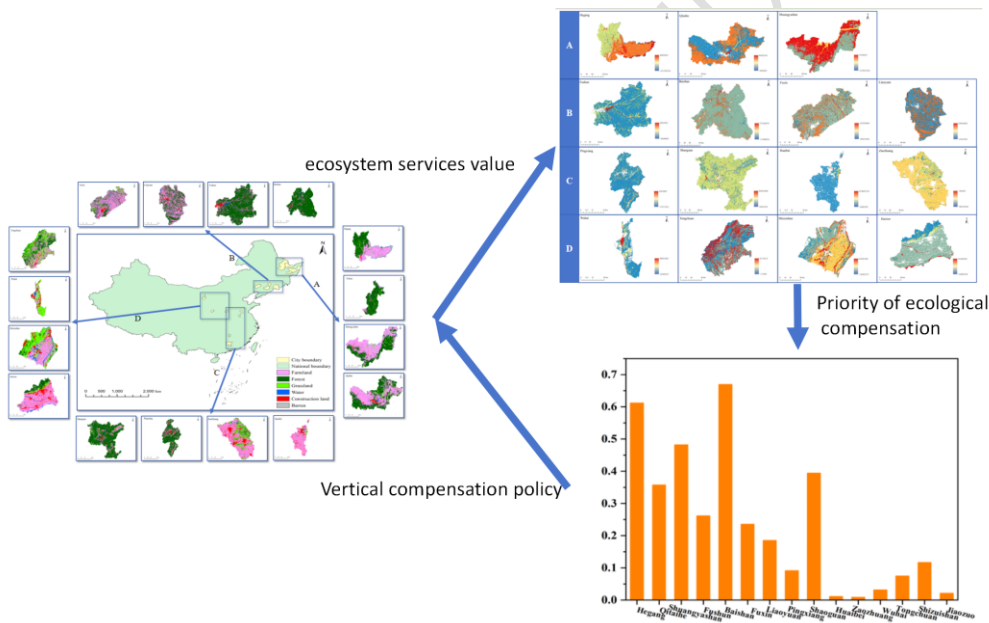
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14 **Graphical Abstract**



15

16 **Abstract**

17 Ecological compensation of the Chinese coal resource-depleted cities (CRDC) aims to improve its  
18 ecological and environmental management capabilities after coal mining damage. Based on data on  
19 land-use changes in the CRDC from 2000 to 2020 (2000, 2010, 2020), this study used the equivalent  
20 factor method to assess the value of ecosystem services in the basin. Our research objectives include  
21 15 prefecture level cities with coal resource-bases cities in China. The priority and amount of

22 ecological compensation were determined for the CRDC. Results show that (1) the CRDC  
23 experienced various changes in land during 2000 to 2020, mainly leading to the conversion of arable  
24 land to forest land and a significant increase in construction land. (2) The total value of ecosystem  
25 services in the CRDC decreased from 236.04 billion yuan in 2000 to 230.57 billion yuan in 2020.  
26 Forest had the highest total ecosystem service value in 2020, accounting for 75.21%. (3) There are  
27 four ESV trends in the 15 cities of CRDC from 2000 to 2010 to 2020, which are caused by various  
28 factors such as coal mining and government policies. (4) The ecosystem service value and the  
29 economic development level of the cities in the CRDC are positively and negatively correlated with  
30 the priority of ecological compensation, respectively. Among them, the priority and amount of  
31 ecological compensation in the Northeast region are the highest, including Shuangyashan, Baishan  
32 and Hegang, with compensation priority exceeding 0.35 and ecological compensation amount  
33 exceeding 1 billion yuan. The findings of this study fill the gap in ecological compensation for  
34 CRDC and provide novel insights for improving transfer payments for CRDC.

35 **Keywords:** *Chinese coal resource-depleted cities; Land use; Ecosystem service value;*  
36 *Compensation priority; Compensation amount;*

37

## 38 **1. Introduction**

39 Metals, non-metals, and fossil fuels are indispensable to the global economic system (Dou et al.,  
40 2023). Since the Industrial Revolution, the global economy has demonstrated a long-term stability  
41 in its demand for natural resources (Henckens et al., 2019). The fate of these cities is inextricably  
42 linked to their natural resource wealth. In accordance with the laws governing the natural resource  
43 industry and resource-based city development, resource-based cities are destined to experience a  
44 cycle of construction, prosperity, decline, transformation, or extinction (Hou et al., 2020). For cities  
45 based on natural resources for development, the economic recession and ecological damage that  
46 come with the depletion of natural resources is an inevitable challenge. This situation is particularly  
47 evident in China.

48 In 2008, 2009 and 2011, China identified 69 typical resource-based cities (counties, districts) in  
49 three batches. These include 37 coal cities, 14 non-ferrous metal cities, 6 ferrous metallurgy cities,  
50 3 oil cities and 9 other cities, with a total population of 154 million. These cities have developed in

51 reliance on a single traditional industry and are now facing a situation of resource depletion and  
52 industrial decline because of long-term excessive development. Their future development prospects  
53 are therefore uncertain (Yu et al., 2016). For solving these problems, the Central Committee of the  
54 Communist Party of China made a transfer payment of 15 billion yuan to local resource-depleted  
55 cities in 2019. This was used to address historical legacy problems, including social security arrears  
56 caused by resource development, environmental protection, mining rights withdrawal, public  
57 infrastructure construction, and shantytown renovation in resource-depleted cities. Furthermore, the  
58 issues encountered by counties (cities, districts) where independent industrial and mining zones and  
59 coal mining subsidence zones are situated, including the relocation and redevelopment of  
60 shantytowns, the governance of subsidence zones, and the resolution of livelihood policy arrears  
61 (Ministry of Finance of the PRC, 2019), require attention. Nevertheless, there is currently a dearth  
62 of transparent and comprehensive compensation plans and associated financial provisions for  
63 ecological restoration in resource-based cities.

64 Ecological compensation is a system arrangement that aims to protect and sustainably utilize  
65 ecosystem services, primarily through economic means to regulate the interests of stakeholders,  
66 promote compensation activities, and mobilize the enthusiasm for ecological protection through  
67 various rules, incentives, and coordination (Yu et al., 2023). The rapid economic development and  
68 resource depletion that cause the contemporary era have a significant impact on the ecosystem,  
69 endangering the interests and well-being of local populations. In particular, the practice of surface  
70 and underground coal mining can result in a range of adverse effects on the surrounding environment,  
71 including surface subsidence, the drying up of rivers, a decline in soil fertility, and the overall  
72 degradation of the ecosystem (Kamanzi et al., 2023; Zhu et al., 2021). When mining 10,000 t of  
73 coal, it was anticipated that an area of land ranging from 0.2 to 0.33 ha would be affected by  
74 subsidence because of surface mining (Xiao et al., 2014). Coal mining seriously affects the supply  
75 of the mining ecosystem to human well-being. Therefore, it is necessary to carry out ecological  
76 compensation for cities with depleted coal resources.

77 Research on ecological compensation has diversified due to the use of different accounting methods,  
78 including the opportunity cost method (Ma et al., 2023; Yang et al., 2023). The willingness to pay  
79 method (Ren et al., 2024; Nie et al., 2023), ecological footprint method (Niu et al., 2024; Yu et al.,  
80 2021), and ecosystem service value assessment method (Zhou et al., 2022).

81 The opportunity cost method is a technique for estimating the cost of resource utilization in the  
82 absence of market prices. This is achieved by basing the estimation on the income derived from  
83 alternative uses that have been sacrificed. For instance, the protection of national parks and the  
84 prohibition of logging trees may be considered examples of such measures. Including the direct  
85 investment cost and development opportunity cost of ecological conservationists in the protection  
86 of the ecological environment. However, the lack of consideration for environmental dynamics  
87 results in a limited ecological compensation (Yang et al., 2019). The willingness to pay is evaluated  
88 by investigating the willingness of ecological conservationists to pay for ecological protection, but  
89 this method is too subjective, which can easily lead to significantly different evaluation results  
90 (Garau et al., 2021; Jiang et al., 2022). The ecological footprint method is a means of reflecting both  
91 ecological surplus and deficit. This is achieved by calculating the difference between the total supply  
92 and total demand of regional ecological footprints. This method employs the production and  
93 consumption of natural resources as the sole means of determining the cost of ecological  
94 compensation. It did not take into account the compensation capacity of each region and the  
95 willingness of both parties (Yang et al., 2019). Some researchers have also assessed regional  
96 ecological compensation through ecological risk assessment, but this method is less widely used  
97 and lacks universality (Xu et al., 2023).

98 Compared with the above methods, the ecosystem service value assessment method has obvious  
99 advantages. It covers comprehensive information such as land, climate, soil, socio-economic factors  
100 in the region, and can measure the level of coordination between regional economic development  
101 and ecosystems (Wang et al., 2021). It is easy to describe the differences in ecological benefits  
102 between different regions. At the same time, the evaluation results of ecosystem service value also  
103 have additivity, which is suitable for ecological compensation evaluation in large or extensive areas.  
104 Ecological compensation obtained by ecosystem service value can maximize ecological benefits,  
105 and is theoretically the best ecological compensation evaluation method (Yan et al., 2022; Zhou et  
106 al., 2022).

107 Ecosystem services (ES) are the benefits and well-being people obtain from ecosystems, which  
108 consist of services related to providing, regulating, supporting, and cultural aspects (Costanza et al.,  
109 1997). The value of ecosystem services is an important indicator for measuring regional economic  
110 development and ecological environment quality, and can provide a basis for sustainable

111 development in policy formulation (Ouyang et al., 2020). This provides an important perspective  
112 for solving the ecological compensation problem in Chinese coal resource-depleted cities.  
113 Externalities in the value of ecosystem services are a theoretical foundation of ecological  
114 compensation (Liu et al., 2018).

115 Ecosystem service valuation methods mainly include ecosystem service functions, ecosystem  
116 service values, and market and preference-based methods. The ecosystem function method  
117 evaluates changes in ecosystem service function through various ecological models, and the market  
118 and preference-based methods include the conditional value method, travel cost method, etc. The  
119 most widely used method is the equivalent factor method for calculating ecosystem values to  
120 evaluate the value of ecosystem service (Gao et al., 2021). Since 2015, Xie et al. (2015) proposed a  
121 new approach to adjusting the total value of ecosystem services using socioeconomic coefficients.  
122 This adjustment has enhanced the reliability of estimating ecological compensation standards and  
123 has since been applied in numerous ecological compensation studies across China.

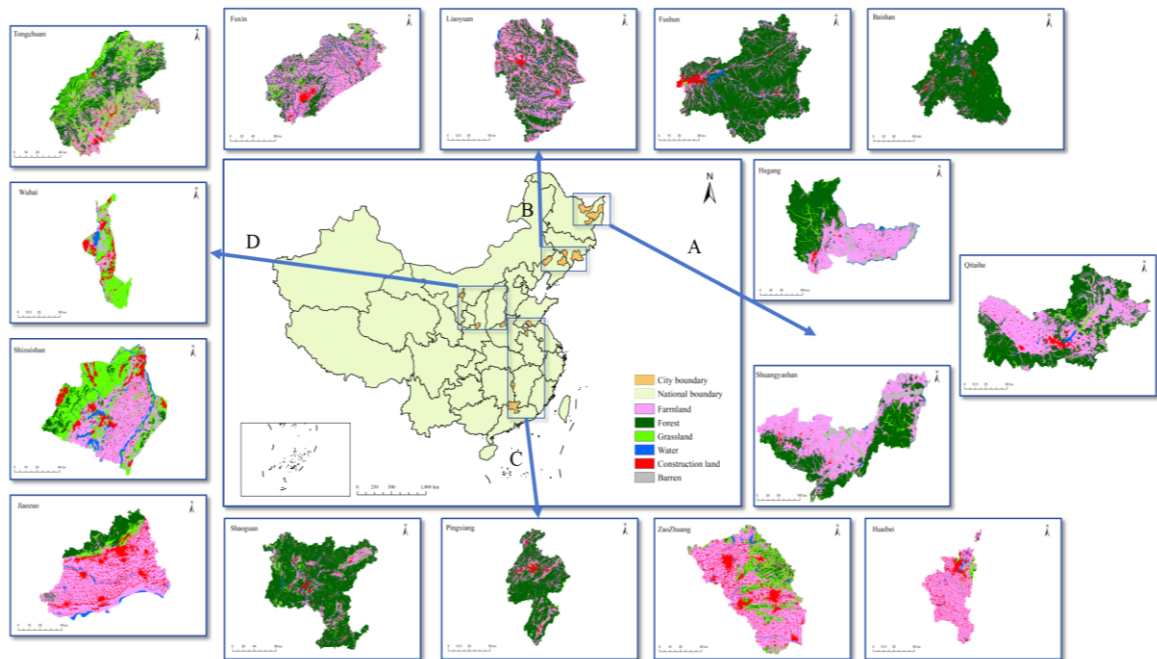
124 Ecological compensation research in China mainly focuses on forest and watershed areas, while  
125 research on mineral resource areas is almost blank, indicating that more attention is still needed for  
126 ecological compensation research in mineral resource areas (Wang et al., 2022). Since 2020, various  
127 Chinese coal resource-depleted cities have formulated several ecological restoration policies,  
128 including ecological restoration and management of mining areas, environmental restoration of  
129 mining areas, reclamation and comprehensive management of mining areas, and other ecological  
130 restoration policies (Table S1). These policies are helping to restore the capacity of cities with  
131 depleted coal resources to provide ecosystem services, but this also requires ecological  
132 compensation. Study on ecological compensation for coal resource-depleted cities can provide  
133 important basis for solving this problem.

134 In this study, we aim to use the 15 Chinese coal resource depleted prefecture level cities (CRDC) as  
135 the research object and explore ecological compensation in different areas of CRDC from the  
136 perspective of the ecosystem service value. This study has three objectives: (1) to assess the changes  
137 in land use and ecosystem service value of CRDC; (2) to determine the priorities for ecological  
138 compensation between regions in the CRDC; (3) to determine the amount of ecological  
139 compensation required for different CRDC regions. This provides an important basis for the  
140 formulation of sustainable development policies and ecological compensation strategies for CRDC.

141 **2. Material and methods**

142 2.1 Study area

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**Fig 1. Location of Chinese coal resource-bases cities**

147 This study selected 15 prefecture level coal resource depleted cities as the research objects (Fig 1),  
148 which are mainly distributed in the northern and northeastern regions of China, including region A:  
149 Hegang, Shuangyashan, Qitaihe. Region B: Fuxin, Fushun, Baishan, Liaoyuan. Region C: Shaoguan,  
150 Pingxiang, Zaozhuang, Huaibei. Region D: Jiaozuo, Shizuishan, Wuhai, Tongchuan.

151 According to the results of seven population censuses, as of the end of 2020, the permanent  
152 population of the region was about 24 million, accounting for 17% of the total population of China.

153 The total area of the region is, covering a wide range of land types including forest, farmland,  
154 grassland, water, and construction land. The farmland is 5121836.37 ha, forest is 8856799.29 ha,  
155 grassland is 1027505.61 ha, water area is 246032.73 ha, and construction land is 650049.75 ha.

156 2.2 Data sources

157 This study takes 15 prefecture-level cities in the nine provinces (districts) through which the CRDC  
158 as the primary research unit flows and uses remote sensing, basic geographic information, and  
159 socioeconomic statistics from 2010 to 2020. Land-use and land-cover (LULC) data from the year  
160 of 2000, 2010, and 2020, the spatial resolution is set at 30 m. The data is provided by Data Center

161 for Resource and Environment Sciences, Chinese Academy of Science (RESDC) ([http:// www.](http://www.Resdc.cn)  
162 [Resdc.cn](http://www.Resdc.cn)). It interpreted from the datasets of USGS Landsat 5 and 8 Surface Reflectance Tier 1.  
163 Including forestland (woodland, shrub forest, sparse forest), cultivated land (paddy field, dry land),  
164 grassland, water area (rivers, lakes, reservoir), construction land (urban , mining area). The  
165 combined accuracy exceeded 89%, with Kappa coefficients exceeding 0.85. Social and economic  
166 statistics were obtained from the Statistical Yearbook 2000-2020, Compilation of National  
167 Agricultural Product Cost Benefit Information 2000-2020.

### 168 2.3 ESV assessment

169 Costanza et al. (1997) firstly defined the scientific estimation principle and method of ESV, and  
170 estimated 17 ESV of 16 ecosystems in the world. Considering the shortcomings and reliable results  
171 of previous studies, Xie et al. (2017) used the questionnaire survey based on 200 Chinese ecologists,  
172 and made many improvements to methods employed in the study of Costanza et al. (1997). The  
173 ecosystem service function was divided into the providing service: food production, raw materials,  
174 water resource, regulating service: gas regulation, climate regulation, environmental purification,  
175 water regulation, soil retention, supporting service: nutrient cycling, biodiversity protection and  
176 cultural services: recreation and culture (Xie et al., 2015). According to the study of Xie et al. (2015),  
177 the ESV equivalent factor are equal to 1/7 of the average market value of grain production. Hence,  
178 using this foundation, this research reevaluated the economic worth of the ESV equivalent factor  
179 per unit of land area using the mean grain yield and the average market price of unprocessed grain  
180 declared by the respective governmental departments. The formula is as follows:

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$$182 \quad E_a = \frac{1}{7} \sum_{j=1}^n \frac{m_j p_j q_j}{M} \quad (1)$$

183  $E_a$  is the economic value of unit ecosystem in Yuan / (hm<sup>2</sup> a);  $j$  is food crop type;  $m_j$  is the average  
184 price of the  $j$  grain crops in the study area; the unit is Yuan / kg;  $P_j$  is the unit in kg/hm<sup>2</sup>;  $q_j$  is the  $j$   
185 planting area in hm<sup>2</sup>;  $M$  is the total planting area of grain crops in hm<sup>2</sup> (Xiao et al., 2020). The results  
186 show that the economic value of the CRDC ecosystem service in the (Table S2).

187 The ecosystem services value is calculated as follows:

$$188 \quad ESV = \sum_{i=1}^n A_i \times VC_i \quad (2)$$

$$189 \quad VC_i = \sum_{j=1}^k EC_j \times E_a \quad (3)$$

190 *ESV* is ecosystem service value, unit is Yuan / a; *i* is land use type; *j* is ecosystem service type; *A<sub>i</sub>*  
 191 is distribution area of land use type, unit is hm<sup>2</sup>; *VC<sub>i</sub>* is ecosystem service value equivalent per unit  
 192 area of class *i* in Yuan / (hm<sup>2</sup>·a); *EC<sub>j</sub>* is ecosystem service value equivalent of item *j* of land use  
 193 type; *k* is quantity of ecosystem service type; *E<sub>a</sub>* is economic value of 1 unit ecosystem service, unit  
 194 is yuan / (hm<sup>2</sup>·a) (Xie et al., 2017).

#### 195 2.4 Priority measurement for ecological compensation

196 According to Wang et al. (2010), the Ecological Compensation Priority Sequence (ECPS)  
 197 effectively captures the pressing need in the area for receiving ecological compensation. Since the  
 198 market already assigns monetary value to provisioning services like food and raw material  
 199 production, the calculation specifically focuses on the non-market value of ecosystem services. This  
 200 was calculated as follows:

$$201 \quad ECPS = VAL_n / GDP_n \quad (4)$$

202 where *ECPS* is the priority for ecological compensation, *VAL<sub>n</sub>* is the nonmarket value of the  
 203 ecosystem services per unit area of the study area (yuan / hm<sup>2</sup>), and *GDP<sub>n</sub>* is the gross regional  
 204 product per unit area of the study area (yuan / hm<sup>2</sup>).

#### 207 2.5 Accounting vertical ecological compensation

208 Vertical ecological compensation involves distributing funds from the central government to local  
 209 governments. It aims to address pressing development needs in regions with lower GDP per unit  
 210 area and mitigate the risk of rapid decline in ecological value. In order to effectively allocate  
 211 compensation funds within limited capacity, emphasis is placed on prioritizing investments based  
 212 on regional ecological compensation demand intensity and ecological value conversion coefficients,  
 213 which account for variations in ecological compensation standards across different regions. The  
 214 ecological value conversion coefficient was selected as 15% (Xin et al., 2019), and the specific  
 215 calculation formula is as follows:

$$216 \quad R_i = V_i \times k \times t_i \quad (5)$$

$$217 \quad t_i = 2 \times \arctan(ECPS) / \pi \quad (6)$$

218 where *R<sub>i</sub>* is the total amount of ecological compensation in the study area (100 million yuan), *V<sub>i</sub>* is



219 the non-market value of the ecosystem services in the study area,  $k$  is the ecological value conversion  
 220 coefficient, and  $t_i$  is the intensity coefficient of ecological compensation demand in the study area.  
 221 The tangent function was used to normalize the ecological compensation priority.

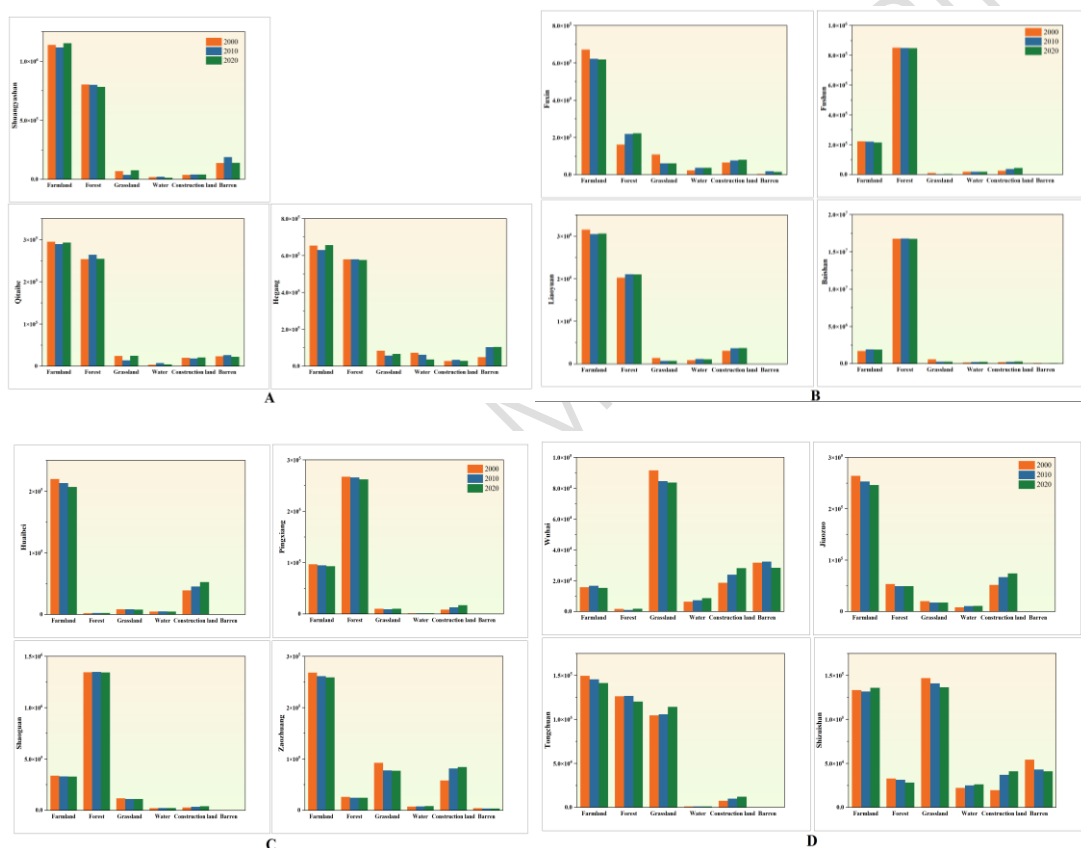
### 222 3. Results

#### 223 3.1 Spatial and temporal variation in land use and ecosystem service values in the Chinese coal 224 resource-bases cities

##### 225 3.1.1 Analysis of changes in the land use

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**Fig 2 Land use changes in the CRDC during 2000-2020 (ha)**

232 Land use changes in the CRDC from 2000 to 2020 are shown in Fig 2. The land use in regions A  
 233 and B is mainly dominated by forest and grassland, accounting for more than 80%, which are mainly  
 234 concentrated in the northeast region with relatively high forest resources. Shaoguan and Pingxiang  
 235 in region C are mainly forest, while Huaibei and Zaozhuang are mainly farmland, accounting for  
 236 over 50% of the total. Grassland resources are abundant in region D, with grasslands in Wuhai,

237 Shizuishan and Tongchuan accounting for over 30%.

238 From 2000 to 2020, there was no significant change in farmland in region A, with a decrease of 1-

239 5% in forest and grassland, a decrease of 43% in water area, and an increase of 4% and 26% in

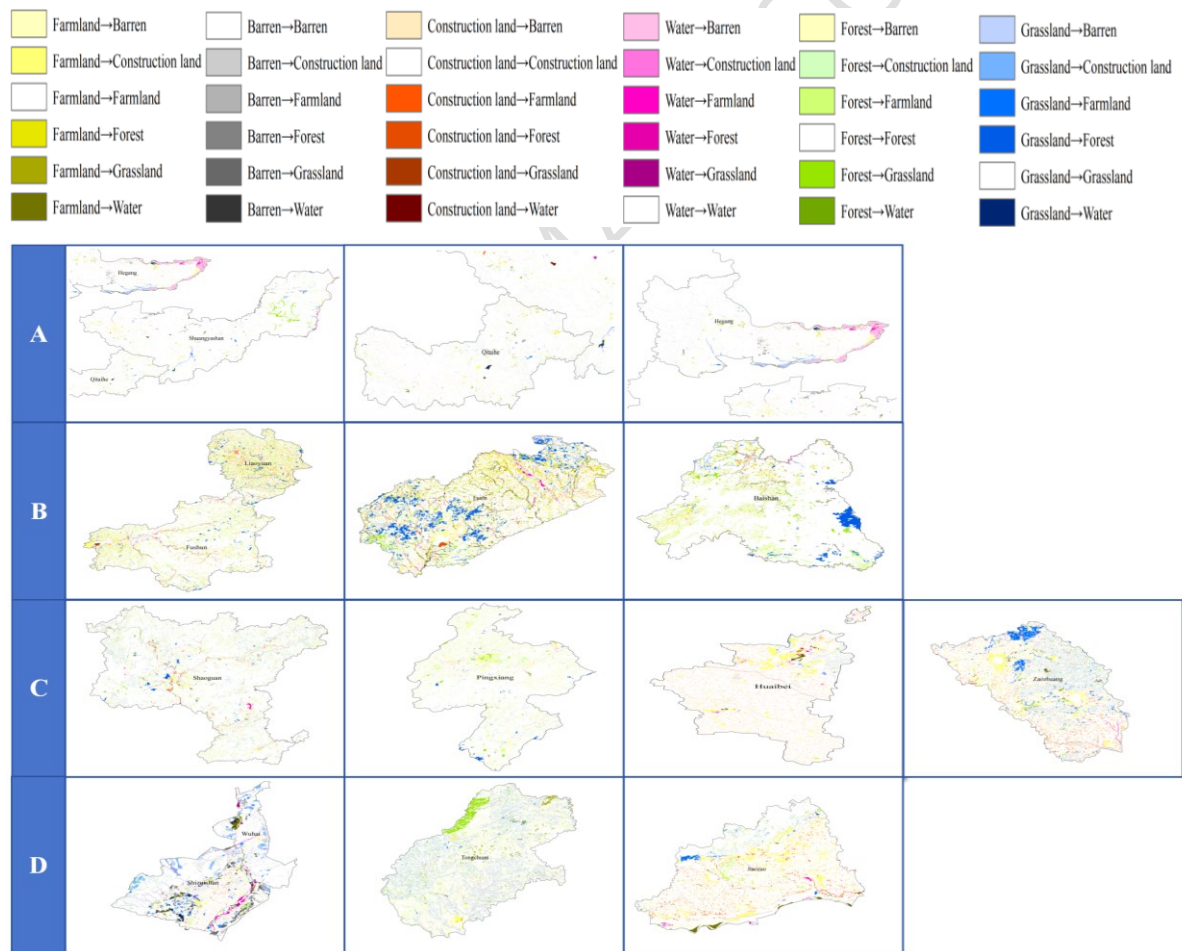
240 construction land and barren land. Grassland in region B has decreased by 50%, while water and

241 construction land have both increased by over 30%. Construction land in region C increased by

242 46%, water areas increased by 11%, and other land decreased by 5%. The land use change in

243 region D is similar to region C, with an increase of over 20% in water and construction land, and

244 approximately 3% in other land are



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**Fig 3. Spatial land use change in the CRDC during 2000-2020**

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The land spatial changes of the CRDC from 2000 to 2020 are shown in the Fig 3. The land use

250 change in region A mainly occur in the changes of water area and grassland, which water area is  
251 mainly transformed into farmland and construction land. The land use change in region B is mainly  
252 characterized by grassland and farmland, with farmland mainly transformed into forest and  
253 construction land, and grassland into forest and construction land.

254 The land use change in region C is mainly concentrated in farmland and grassland, with farmland  
255 transformed into construction land and grassland into farmland. The land use change in region D  
256 is mainly dominated by forest land, grassland, and barren. Grassland is mainly transformed into  
257 construction land, forest is mainly transformed into farmland, and barren is mainly converted into  
258 grassland.

### 259 3.1.2 Analysis of changes in the value of ecosystem services

260 Using Table S2, ecosystem service value is calculated based on the value of different land types per  
261 unit hectare. We obtained the values of ecosystem services (Table 1) and the values of individual  
262 ecosystem service functions in the CRDC (Table 2) from 2000 to 2020. According to the table, the  
263 ESV of resource depleted cities in China showed a gradually decreasing trend from 2000 to 2020,  
264 decreasing from 236.03 billion yuan in 2000 to 230.5 billion yuan in 2020. Therefore, in the future,  
265 we should actively carry out ecological compensation for resource depleted cities in China. For  
266 restoring the protection and restoration of mountains, water, forests, fields, lakes, grasses, and sands  
267 areas should be promoted along with a reduction in soil erosion.

268 In the composition of ESV, the value of regulation services accounts for the highest proportion of  
269 70%, followed by support services, about 20%, and product supply and cultural services account  
270 for about 0.05% (Table 1). Among them, forest land provides the highest ESV, which accounting for  
271 over 70%. The proportion of ESV in water area and farmland is about 10%. The value of farmland  
272 first increases and then decreases, while the value of water is the opposite. The value of regulation  
273 services in cities with depleted coal resources in China showed a trend of first decreasing and then  
274 increasing, with a decrease of 4.1 billion yuan from 2000 to 2020. The value of other services  
275 showed a trend of first increasing and then decreasing. The value of product services, support  
276 services, cultural services decreased by 400 million yuan, 800 million yuan, and 300 million yuan,  
277 respectively.

278 Among various land types, the service value and proportion of forest land ecosystem are the highest,

279 accounting for about 75%, and increasing year by year, followed by cultivated land and water area,  
 280 accounting for about 12%. The value of grassland ecosystem services accounts for about 3%. The  
 281 value of construction land and barren ecosystem services is relatively low, less than 1%.  
 282 The ESV composition of CRDC are similar (Fig 4), with regulating and support services accounting  
 283 for over 60%, providing service and cultural service values being similar, accounting for about 10%.  
 284 The regulating service has the greatest impact on the overall ESV, especially in region A and D,  
 285 where the trend of ESV changes is consistent with the trend of its regulation service value.

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**Table 1 Value and proportion of the ecosystem services in CRDC (billion yuan).**

	2000		2010		2020	
	ESV	(%)	ESV	(%)	ESV	(%)
Provisioning	13.02	0.06	13.88	0.06	12.67	0.05
Regulating	162.47	0.69	158.02	0.68	158.39	0.69
Supporting	50.43	0.21	50.68	0.22	49.61	0.22
Cultural	10.10	0.04	10.26	0.04	9.86	0.04
Total	236.03		232.85		230.56	

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**Table 2 Service value and the proportion of various ecosystems in CRDC (billion yuan)**

Ecosystem type	2000		2010		2020		Rate of change in ESV(2000-2010)	Rate of change in ESV(2010-2020)
	ESV	(%)	ESV	(%)	ESV	(%)		
Farmland	26.48	11.22	29.03	12.47	25.94	11.25	1.26	-1.22
Forest	173.85	73.67	174.24	74.85	173.36	75.21	1.18	0.36
Grassland	6.96	2.95	5.65	2.42	5.42	2.35	-0.53	-0.07
Water	28.52	12.09	23.56	10.12	25.53	11.08	-1.97	0.96
Construction land	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Barren	0.22	0.09	0.36	0.16	0.32	0.14	0.06	-0.02

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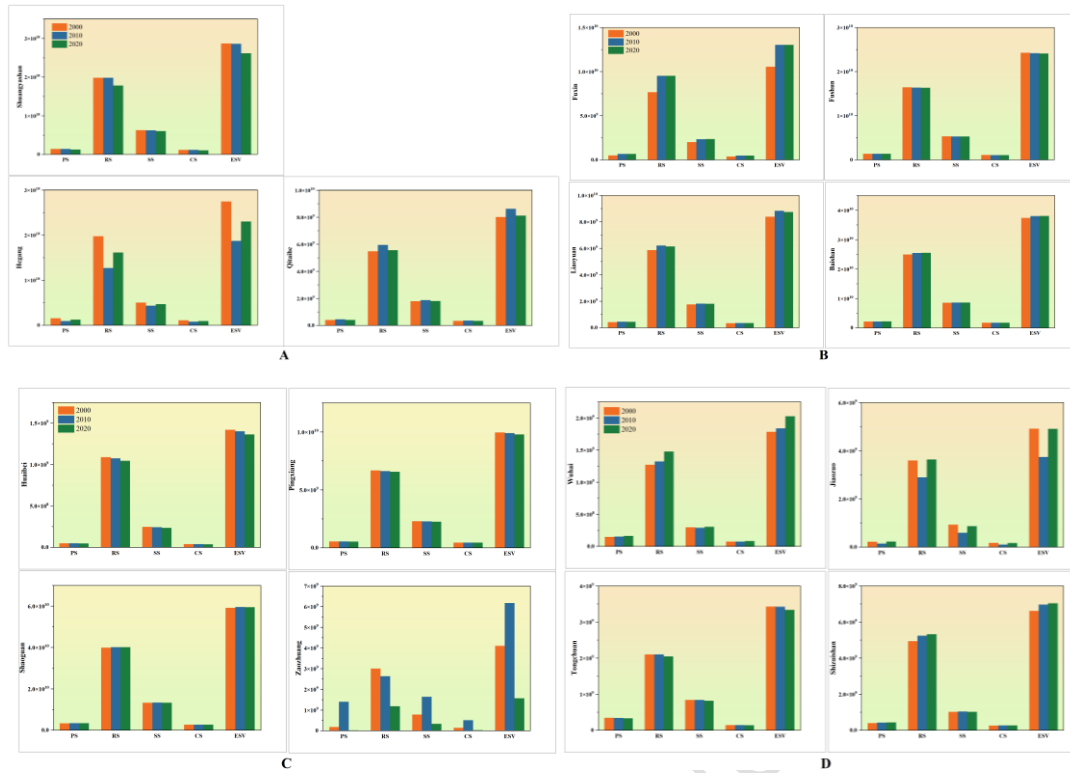
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**Fig 4 The ESV change of the CRDC during 2000-2020**

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303 The ESV change of individual CRDC is shown in the Fig 5. During 2000 to 2020, more than half  
 304 of the cities showed a downward trend in ESV, including Jiaozuo, Tongchuan, Zaozhuang, Hegang,  
 305 Pingxiang, Huaibei, Shuangyashan, and Fushun. ESV in Zaozhuang City showed a trend of first  
 306 increasing and then decreasing, while ESV in Hegang City showed a trend of first decreasing and  
 307 then increasing.

308 There were 7 cities show an increase in ESV from 2000 to 2020, including Wuhai, Fuxin, Shaoguan,  
 309 Shizuishan, Qitaihe, Liaoyuan, Baishan and Shaoguan. Qitaihe Liaoyuan showed a trend of first  
 310 increasing and then decreasing.

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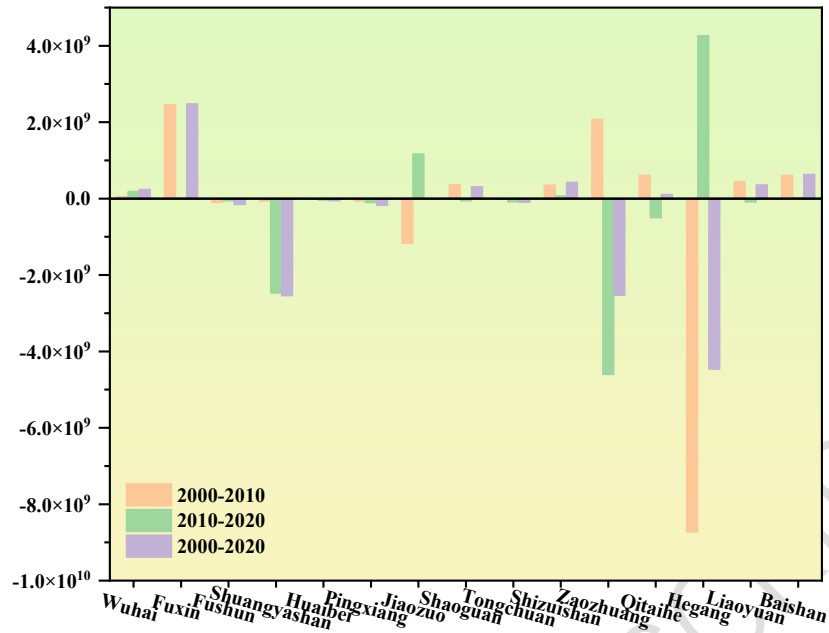


Fig 5 The ESV change of individual CRDC

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315 The spatial change of ESV in the CRDC from 2000 to 2020 is shown in Fig 6. ESV has increased

316 significantly in most areas of region A, such as Shuangyashan, Hegang, where over 60% of the ESV

317 values are increasing. The spatial distribution of ESV changes in region B is scattered, such as

318 Liaoyuan and Fuxin, the ESV increase and decrease is similar, about 50% of region B.

319 Over 90% of ESV in region C shows a decreasing trend, which the areas with increased ESV are

320 only concentrated in the wetland and grassland areas of Pingxiang and Shaoguan. Only the forest

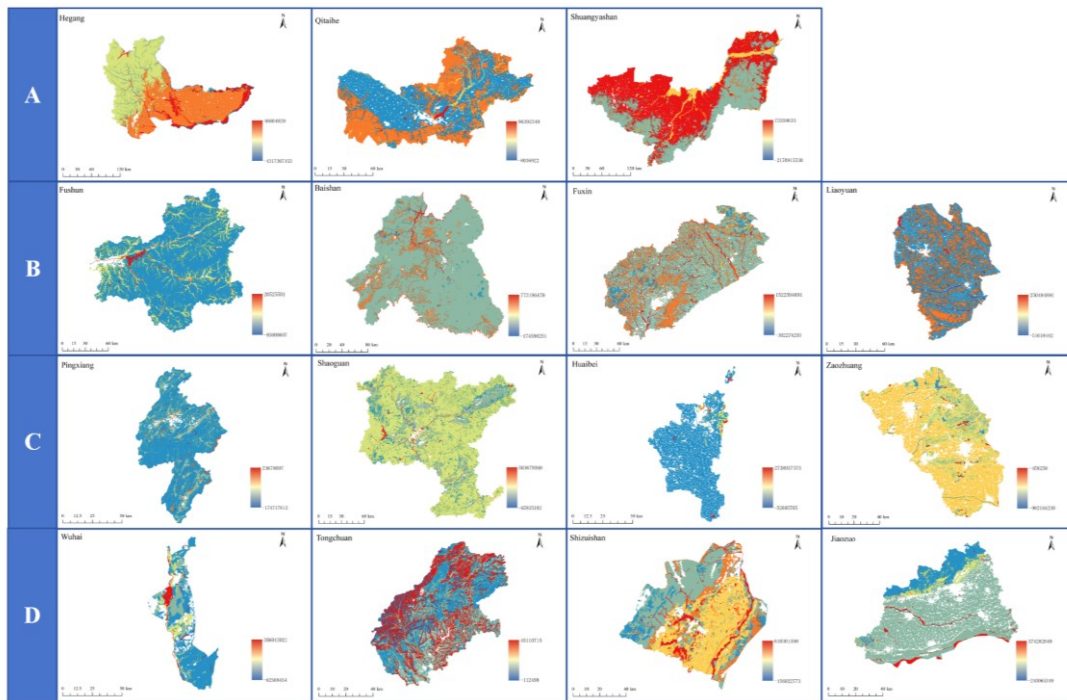
321 ESV value in Huaibei city has increased, while the forest ESV value in other areas has decreased.

322 The spatial ESV change of region D still shows a decreasing trend. The increase in ESV is mainly

323 concentrated in the water areas of various cities, and the ESV in the grassland of Tongchuan and the

324 farmland of Shizuishan are also showing an increasing trend.

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**Fig 6 The spatial change of ESV in CRDC during 2000-2020**

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330 3.2 Ecological compensation in the CRDC

331 3.2.1 Prioritization of ecological compensation in the CRDC

332 The results of the ecological priorities are shown in Fig 7. There are two cities with an ecological  
 333 compensation priority higher than 0.5, namely Baishan and Hegang, and three cities with an  
 334 ecological compensation priority between 0.3 and 0.5, namely Shaoguan, Shuangyashan and Qitaihe.

335 The ecological compensation priority for the other ten cities does not exceed 0.3, with 6 cities having  
 336 an ecological compensation priority of 0.1 or less, including Pingxiang, Huaibei, Zaozhuang, Wuhai,  
 337 Tongchuan, Jiaozuo.

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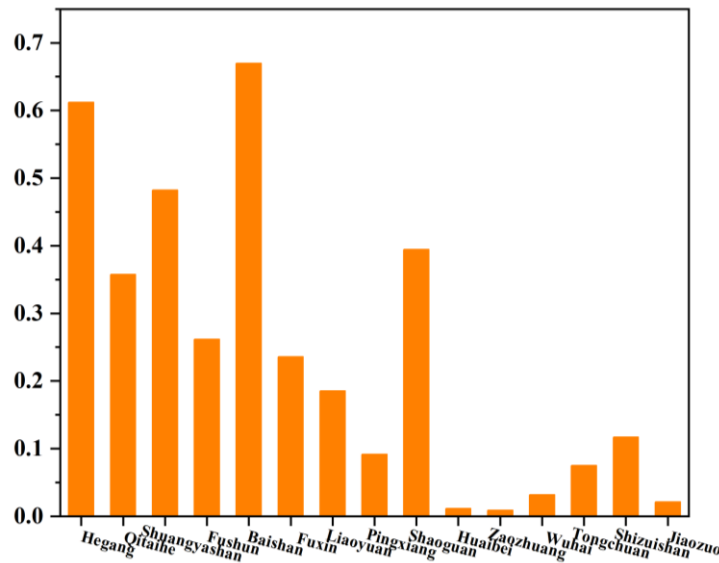


Fig 7 Ecological compensation priorities for the CRDC

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342 3.2.2 The vertical ecological compensation of the CRDC

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Table 3 Vertical ecological compensation and GDP in 2020 for each CRDC

City	Province	Amount of ecological compensation	Percentage of ecological compensation	GDP (billion yuan)	Percentage of GDP	Percentage of ecological compensation to GDP
Hegang	Heilongjiang	1.20	15.35%	34	2.81%	3.533%
Qitaihe	Heilongjiang	0.25	3.20%	20	1.70%	1.216%
Shuangyashan	Heilongjiang	1.09	13.93%	49	4.09%	2.206%
Fushun	Liaoning	0.54	6.90%	82	6.84%	0.653%
Fuxin	Liaoning	0.27	3.41%	50	4.17%	0.529%
Baishan	Jilin	2.15	27.45%	50	4.21%	4.219%
Liaoyuan	Jilin	0.14	1.79%	42	3.55%	0.327%
Pingxiang	Jiangxi	0.08	0.98%	96	7.97%	0.080%
Shaoguan	Guangdong	2.01	25.59%	135	11.20%	1.480%
Huaibei	Anhui	0.001	0.02%	111	9.26%	0.001%
Zaozhuang	Shandong	0.001	0.02%	173	14.34%	0.001%
Wuhai	Neimenggu	0.01	0.07%	56	4.66%	0.010%
Tongchuan	Shanxi	0.02	0.26%	38	3.15%	0.054%
Shizuishan	Ningxia	0.07	0.91%	54	4.48%	0.131%
Jiaozuo	Henan	0.01	0.12%	212	17.57%	0.004%
Total		7.82	100%	1208	100%	14.444%

344



345 The amount of vertical ecological compensation in the CRDC in 2020 was calculated using  
346 equations (5) and (6), and its relationships with GDP in different provinces were compared with the  
347 upper, middle, and lower reaches. The results are shown in Table 3.

348 The total value of ecological vertical compensation for coal depleted cities in China is 7.824 billion  
349 yuan, accounting for 14% of the total GDP. There are four high ecological vertical compensation  
350 cities, with compensation values exceeding 1 billion yuan. The compensation values from high to  
351 low are Baishan (2.148 billion yuan), Shaoguan (2.002 billion yuan), Hegang (1.201 billion yuan),  
352 and Shuangyashan (1.09 billion yuan), accounting for 4.21%, 11.20%, 2.81%, and 4.09% of the  
353 GDP, respectively.

354 The ecological vertical compensation values of Qitaihe, Fushun, Fuxin, and Liaoyuan cities are  
355 between 100 million and 1 billion yuan, corresponding to ecological compensation values of 2.51,  
356 5.40, 267, and 140 million yuan, respectively, accounting for 1.70%, 6.84%, 4.17%, and 3.55% of  
357 GDP. The ecological vertical compensation values of seven other cities do not exceed 100 million  
358 yuan, namely Pingxiang > Shizuishan > Tongchuan > Hegang > Wuhai > Huaibei > Zaozhuang,  
359 with vertical compensation values of 77 million>71 million>21 million>09 million>0.05  
360 million>014 million>0.012. The proportion of GDP is 4 - 17%.

## 361 **4. Discussion**

### 362 4.1 ESV change

363 This study starts from the perspective of ecosystem service value and explores the changes in  
364 ecosystem services in coal resource depleted cities based on land use in China. We consider the  
365 economic development levels of different CRDC and quantifies the urgency and compensation  
366 amount of ecological compensation for each coal resource-depleted city.

367 Land use change is an important factor affecting the ESV. From 2000 to 2010, the construction land  
368 of the CRDC significantly increased by 20%, which is one of the reasons for the decrease in ESV.  
369 Rapid economic development, urbanization, and ecological damage have reduced the value of  
370 ecosystem services (Zheng et al., 2024). That raises concerns about the sustainability of these  
371 ecosystems amidst industrial changes.

372 The reduction in farmland and the significant increase in forest are attributed to China's policy of

373 returning farmland to forests proposed in 2000. More than half of the CRDC land changes show this  
374 trend, and the conversion of farmland to forest is mainly concentrated in the CRDC of A and B  
375 regions (Fig 4).

376 From 2010 to 2020, there was no significant change in the area of farmland and forest land, while  
377 the construction land area continued to increase by 20%. At the same time, the water area also  
378 decreased by 3000 hectares, which also had a negative impact on ESV. Despite the increase in  
379 grassland area, the ESV value provided by grassland is relatively low (Table 2). This reflecting the  
380 effect of both ecological recovery efforts and urban development pressures on ESV. The dominance  
381 of forest ecosystem services in 2020 (75.21%) indicates successful afforestation efforts, yet  
382 underscores the need for balanced development strategies that preserve ecological integrity.

383 In addition to the aforementioned factors of land use change, coal mining also has a negative impact  
384 on ESV in these cities. For example, from 2000 to 2010, although forest and water areas increased,  
385 ESV still decreased. Previous studies have also demonstrated the negative impact of coal mining on  
386 ecosystem services. On a national scale, the expansion of surface mining has resulted in the loss of  
387 ecosystem services, including solid and habitat quality (Xiang et al., 2021). Surface mining in the  
388 Qilian Mountains region can damage ecosystems, directly occupying and indirectly affecting  
389 neighboring ecosystems, leading to the loss of service value of surrounding ecosystems (Qian et al.,  
390 2018). However, the trend of ESV changes varies among different cities. From 2000 to 2020, 8 out  
391 of 15 cities showed negative growth in ESV, including Fushun, Shuangyashan, Huaibei, Pingxiang,  
392 Jiaozuo, Tongchuan, Zaozhuang, and Hegang. Fuxin, Shaoguan, Shizuishan, Qitaihe, Liaoyuan, and  
393 Baishan show an increasing trend in ESV.

394 Considering that the coal resources in most cities have been depleted around 2010, from 2000 to  
395 2010 to 2020, there were four trends in ESV changes in CRDC. The cities with negative ESV growth  
396 during the two stages were Fushun Shuangyashan, Huaibei City, Pingxiang, Tongchuan and  
397 Zaozhuang. This shows that the coal mining in these areas has had a profound negative impact on  
398 the ecosystem service value, because most of the urban coal has been exhausted around 2010, and  
399 the mining output has decreased year by year.

400 The ESV of Baishan, Shizuishan, Fuxin, Wuhai show an increasing trend. Wuhai and Shizuishan  
401 are one of the main cities in the CRDC and one of the key areas for national ecological compensation  
402 and protection (Zhou et al., 2022 ). This is an important reason for their ESV value increasing trend,

403 which is consistent with the research results of Zhou et al. Baishan has gone through a natural forest  
404 conservation project, while Fuxin City has gone through the process of returning farmland to forests  
405 (Wang et al., 2021), which can increase the ESV.

406 Jiaozuo Hegang shows a decreasing and then increasing trend, indicating that as the intensity of coal  
407 mining decreases, the ecosystems of these cities begin to gradually self repair. At the same time, the  
408 implementation of ecological protection policies will also increase ESV. Hegang has gone through  
409 NFCP, natural forest conservation project; GTGP, grain to green project policies (Mao et al., 2019).  
410 Jiaozuo City has implemented ecological protection policies for the South to North Water Diversion  
411 Project since 2010. Shaoguan and Liaoyuan first increased and then decreased. The reason for this  
412 ESV change is not yet clear, and it may be caused by the interaction of multiple factors. Because  
413 the impact of coal mining on ecosystems seems to be related to spatiotemporal variability, such as  
414 time lag, spatial spillovers, and cumulative spatiotemporal effects (Liu et al., 2022).

#### 415 4.2 Ecological compensation

416 This study also evaluated the ecological compensation priority of coal resource depleted cities in  
417 China based on ESV and regional economic development. By filling a crucial gap in understanding  
418 ecological compensation needs in CRDC, the study offers valuable insights for improving transfer  
419 payments and ecological management strategies. Policymakers could benefit from these findings to  
420 implement more effective and equitable compensation systems. The ecological compensation  
421 priority of the five cities of Shuangyashan, Shaoguan, Qitaihe, Hegang, and Baishan is relatively  
422 high, all exceeding 0.3. Meanwhile, there are four cities with moderate compensation priority,  
423 including Shizuishan, Liaoyuan, Fuxin, and Fushun. The compensation priority is between 0.1 and  
424 0.25, while other cities do not exceed 0.1.

425 High priority compensation cities are mainly distributed in region A and B. This is similar to the  
426 distribution of compensation quotas. Except for Shaoguan, the top 8 cities in the compensation quota  
427 belong to region A and B, accounting for 72%, which is consistent with national policies. In 2019,  
428 the top three provinces in China's resource depleted city transfer payment allocation table were also  
429 located in Liaoning Province, Heilongjiang Province, and Jilin Province in region A and B. The  
430 region A and B are located in the northeast of China, with abundant natural resources such as coal  
431 and oil, developed forestry and agriculture, and a forest coverage rate of over 40%. In the last century,

432 it supplied China with coal and oil up to 50%. However, this has also damaged a large amount of  
433 ecosystems, so ecological compensation for these regions is urgent.

434 At the same time, we also found that the ecological compensation amount in some cities exceeded  
435 the national transfer payment allocation for resource depleted cities, such as 2.541 billion yuan in  
436 Heilongjiang Province and 2.278 billion yuan in Jilin Province, corresponding to transfer payment  
437 amounts of 1.25 billion yuan and 1.89 billion yuan respectively. The ecological compensation  
438 amount in other cities exceeded the transfer payment amount, indicating that the country should  
439 further improve the ecological compensation in the areas of Heilongjiang Province and Jilin  
440 Province in the future, including Baishan, Shuangyashan, Qitaihe, Hegang and Liaoyuan.

441 Cities with ecological compensation priority not exceeding 0.1 include Pingxiang, Tongchuan,  
442 Wuhai, Jiaozuo, Huaibei, and Zaozhuang. The ecological compensation amount in these cities is  
443 also relatively low, not exceeding 100 million yuan. The unit ESV in these areas is relatively low,  
444 but the economic level is relatively developed, so the demand for national vertical compensation  
445 may be lower because economically developed areas are more willing to carry out environmental  
446 protection policies. The relationship between ecosystem service value and economic development  
447 level is particularly noteworthy, revealing that higher economic activity does not necessarily equate  
448 to higher ecological value. This counterintuitive finding emphasizes the importance of prioritizing  
449 ecological health in policy decisions.

#### 450 4.2 Policy recommendations

451 The ecological compensation system and policy of mining areas have been widely quoted in  
452 developed countries. In 1939, West Virginia issued the first law governing mining: Reclamation  
453 Law (Land Reclaim Law). Under the guidance of the law, the vegetation destruction of mining in  
454 mining areas in the United States was effectively controlled. Germany has formulated the principles  
455 of landscape ecological reconstruction and the distinction between the old and the new ones.  
456 According to the Federal Mining Law, the ecological compensation of mining areas in Germany is  
457 divided into two categories: first, the ecological environment damage caused by the newly  
458 developed mineral resources, and second, the ecological environment damage of the waste mining  
459 areas left by history, that is, the old account. While The valuable experience of German landscape  
460 ecological reconstruction and the principle of old and new distinction has a high reference

461 significance for the ecological restoration and management of mining areas in China. In order to  
462 further standardize the ecological compensation behavior of mining enterprises, Australia  
463 implements the mine environmental impact assessment system. It requires mining enterprises to  
464 submit mine environmental impact assessment reports, and clarify in detail the specific  
465 countermeasures to compensate for the ecological environment damage caused by the mining  
466 process, so as the prerequisite for obtaining the mining license (Liu et al., 2023).

467 According to the existing international ecological compensation system and policies, combined with  
468 the actual development of journals in China, this paper puts forward the following suggestions.

469 For the national level, as an environmental and economic policy, ecological compensation  
470 mechanism involves the ecological environment, protection and construction of the interests of  
471 relevant parties. Therefore, we urgently need to carry out unified legislation on ecological  
472 compensation to meet the actual needs of ecological environment construction and resource-  
473 exhausted city transformation under the new situation. A complete legal system of ecological  
474 compensation fund compensation should be established, and the legislative principle of ecological  
475 compensation in coal mining areas should be determined. Based on the principle of "who develops  
476 who protects, who destroys who recovers, who benefits, who pays", and the principle of combining  
477 ecological and economic benefits. At the same time, we should speed up the special legislation of  
478 ecological compensation in coal mining areas and strengthen the unity of laws and regulations. At  
479 the same time, we should pay attention to the connection and cooperation with the Criminal Law,  
480 Environmental Protection Law, Tax Law and other existing laws and other departments and  
481 regulations, so as to ensure the unity and coordination between relevant laws and regulations (Wang  
482 et al., 2024; Xiong et al., 2020). For local region, regional compensation methods should be explored,  
483 compensation strategies should be refined, exploration and mining rights should be improved, by  
484 actively delegating some exploration rights and the approval and registration rights, we can save the  
485 labor and time cost, and adjust the mining right royalties to improve the future mining efficiency.  
486 Strict access and exit mechanisms should be formulated, It helps to reduce the ecological  
487 environment of the mining area Potential risk of quality decline. Lastly, the fund guarantee system  
488 should be improved, and the scope of funds should be defined (Li et al., 2019 ).

### 489 4.3 Limitations

490 This study still has many limitations. We conducted ecosystem service accounting based on large-  
491 scale data, so we failed to effectively measure the areas below the municipal level. Compared with  
492 the overall urban spatial area, the mining area is relatively small. Due to the large study area, we  
493 evaluated ecosystem services by equivalent factor method, and in the future, we should accurately  
494 describe and evaluate ecosystem services changes by model methods based on actual data (Jia et al.,  
495 2023). In addition, the content of our study is for the longitudinal supplementary study of Chinese  
496 resource-exhausted cities, in the future research should be considered in coal exhausted city  
497 transverse supplementary study, because the coal mining space spillover effect, should be based on  
498 the coal mining spillover effect associated between regional ecological supplement study, this is a  
499 key challenge to be solved (Fan et al., 2023).

### 500 **5. Conclusions**

501 The total ESV of the CRDC ecosystem services decreased from 236.053 billion yuan in 2000 to  
502 230.565 billion yuan in 2020. In 2020, the value of forest ecosystem services was the highest,  
503 contributing 173.356 billion yuan, accounting for 75.21% of the total value, followed by arable land  
504 and water bodies, contributing about 25 billion yuan, accounting for 11% of the total value The  
505 regulation service value has the highest proportion, accounting for 69% of ESV, with a value of  
506 158.396 billion yuan, followed by the support service value, accounting for 22%, with the value of  
507 49.617 billion yuan.

508 The value of ecosystem services and the level of economic development have positive and negative  
509 relationships with ecological compensation priorities, respectively. The total ecological  
510 compensation amount is 7.824 billion yuan. High priority cities for ecological compensation are  
511 located in region A and B, with a relatively low level of economic development, making them cities  
512 in urgent need of ecological compensation. Including Shuangyashan, Hegang, Baishan, the priority  
513 of ecological compensation exceeds 0.45, with ecological compensation amounts of 21.48, 12.01,  
514 and 1.09 billion yuan respectively.

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519 The authors declare that there is no conflict of interest.

520 **Author Contributions**

521 All authors contributed to the study conception and design. Jiao Wang and Mingyou Wang were  
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523 content of the article. Jiyang Liu for the model work.

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