

# Enhanced Deep Maxout Network for Monitoring Particulate Matter 2.5 and 10 Concentration in Air via Interpolated Data Smoothing

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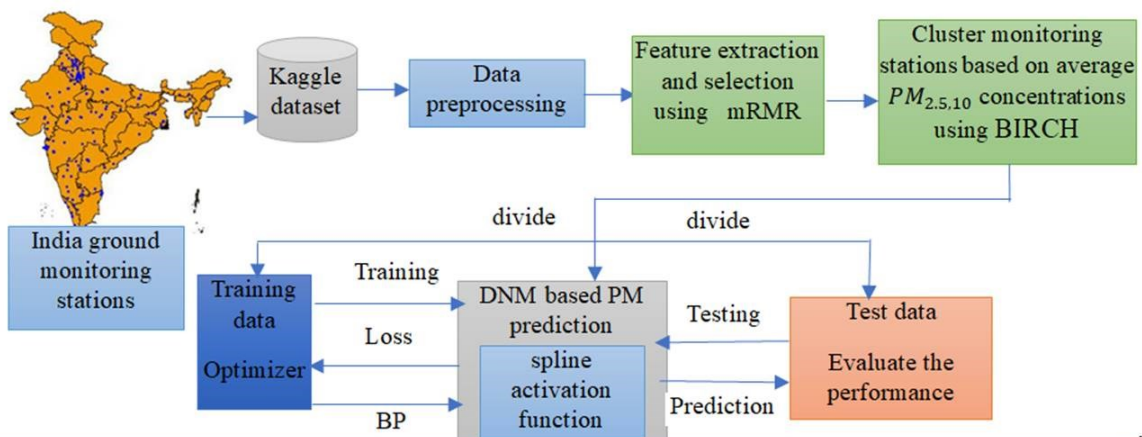
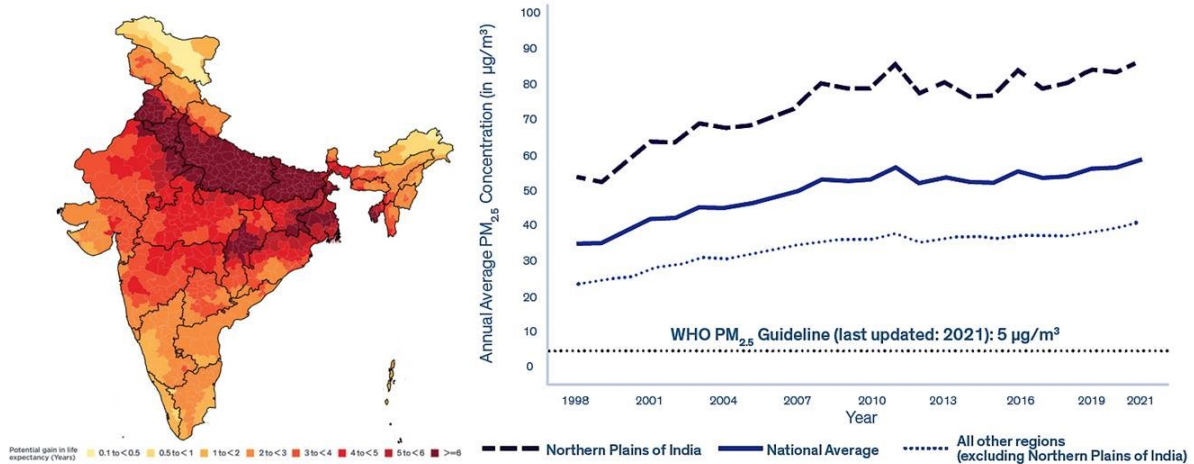
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# 1 Graphical Abstract



Conclusion: new model to address challenges in  $PM_{2.5}$  and  $PM_{10}$  concentration air monitoring systems by proposing an innovative DMN architecture and this enhances robustness and effectiveness of the proposed approach in enhancing air quality measurements, facilitating informed decision-making in environmental management, and advancing public health initiatives

2

3 **Abstract:** Currently, most of the global population resides in metropolitan areas, where air  
 4 quality standards are not properly monitored. As a result, people are constantly exposed to air  
 5 contaminants that exceed the thresholds set by the World Health Organization (WHO). Air  
 6 quality monitoring system is often encountering challenges such as discontinuities and  
 7 missing data in time sequences, affecting the accuracy of measurements. This paper presents  
 8 an innovative approach to address these issues in  $PM_{2.5}$  and  $PM_{10}$  concentration air monitoring  
 9 systems proposes a novel Deep Maxout Network (DMN) architecture enhanced with  
 10 Polynomial and Spline Interpolation methods to effectively handle the discontinuities in data  
 11 sequences. By smoothing transition fitting curves at interval connections, the proposed model  
 12 generates an optimal dataset, improving the robustness and accuracy of air quality  
 13 measurements. First, the data is collected and pre-processed. Then, the features are extracted  
 14 and selected by using minimum redundancy maximum relevance (mRMR). Then similar  
 15 features are clustered by using Balanced Iterative Reducing and Clustering using Hierarchies  
 16 (BIRCH) scheme. Finally, the  $PM$  concentration is predicted by using DMN. Experimental  
 17 results demonstrate the effectiveness of the proposed approach in enhancing the reliability of  
 18 Matter $_{2.5}$  and  $PM_{10}$  concentration monitoring systems using Air Quality Data in India from  
 19 kaggle, providing a promising scope for precise  $PM_{2.5}$  and  $PM_{10}$  concentration forecasting with  
 20 practical implications for air quality management and public health initiatives.

21 **Keywords:** Air quality monitoring, BIRCH, Deep Maxout Network, Minimum Redundancy  
22 Maximum Relevance, PM Concentration 2.5 and 10 forecasting.

## 23 1. Introduction

24 Air pollution is a prominent global environmental issue, and India is among the  
25 nations that experience substantial impacts from elevated concentrations of particulate matter  
26 (PM) in the environment. Particulate matter comprises micro particles that are spread out in  
27 the atmosphere. These particles are classified based on their size, with PM10 (particles  
28 measuring 10 micrometres or smaller) and PM2.5 (particles measuring 2.5 micrometres or  
29 smaller) being particularly hazardous because they can deeply infiltrate the respiratory  
30 system. Monitoring and predicting PM10 and PM2.5 levels in real-time are crucial for  
31 assessing air quality and implementing timely interventions to mitigate the adverse health  
32 effects associated with air pollution. Therefore, the development of accurate prediction  
33 models for PM10 and PM2.5 levels has become a focus of research, especially in regions like  
34 India where air pollution poses significant challenges to public health and environmental  
35 sustainability.

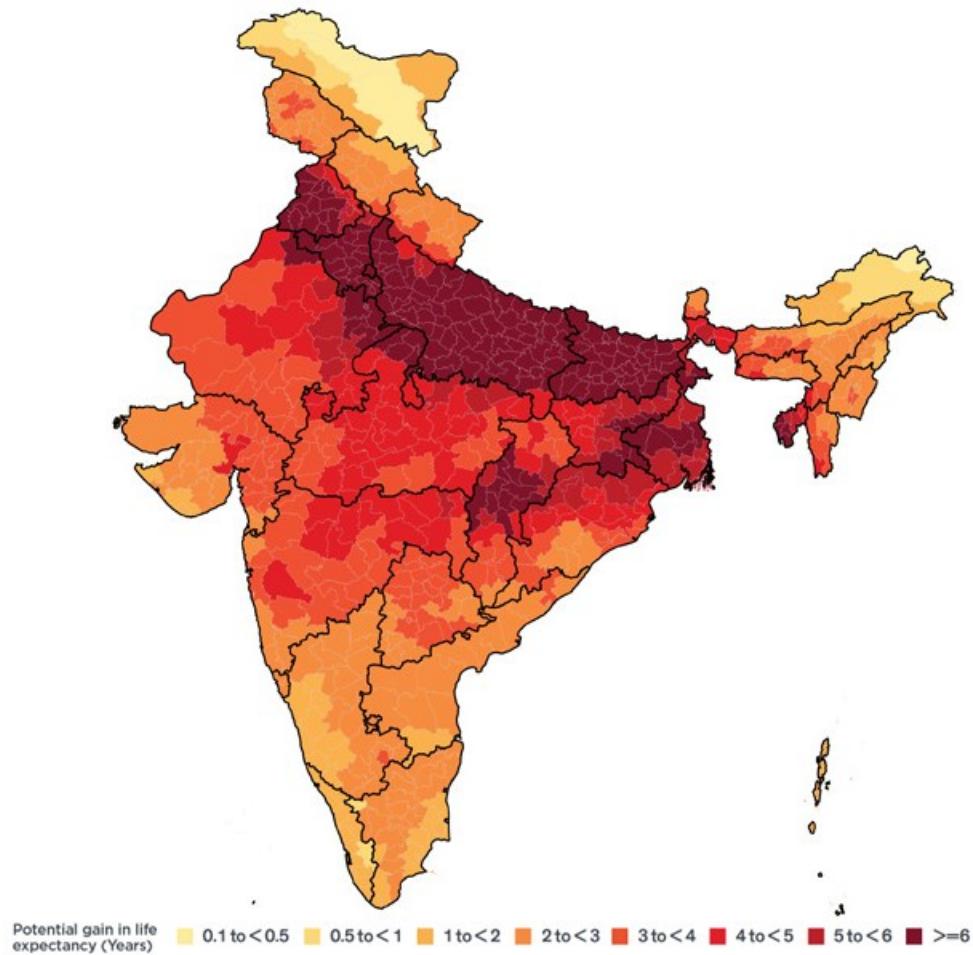
36 Recently, the quick growth of industrialization has been followed by a concerning rise in air  
37 pollution, drawing global attention due to its severe impacts, resulting in the deaths of  
38 approximately 7 million people annually (Jasarevic et al. 2021) (Li et al. 2015). Among the  
39 various air contaminants, PM2.5 stands out as a particularly hazardous component, capable of  
40 penetrating the nasal passages and reaching the lungs and throat upon inhalation (Di et al.  
41 2017), posing a significant threat to human health. A study by Heft-Neal et al. in 2018  
42 revealed that PM2.5 concentrations exceeding minimum exposure levels contributed to 22%  
43 of infant deaths in 30 surveyed countries, resulting in approximately 449,000 additional  
44 infant deaths in 2015, a figure more than triple the existing estimates attributing infant  
45 mortality to poor air quality (Heft-Neal et al. 2018). Consequently, controlling and preventing  
46 air pollution has become an urgent global priority. Real-time monitoring of air pollution  
47 levels is essential to achieve this goal (Cheng et al. 2018), and the use of sensors has  
48 facilitated the collection of extensive air quality data across various applications (Xue and  
49 Chen, 2020) (Xue and Chen, 2019).

### 50 1.1. Air Pollution in Indian Scenario

51 India ranks as the second most polluted nation globally. The average life expectancy  
52 of an Indian is reduced by 5.3 years due to fine particle air pollution (PM2.5), compared to  
53 the life expectancy if the WHO recommendation of  $5 \mu\text{g}/\text{m}^3$  were observed. Certain regions  
54 in India experience much higher levels of air pollution, resulting in a reduction in life  
55 expectancy by 11.9 years in the National Capital Territory of Delhi, which is recognized as  
56 the most polluted city globally. All 1.3 billion individuals in India reside in regions where the  
57 yearly mean level of particle pollution above the guideline set by the WHO. Specifically, 67.4  
58 percent of the population resides in places that surpass the country's own national air quality  
59 threshold of  $40 \mu\text{g}/\text{m}^3$  (Fiordelisi et al. 2017).

60 Particulate pollution poses the most significant risk to human health in India, reducing the  
61 typical Indian's life expectancy by 5.3 years. Cardiovascular disorders have a negative impact  
62 on the average life expectancy of Indians, reducing it by around 4.5 years. Similarly, infant,  
63 and maternal malnutrition decrease life expectancy by 1.8 years. The level of particulate  
64 pollution has risen throughout the course of time. Between 1998 and 2021, there was a  
65 significant rise of 67.7 percent in the average annual particle pollution, resulting in a further  
66 decrease of 2.3 years in the average life expectancy. India has accounted for 59.1 percent of  
67 the global pollution rise between 2013 and 2021. If present pollution levels remain, 521.2

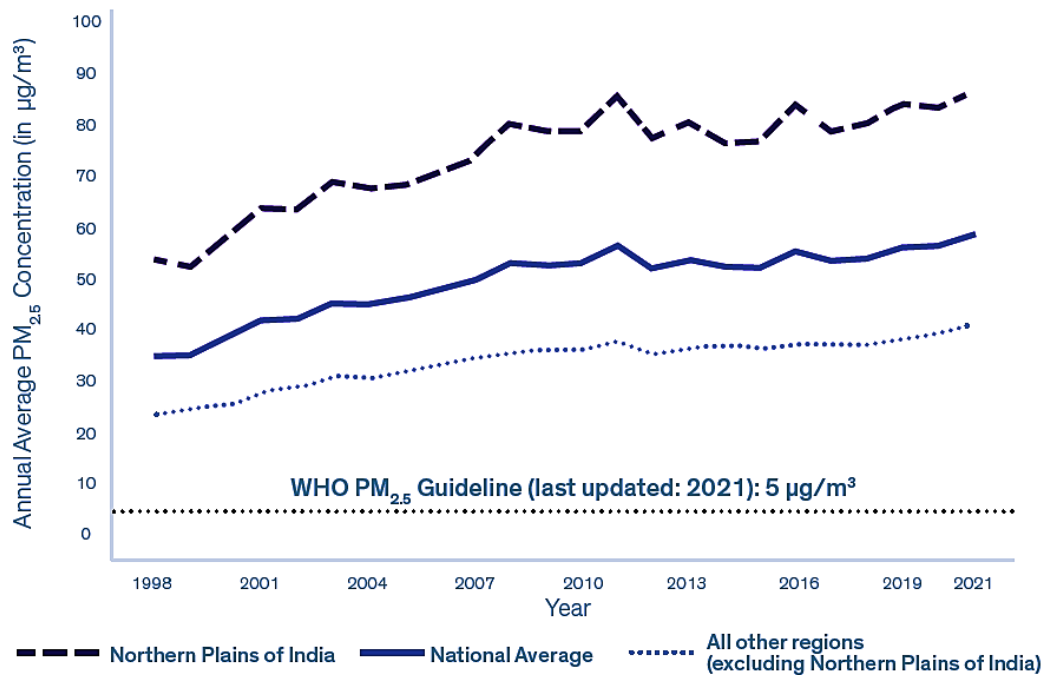
68 million individuals, which accounts for 38.9 percent of India's population, in the most  
69 polluted region of the country, are projected to have an average loss of 8 years in life  
70 expectancy compared to the WHO recommendation and 4.5 years compared to the national  
71 norm. If India were to decrease particle pollution to comply with the WHO recommendation,  
72 the inhabitants of Delhi, the capital and most populous city of India, would see an increase in  
73 life expectancy by 11.9 years. The population of North 24 Parganas, which is the second most  
74 populated area in the country, will experience an increase of 5.6 years in their life expectancy.



75

76

**Figure 1.** Potential Gain in Life Expectancy Reduction on PM2.5 Effect from 2021 in India



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78

**Figure 2.** Average PM<sub>2.5</sub> Concentrations in India

79 Given the heightened focus on air pollution, numerous researchers have dedicated significant  
 80 efforts to studying this issue, resulting in a plethora of relevant research studies. Primary  
 81 among the machine learning (ML) approaches implemented to air pollution prediction are  
 82 artificial neural networks (ANNs), ensembled learning techniques, support vector machines  
 83 (SVMs), and various hybrid methodologies (Mendez et al. 2023). Eventually, many current  
 84 air quality prediction methodologies primarily emphasize model selection and overlook the  
 85 analysis of factors driving changes in air pollution concentration. Furthermore, the recent  
 86 surge in deep learning frameworks offers flexibility but can lead to the development of deep  
 87 and complex models to fit datasets. Consequently, overfitting issues may arise, especially  
 88 with large neural network models containing numerous parameters.

89 This research confronts the critical issue of unreliable air quality monitoring in major cities,  
 90 where people are constantly exposed to pollutants exceeding WHO safety limits. Existing  
 91 monitoring systems struggle with gaps and missing data, hindering measurement accuracy. To  
 92 address this challenge, this research proposes a novel solution: an Enhanced Deep Maxout  
 93 Network (DMN) architecture, empowered by Polynomial and Spline Interpolation methods.  
 94 This innovative approach strives to create seamless transition curves at data intervals,  
 95 generating a more complete dataset and significantly improving the robustness and accuracy  
 96 of air quality measurements, especially for PM<sub>2.5</sub> and PM<sub>10</sub> concentrations. The research  
 97 aims to not only develop but also validate this novel methodology, which is pivotal for  
 98 advancing air quality monitoring capabilities and facilitating informed decision-making in  
 99 environmental management. Ultimately, this approach aids public health from the detrimental  
 100 effects of air pollution. Furthermore, the research carries global potential, offering a solution  
 101 for improving air quality monitoring systems worldwide and contributing to broader  
 102 sustainable development initiatives. The main contributions of the work are:

- 103 • Cleanse and preprocess the data to handle missing values, outliers, and  
 104 inconsistencies. Normalize or scale the features to ensure uniformity and facilitate  
 105 clustering analysis. Extract relevant features from the air quality data, including

106 pollutant concentrations such as PM<sub>2.5</sub>, PM<sub>10</sub>, and meteorological variables like  
107 temperature, humidity, wind speed, and temporal data like time of day, day of week.  
108 • Utilize techniques such as mRMR or feature selection to reduce dimensionality and  
109 enhance clustering performance. BIRCH clustering algorithm is applied to group  
110 similar air quality patterns and identify distinct clusters representing different  
111 pollution profiles. Analyse the characteristics of each cluster to understand the  
112 underlying patterns and factors influencing air pollution levels.  
113 • Identify common features and trends within clusters, such as high pollutant  
114 concentrations during specific time periods, Incorporate the clustered information as  
115 additional features or contextual factors into air quality prediction models.  
116 • The efficiency of the proposed methodology is demonstrated by the experimental  
117 findings in enhancing the reliability of air quality monitoring systems, offering  
118 practical implications for air quality management and public health initiatives in  
119 India.

120 The organisation of this work is as follows: section 2 describes the research methodology and  
121 section 3 evaluates the performance of proposed scheme; section 4 concludes the work.

## 122 2. Related Works

123 Prior research works have examined the forecasting of PM<sub>2.5</sub> levels, predominantly  
124 employing numerical or statistical learning techniques. Significantly, deep learning (DL)  
125 approaches have emerged as a prominent and extensively embraced aspect of statistical  
126 learning. These strategies have been found to be helpful in overcoming issues that are often  
127 encountered by traditional models. The effectiveness of deep learning in predicting PM<sub>2.5</sub>  
128 levels is ascribed to its ability to effectively process large datasets, a critical factor in this  
129 form of prediction [2.5]. Temporal data on PM<sub>2.5</sub> exhibits a dynamic functional connection.  
130 Deep learning has demonstrated exceptional proficiency in representing complex  
131 relationships and has displayed outstanding results in diverse time-series prediction tasks. As  
132 a result, it has emerged as the favoured method for addressing the difficulties associated with  
133 predicting PM<sub>2.5</sub> concentrations. Deep learning is utilized as a fundamental approach in  
134 PM<sub>2.5</sub> concentration forecasts to enhance the accuracy and efficiency of mathematical  
135 simulation approaches. This study offered a thorough examination of deep learning as the  
136 fundamental technique for forecasting the concentration of PM<sub>2.5</sub> particles. Multiple studies  
137 have examined deep learning-based methods for forecasting PM<sub>2.5</sub> levels, offering valuable  
138 insights from different viewpoints.

139 The study conducted by (Liu et al. 2021) employed Q-learning for ensuring the Graph  
140 reinforcement learning Convolutional Network-Long Short-Term Memory-Gradient  
141 Recurrent Unit (GCN-LSTM-GRU) deep learning approach achieved convergence to an  
142 optimum policy with specific constraints. Q-learning was a reinforcement learning technique  
143 that was particularly useful for handling contexts with extensive or uninterrupted state spaces.  
144 Although the integration of ML and deep learning provide robust models for several  
145 applications, these models continue to have certain constraints, including limited  
146 interpretability and high computational costs. Consequently, it becomes more challenging to  
147 track and utilize these models on devices with limited resources for future utilization.

148 The work by (Wu et al. 2024) developed a novel hybrid model was created to estimate the  
149 mass concentration of PM<sub>2.5</sub> and PM<sub>10</sub> with minimal reliance on on-site data. The PM<sub>10</sub>  
150 and PM<sub>2.5</sub> concentrations in Beijing, China were estimated utilizing the Gaofen-1 satellite  
151 and Moderate Resolution Imaging Spectroradiometer (MODIS) data, with a spatial resolution  
152 of 100 m. Subsequently, the PM<sub>10/2.5</sub> mass concentrations information from 2020 were

153 utilized to do the spatio-temporal study aimed at examining the characteristics of particulate  
154 matter in Beijing. The ground stations provided validation for the estimation results of  
155 PM<sub>2.5</sub>, with R<sup>2</sup> values varies from 0.91 to 0.98 and root mean squared errors (RMSE) varies  
156 from 4.51 to 17.04 µg/m<sup>3</sup>. Similarly, the ground stations validated the estimation results for  
157 PM<sub>10</sub>, with R-squared values varies from 0.85 to 0.98 and RMSE values varies from 6.98 to  
158 29.00 µg/m<sup>3</sup>.

159 Furthermore, the studies by (Zhang et al. 2022) and (Li et al. 2022) introduced hybrid  
160 frameworks that included a Convolutional Neural Network (CNN), a Long Short-Term  
161 Memory (LSTM), and an attention mechanism. Additionally, (Zhang et al. 2022) focused on  
162 the estimation of air pollution at a fine-grained level. Implementing an attention mechanism  
163 enabled the model to selectively concentrate on significant features. DL hybrid models has  
164 several notable qualities, including their capacity to effectively capture intricate correlations  
165 and patterns inherent in data of PM<sub>2.5</sub> concentrations, integrate Spatio temporal data, and  
166 demonstrate adaptability to diverse environment situations. Nevertheless, deep learning  
167 hybrid methodologies may want substantial computer resources, extensive data, and  
168 meticulous model optimization to get ideal outcomes.

169 The study by (Ejurothu et al. 2023) suggested the use of clusters-based Local Hybrid Graph  
170 Neural Networks (HGNN) approach as an alternative to employing a singular GNN for the  
171 purpose of monitoring stations-wise multi-steps PM<sub>2.5</sub> concentrations forecasts throughout  
172 the states of India. This technique acknowledged and accommodated abrupt fluctuations in  
173 PM<sub>2.5</sub> levels caused by local weather variability. The Hybrid GNN models at the local level  
174 were composed of two components: a spatio-temporal component that incorporates the GNN  
175 and Gated Recurrent Unit layers. This unit was designed to capture the impact of wind  
176 velocity and various meteorological factors on PM<sub>2.5</sub> concentrations. The next component  
177 was a unit for extracting meteorological features at each station to determine their influence  
178 on PM<sub>2.5</sub> concentrations. It also examines the temporal relationship among historic data. The  
179 work by (Mohan and Abraham, 2023) developed a novel Ensemble Deep Particulate  
180 Forecaster (EDPF) model was introduced, which integrates a LSTM network, CNN, and  
181 random forests model.

182 The study by (Gunasekar et al. 2022) introduced an optimized and sustainable hybrid model  
183 called ARTOCL. This system combined LSTM and CNN to enhance the accuracy of air  
184 quality predictions and minimize false alarms. The hybrid deep learning model presented by  
185 (Mao et al. 2023) integrated BiGRU, CNN, and fully connected layers. Both techniques have  
186 the benefit of being capable of modelling both spatial and temporal patterns. A hybrid deep  
187 learning model was developed in the work by [Chiang and Horng, 2021], which combined a  
188 stacked autoencoder (SAE), GRU, and CNN. The method underwent training using an  
189 extensive data set of air pollution data from China. It demonstrated the capability to forecast  
190 hourly concentrations of various air pollutants with a lead time of up to 24 hours. The model  
191 has notable features, such as its capacity to effectively address missing data and its  
192 exceptional predictive accuracy. Hence, the hybrid model effectively leveraged the  
193 advantages of CNN and GRU to acquire knowledge of both global and local trends in the  
194 data of time-series, rendering it highly suitable for predicting air pollutants concentrations.  
195 The CNN components of the framework acquired knowledge of local patterns within the  
196 temporal sequences of values, whilst the GRU captured longer-term relationships and trends.

197 The study conducted by (Ding and Noh, 2023) introduced a hybrid model called Interpretable  
198 Neural Networks and a Graph Neural Network (INNGNN), which combined an interpretable  
199 neural network with a graph neural network. This model effectively captured the temporal  
200 and geographical variations in air quality and demonstrated precise prediction of air quality

201 over many steps. The initial step was the utilization of interpretable neural networks (INN) to  
 202 analyze a time series dataset, with the aim of identifying and extracting significant  
 203 components that may have been neglected. Subsequently, a self-attention mechanism was  
 204 employed to capture both local and global dependencies and linkages within the time series.  
 205 Finally, a city map was generated utilizing a GNN to ascertain the interconnections among  
 206 cities with the aim of extracting geographically specific characteristics.

207 The study by (Zhang et al. 2023) applied enhanced complementary ensemble empirical mode  
 208 decomposition (CEEMD)-LSTM models that were integrated with Fully Convolutional  
 209 Network (FCN) and CNN. Convolutional layers were utilized to facilitate feature selection,  
 210 hence improving the accuracy of predictions. A novel hybrid model was introduced in the  
 211 work, which integrated various deep learning approaches with statistical techniques. The  
 212 features were extricated, and the PM2.5 concentration was predicted using LSTM.  
 213 Nevertheless, a notable limitation of the approach lies in its dependence on the  
 214 meteorological data, potentially constraining its precision in regions with limited availability  
 215 of meteorological monitoring stations.

216 **Table 1.** Review on Analyzed Current Works

Approach Used	Application	Advantages	Disadvantages
Q-learning, GCN-LSTM-GRU	Air quality forecasting; Handling extensive or uninterrupted state spaces.	Robust models for various applications; Effective convergence to optimal policy with specific constraints.	Limited interpretability; High computational costs.
Satellite and MODIS data	Estimation of PM2.5 and PM10 concentrations in Beijing, China; Spatial resolution of 100m.	Minimal reliance on on-site data; Estimation validated against ground stations with high R <sup>2</sup> and low RMSE values.	Reliance on satellite data; Limited to specific geographical area.
Hybrid CNN-LSTM-Attention	Estimation of air pollution at a fine-grained level; Selective concentration on significant features.	Effective capture of intricate correlations and patterns in PM2.5 concentrations; Integration of spatiotemporal data.	Substantial computational resources; Extensive data requirements; Model optimization challenges.
Hybrid GNN	Monitoring stations-wise multi-steps PM2.5 concentrations forecasts in India; Handling abrupt fluctuations due to local weather variability.	Accommodation of local weather variability; Capturing impact of meteorological factors on PM2.5 concentrations.	Limited geographical scalability; Complex architecture.
EDPF Model	PM2.5 concentration forecasting; Integration of LSTM, CNN, and random forests model.	Combines strengths of LSTM, CNN, and random forests; Potential for improved predictive accuracy.	Computational complexity.
LSTM-	Air quality prediction;	Enhanced accuracy of air	Computational



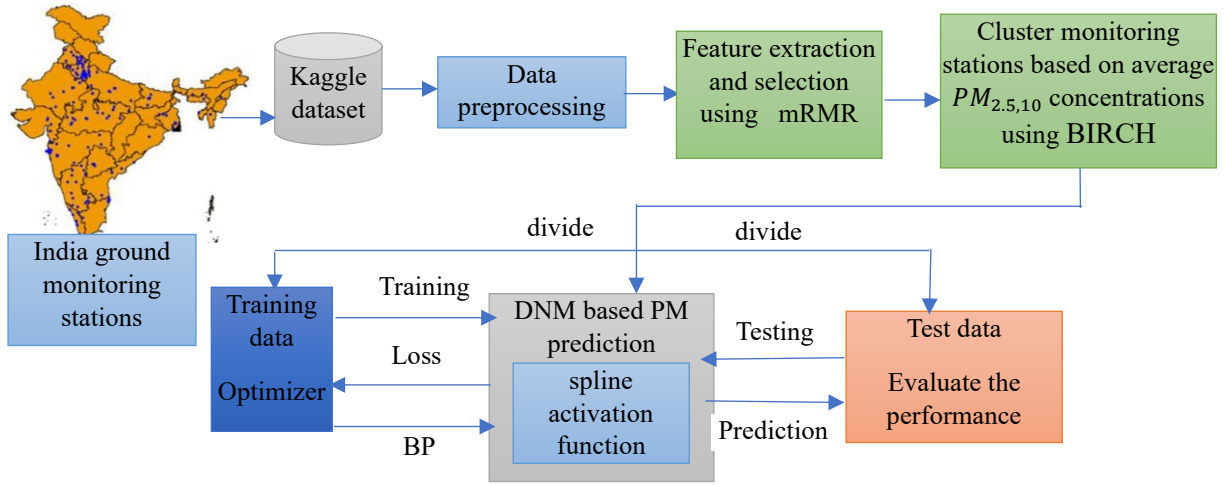
CNN Model	Combining LSTM and CNN.	quality predictions; Minimization of false alarms.	complexity.
Hybrid BiGRU-CNN	Spatial and temporal pattern modeling; Integration of BiGRU, CNN, and fully connected layers.	Modeling of both spatial and temporal patterns; Integration of global and local trends in data.	Complexity in architecture; Computational resources requirement.
Hybrid SAE-GRU-CNN	Hourly air pollutants concentration forecasting; Combination of stacked autoencoder (SAE), GRU, and CNN.	Effective addressing of missing data; Exceptional predictive accuracy; Knowledge acquisition of global and local trends in time-series data.	Potential overfitting; Complex architecture.
INNGNN Model	Temporal and geographical variations in air quality prediction; Combination of interpretable neural network and graph neural network.	Precise prediction of air quality over many steps; Identification of significant components in time series data.	Complexity in architecture; Reliance on interpretability of neural networks.
CEEMD-LSTM-FCN-CNN	PM2.5 concentration prediction; Integration of CEEMD-LSTM models with FCN and CNN.	Improved accuracy of predictions; Feature selection facilitated by convolutional layers.	Dependence on meteorological data; Potential limitations in regions with limited meteorological monitoring stations.
Hybrid Model	PM2.5 concentration forecasting.	Effective utilization of various deep learning and statistical techniques; Potential for improved predictive accuracy.	Dependence on meteorological data; Potential constraints in regions with limited meteorological monitoring stations; Complexity in model integration.

217

### 218 3. Data and Methods

219 This section introduces an innovative approach to enhance the reliability of PM2.5  
220 and PM10 concentrations monitoring systems. It presents a novel Deep Maxout Network  
221 (DMN) architecture shown in fig 3, enhanced with Polynomial and Spline Interpolation  
222 methods, to effectively handle data discontinuities, thereby generating an optimized dataset  
223 for more robust and accurate air quality measurements. The system begins with data  
224 collection and preprocessing, followed by feature extraction and selection using mRMR  
225 criteria. Similar features are then clustered using the BIRCH scheme. Finally, PM  
226 concentration is predicted using the DMN architecture. Experimental results using Air  
227 Quality Data from India sourced from Kaggle repository demonstrate the effectiveness of the  
228 proposed approach, providing a promising solution for precise forecasting of PM2.5 and

229 PM10 concentration with practical implications for air quality management and public health  
 230 initiatives.



231  
 232 **Figure 3.** DMN based PM Concentrations Prediction Overall Process

233 *3.1. Dataset Description*

234 The dataset was collected from the website  
 235 <https://www.kaggle.com/datasets/fedesoriano/air-quality-data-in-india> by Fedesoriano in  
 236 2022. Providing data on significant air pollutants like particle matter (PM2.5 and PM10),  
 237 carbon monoxide (CO), sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), and ozone (O<sub>3</sub>), across  
 238 several cities in India. The dataset often contains timestamps that correlate to the day and  
 239 time of measurement, as well as pollutant concentrations measured in quantities such as  
 240 micrograms per cubic meter (µg/m<sup>3</sup>). This dataset is an essential source for analysts and  
 241 researchers to analyze air quality trends, investigate the impact of pollution on public health,  
 242 develop predictive models for predicting air quality, and evaluate the effectiveness of air  
 243 quality management strategies and policies. The metadata includes information on  
 244 monitoring locations and quality control.

245 *3.2. Preprocessing using Inverse Distance Weighting (IDW)*

246 IDW interpolation can be used for data preprocessing and normalization in air quality  
 247 datasets to estimate missing values or to create a continuous surface from sparse monitoring  
 248 points (De Mesnard, 2013). The IDW formula for air quality datasets can be adapted as  
 249 follows:

$$250 \quad AQ(p) = \frac{\sum_{i=1}^n AQ_i/d_i^x}{\sum_{i=1}^n 1/d_i^x} \quad (1)$$

251 Where  $AQ(p)$  as the estimated air quality value at location  $p$ ,  $AQ_i$  is the measured air quality  
 252 value at known location  $i$  and  $d_i$  as the Euclidean distance among the unknown location  $p$  and  
 253 the location known  $i$ ,  $x$  as the power parameter controlling the rate of distance decay and  $n$  as  
 254 the number of known monitoring points used in the interpolation.

255 From eqn. (1), the numerator represents the weighted sum of measured air quality values at  
 256 known locations, where the weight assigned to each measurement is inversely proportional to  
 257 the distance between the known location and the estimation location raised to the power  $x$ .

258 The denominator represents the sum of the weights, ensuring that the weighted average is  
 259 properly normalized. The power parameter  $x$  controls the rate at which the influence of

260 distant monitoring points decreases as distance increases. Typical values for  $x$  range from 1 to  
261 3, with larger values giving more weight to nearby points. By applying the IDW interpolation  
262 method, missing air quality data can be estimated, and the dataset can be normalized to create  
263 a continuous surface, facilitating further analysis and visualization of air quality patterns.

### 264 3.3. Feature Selection using mRMR

265 The minimum redundancy maximum relevance (mRMR) algorithm is a heuristic  
266 feature selection method that aims to identify the subset of features that maximizes the  
267 relevance to the target variable while minimizing redundancy among selected features  
268 (Radovic, et al. 2017). It does not involve solving a specific mathematical model but rather  
269 relies on ranking features based on relevance and redundancy criteria.

270 Relevance Measure: The relevance of a feature to the target variable (e.g., PM concentration)  
271 can be quantified using a suitable metric, such as mutual information, correlation coefficient,  
272 or information gain. Mathematically, this can be represented as:

$$273 \quad R(P_i, Q) = MI(P_i; Q) \quad (2)$$

274 Where  $P_i$  is the  $i$ -th feature,  $Q$  is target variable, and  $MI(P_i; Q)$  denotes the mutual  
275 information between  $P_i$  and  $Q$ .

276 Redundancy Measure: The redundancy between two features  $P_i$  and  $P_j$  can be quantified  
277 using a measure such as conditional mutual information or inter-feature distance.  
278 Mathematically, this can be represented as:

$$279 \quad Red(P_i, P_j) = MI(P_i; P_j) \quad (3)$$

280 Where  $MI(P_i; P_j)$  denotes the mutual information between  $P_i$  and  $P_j$ .

281 mRMR Criterion: The mRMR criterion balances relevance and redundancy to rank features.  
282 It aims to maximize the relevance of selected features while minimizing their redundancy.  
283 Mathematically, the mRMR criterion can be expressed as:

$$284 \quad mRMR(P_i) = R(P_i; Q) - \frac{1}{k} \sum_{j=1}^k Red(P_i, P_j) \quad (4)$$

285 Where  $P_i$  is the  $i$ -th feature,  $Q$  is the target variable,  $k$  is the number of previously selected  
286 features, and  $X_j$  represents the  $j$ -th selected feature.

287 Feature Ranking: Features are ranked based on their mRMR scores, with higher scores  
288 indicating greater relevance and lower redundancy. The top-ranked features are selected for  
289 further analysis or model training.

290 Iterative Approach: The mRMR algorithm may involve an iterative approach where features  
291 are selected one at a time based on the mRMR criterion. After each feature selection step, the  
292 relevance and redundancy measures are recalculated to account for the updated set of selected  
293 features.

294 By applying the mRMR algorithm, a subset of features highly relevant to PM concentration  
295 can be selected while minimizing redundancy among selected features, facilitating more  
296 efficient and interpretable predictive models.

### 297 3.4. BIRCH Algorithm for PM Concentration Clustering

298 After feature selection, the same profile features are clustered by using BIRCH  
299 clustering algorithms (Lorbeer et al. 2018). It is used to group similar air quality patterns and

300 identify distinct clusters representing different pollution profiles. And Identify common  
301 features and trends within clusters, such as high pollutant concentrations during specific time  
302 periods, under particular meteorological conditions. Interpret the clusters in terms of their  
303 implications for air quality management and potential interventions.

304 Assume a cluster consisting of  $n$  d-dimensional data points or objects. The clustering features  
305 (CFs) of the clusters are a three-dimensional vector that effectively summarizes data about  
306 the cluster of the objects. The CF includes three components: the centroid, the radius, and the  
307 number of points in the cluster.

308 Centroid: This represents the center point of the cluster and is calculated as the average of the  
309 coordinates of all points in the cluster along each dimension.

310 Radius (R): The radius of the cluster indicates the spread or dispersion of the points around  
311 the centroid. It can be computed as the maximum distance between the centroid and any point  
312 within the cluster.

313 Number of Points: This component simply denotes the total number of data points in the  
314 cluster.

315 The clustering feature compactly captures essential characteristics of the cluster, enabling  
316 efficient computation and storage.

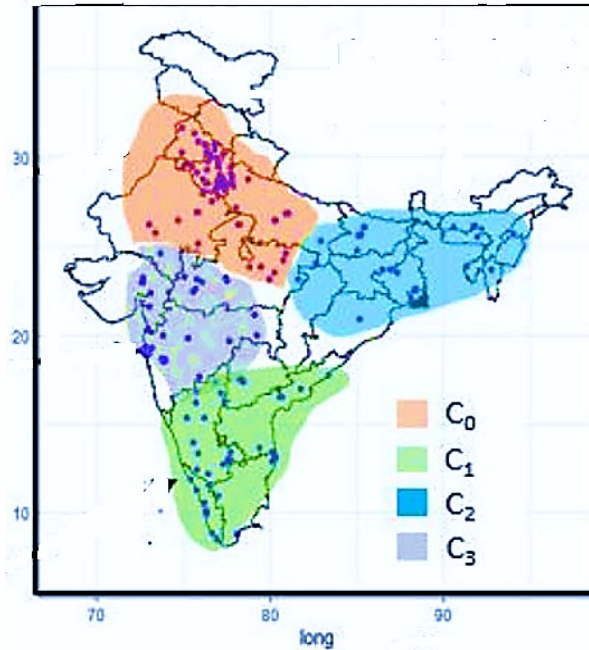
317 Additionally, BIRCH employs the Clustering Feature Tree (CF-tree) to represent a hierarchy  
318 of clusters. The CF-tree structure facilitates scalability and efficiency in handling large or  
319 streaming databases, as well as enables incremental and dynamic clustering of incoming  
320 objects.

321 By utilizing these structures, BIRCH overcomes two significant challenges encountered in  
322 agglomerative clustering approaches: the inability and scalability to reverse or undo previous  
323 clustering decisions. The CF and CF-tree enable BIRCH to efficiently summarize clusters and  
324 organize them into a hierarchical structure, making it suitable for handling large datasets and  
325 dynamic clustering scenarios. It is defined as follows.

$$326 \quad CF = \langle n, LeS, SqS \rangle \quad (5)$$

327 where  $LeS$  is the linear sum of the  $n$  points (i.e.,  $\sum_{i=1}^n p_i$ ), and  $SqS$  is the square sum of the  
328 data points (i.e.,  $\sum_{i=1}^n p_i^2$ ).

329 The utilization of the clustering function in BIRCH allows for the concise summarization of a  
330 cluster, hence circumventing the need to retain intricate details pertaining to specific objects  
331 or points. Instead, just a constant amount of space is required to preserve the clustering  
332 features. This efficiency in space utilization is a key advantage of BIRCH. Additionally,  
333 clustering features are additive, meaning that the clustering features of the combined clusters  
334 structured by integrating two disjoint clusters (C1 and C2) can be extracted from the  
335 clustering features of the individual clusters. This property simplifies the computation of  
336 clustering features during hierarchical clustering and contributes to the scalability and  
337 efficiency of the BIRCH algorithm. The clustering among ground monitoring stations is  
338 shown in fig 4 and the pseudocode of BIRCH is described given below Table 2.



339

340

**Figure 4.** Clusters based on BIRCH

341

**Table 2.** Pseudocode of BIRCH for PM concentration 2.5 and 10 clustering

```

Initialize BIRCH algorithm parameters:
- max nodes per cluster
- max leaf entries
- clustering radius
initialize BIRCH tree structure:
- root = empty node
function insert data point(pm data point):
  current node = root
  while current node is not leaf:
    if pm data point is within current node's clustering radius:
      for each child node in current node:
        if pm data point is within child node's clustering radius:
          current node = child node
          break
  if current node is leaf and current node has room for more entries:
    add pm data point to current node
  else:
    split node(current node)
    insert data point(pm data point) # recursively insert pm data point into newly split
node
function split node(node):
  if node has reached max leaf entries:
    split node into subclusters using a k-means clustering algorithm
    create new child nodes for each subcluster
    redistribute PM data points among child nodes
    update parent node's summary information
  if parent node has reached max nodes per cluster:
    split node(parent node) # recursively split parent node if necessary

```

```

function merge_clusters():
    recursively merge subclusters within each internal node
    update parent nodes' summary information
    if parent node's children are all leaf nodes:
        merge leaf_clusters(parent node)
function merge_leaf_clusters(node):
    if node has multiple leaf children:
        combine PM data points from all leaf children
        apply k-means clustering algorithm to form new leaf clusters
        update parent node's summary information
    if parent node has reached max nodes per cluster:
        split_node(parent node) # recursively split parent node if necessary

```

342

### 343 3.5. PM Prediction using Deep Maxout Networks

344 The clustered data is incorporated as additional features or contextual factors into air  
345 quality prediction models, then Deep Maxout Networks (Ramkumar et al. 2022) is used for  
346 PM prediction, that leverage both historical data and cluster information to predict future air  
347 pollution levels. In a typical DMN architecture, each layer consists of multiple units, and  
348 each unit computes a linear transformation followed by an activation function. Replace the  
349 traditional activation functions (e.g., ReLU, sigmoid) with spline activation functions in one  
350 or more layers of the DMN.

351 The syntax for the activation function of maxout is as follows: When provided with an input  
352  $\mathbf{p} \in \mathbb{R}^d$ , where  $p$  represents the input vector or the state of a hidden layer, let us consider the  
353 number of linear sub-units merged by a maxout activation (also known as maxout rank) as  $R$   
354 and  $R \ll d$ . In this scenario, a maxout activation first calculates  $R$  linear feature mappings  
355  $\mathbf{q} \in \mathbb{R}^d$ .

$$356 \quad q_i = \mathbf{w}t_i^T \mathbf{p} + b_i, \mathbf{w}t_i \in \mathbb{R}^d \quad i \in [R] \quad (6)$$

357 Where  $\mathbf{w}t_i$  is a weight vector associated with the linear transformation. It has the same  
358 dimensionality as the input vector  $\mathbf{p}$ ,  $T$  is input vector and  $b_i$  is the bias term associated with  
359 the linear transformation. Subsequently, the resultant value of the maxout hidden unit,  
360 denoted as  $h_{mt}$ , is provided as the highest value among the  $R$  feature mappings.

$$361 \quad h_{mt}(\mathbf{p}) = \max\{q_i\}_{i=1}^R. \quad (7)$$

362 Thus, suppose  $\mathbf{w}t'_i$  are independent linearly, the activation function of maxout could be  
363 viewed as carrying out a pooling operation across an input space of  $R$  dimensions.

$$364 \quad \mathcal{A} = \mathbf{b} + \mathcal{W}, \quad (8)$$

365 This describes the computation of the matrix  $\mathcal{A}$  by adding the bias vector  $\mathbf{b}$  to each column of  
366 the weight matrix  $\mathcal{W}$ . The activation function of cross-channel max pooling selects the  
367 maximum output across different channels or feature maps, which is then forwarded to the  
368 next layer. The maxout activation distinguishes itself from standard activation units by its  
369 distinctive structure, which often functions inside a one-dimensional linear space. In the  
370 context of a fully-connected deep maxout network with  $l$  hidden layers, let us consider the  $l_{th}$   
371 layer. If the  $l_{th}$  layer consists of  $N_l$  hidden units with a maxout rank  $R$ , the output of the  $l_{th}$   
372 layer, denoted as  $O^l$ , can be expressed as follows:

$$373 \quad O^l = \{h_{mt}^{l,j}(O^{l-1})\}_{j=1}^{N_{l-1}}, \quad (9)$$

374 where the superscript  $l, j$  of  $h_{mt}^{l,j}$  represents the  $j$ th maxout unit in the  $l$ th layer, and  $h_{mt}^{l,j}$  has  
 375 the structure defined in (6) and (7) with (6) adapted to  $O^{l-1}$ :

$$376 \quad q_i^{l,j} = (\text{wt}_i^{l,j})B^T x + b_i^{l,j}, \quad \text{wt}_i^{l,j} \in \mathbb{R}^{N_{l-1}}, \quad i \in [R]. \quad (10)$$

377 Where  $B$  is spline activation function.

### 378 3.5.1. Spline Activation Function for DMN

379 This process maps the linear transformation output to the desired non-linear response.  
 380 The spline function can be constructed using piecewise polynomial functions, such as cubic  
 381 splines, with knots defining the transition points between segments. The parameters of the  
 382 spline function, including the coefficients of the polynomials and the positions of the knots,  
 383 can be learned during training using backpropagation. The present study focuses on the  
 384 treatment of SAFs, specifically examining the simplest scenario with a single neuron  
 385 possessing a flexible AF. The computation of the outcome of the SAF is performed based on  
 386 a generic input  $p \in X^D$  using the following equation.

$$387 \quad sp = wt^T p, \quad (11)$$

$$388 \quad q = \varphi(sp; R), \quad (12)$$

389 The eventual bias term, denoted as  $wt \in X^D$ , is immediately included into the input vector.  
 390 The  $AF\varphi(\cdot)$  is then characterized by a vector  $q \in X^Q$ , which consists of internal parameters  
 391 known as knots. The knots in the dataset reflect a subset of the  $AF$  value over  $Q$  points that  
 392 encompass the whole function. Specifically, this approach assumes that the knots are evenly  
 393 distributed, with a constant value of  $\Delta p \in X$ , and symmetrically distributed about the origin.  
 394 The output is calculated by performing spline interpolation on the nearest knot and its  $Pn$   
 395 nearest neighbors, given the value of  $sp$ . The often-employed value of  $Pn = 3$ , as utilized in  
 396 this study, aligns with cubic interpolation. This decision is widely regarded as a desirable  
 397 compromise between the localization of the output and the precision of interpolation. The  
 398 normalized the margin value among  $q_i$  and  $q_{i+1}$  could be defined based on the index  $i$  of the  
 399 nearest loop.

$$400 \quad u = \frac{sp}{\Delta p} - \left\lfloor \frac{sp}{\Delta p} \right\rfloor. \quad (13)$$

401 The floor operator was denoted as  $\left\lfloor \frac{sp}{\Delta p} \right\rfloor$ . The normalized reference vector could be computed  
 402 from  $u$ , while the necessary control points can be extracted from  $i$  and referred to as the  $i$ th  
 403 span in the vector  $q_i$ . The result (12) is then calculated as:

$$404 \quad q = \varphi(sp) = u^T B q_i, \quad (14)$$

405 The spline basis matrix is denoted as  $B \in X^{(Pn+1) \times (Pn+1)}$ . The Catmull-Rom Spline (CRS)  
 406 with a value of  $Pn = 3$  is employed in this research, as presented below.

$$407 \quad B = \frac{1}{2} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 2 & -5 & 4 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & 2 & 0 & 0 \end{bmatrix} \quad (15)$$

408 This study examines the scenario of a single hidden layer neural network, where the input is  
 409 of size  $D$ , the hidden layer consists of  $H$  neurons, and the output neurons are of dimension  $O$ .  
 410 Each individual neuron inside the network employs a SAF that include distinct adaptive  
 411 control points, which are established autonomously throughout the training procedure. To  
 412 facilitate computational efficiency, let us assume that the sample set of the splines remains  
 413 consistent across all neurons, and that they possess a singular common basis matrix  $B$ . DMN  
 414 architectures, when combined with spline activation functions, can effectively reduce the  
 415 dimensionality of the input data while preserving important features. This can lead to more  
 416 efficient processing and training, especially in scenarios with high-dimensional data.

#### 417 4. Results and Discussion

418 In this section, the proposed DMN is predicted the PM concentration 2.5 and 10 and  
 419 the performances are evaluated and compared with existing schemes like HGNN, INNGNN,  
 420 CEEMD-LSTM in terms of RMSE, Coefficient of Determinations ( $R^2$ ), and Mean Absolute  
 421 Error (MAE). In the experimental setup, the hyperparameters of the model are set as follows:  
 422 The training procedure consists of 1,000 iterations, each utilizing a batch size of 128 and a  
 423 rejection rate of 0.1. The architecture incorporates GRU (Gated Recurrent Unit) layers with  
 424 64 hidden units, while the input data comprises sequences of eight long-term historical data  
 425 points. Training is conducted using the Adam optimizer, with the Mean Square Error (MSE)  
 426 serving as the designated loss function. The primary objective is to minimize the MSE across  
 427 the training iterations, thereby optimizing the predictive performance of the model.

428 The overall performance of proposed scheme in terms of RMSE, MAE and  $R^2$  are depicted in  
 429 table 2. It shows the performance numeric evaluation and compared with existing schemes  
 430 numeric values. Its show the proposed DMN attained better performance results compared  
 431 than exiting schemes. The proposed DMN models are relatively easier to train and tune  
 432 compared to complex convolutional architectures like HGNN, INNGNN, CEEMD-LSTM.  
 433 DMNs may offer advantages in terms of flexibility, efficiency in parameter learning,  
 434 interpretability, and scalability, especially for tasks like PM concentration prediction. DMNs,  
 435 with their maxout activation functions, offer flexibility in capturing complex non-linear  
 436 relationships in the data. This flexibility allows DMNs to adapt well to various types of data  
 437 and tasks, including PM concentration prediction. Due to this, the proposed DMN attained  
 438 high results compared than others.

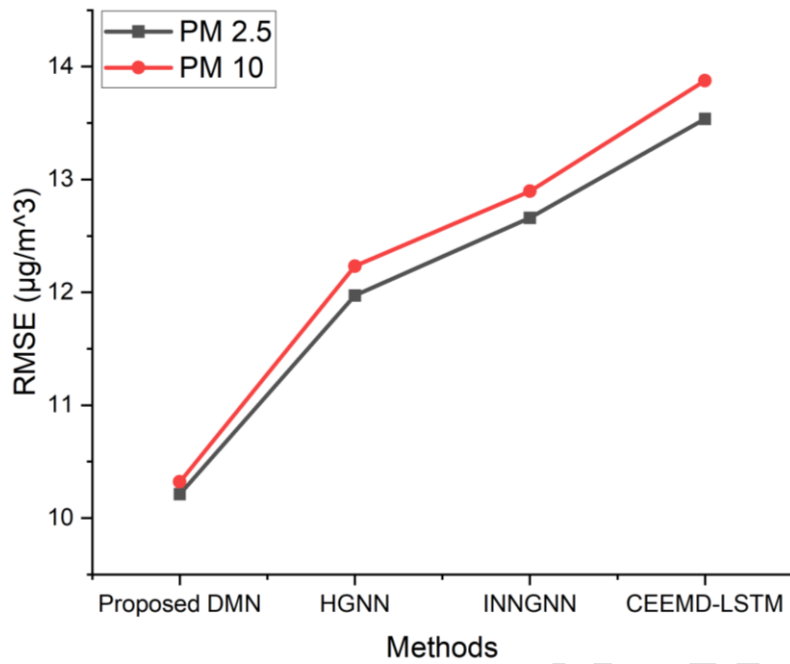
439 **Table 3.** Overall Performance Comparison among PM Concentration Prediction Schemes

Methods	RMSE ( $\mu\text{g}/\text{m}^3$ )		MAE( $\mu\text{g}/\text{m}^3$ )		$R^2$	
	PM 2.5	PM 10	PM 2.5	PM 10	PM 2.5	PM 10
Proposed DMN	10.211	10.321	5.641	5.764	0.976	0.945
HGNN	11.971	12.232	6.938	7.24	0.828	0.834
INNGNN	12.659	12.896	7.296	7.542	0.762	0.745
CEEMD-LSTM	13.536	13.876	7.781	8.122	0.668	0.675

440

#### 441 4.1. RMSE Performance Comparison





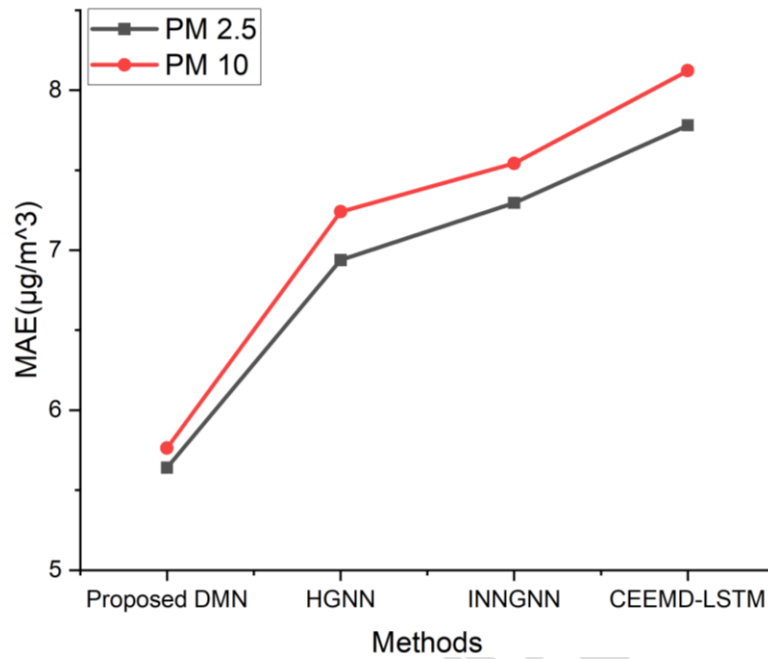
**Figure 5.** RMSE performance comparison among PM concentration schemes

Fig 5 shows the RMSE performance comparison among proposed DMN model and compared with existing PM concentration prediction schemes like CEEMD-LSTM, INNGNN, HGNN and Proposed DMN. Its shows the RMSE of proposed and existing schemes for PM2.5 and PM10, and the outputs show that the proposed scheme attained less RMSE compared than others. The proposed DMN is designed to handle sequential data with varying lengths and time lags. The proposed Deep Maxout Network (DMN) architecture, complemented by Polynomial and Spline Interpolation methods, offers a revolutionary solution for tackling challenges in Matter 2.5 and 10 concentration air monitoring systems. Through meticulous data collection and preprocessing, followed by feature extraction and selection using mRMR and feature clustering via BIRCH, the model optimizes the dataset for robust analysis. Leveraging its innovative design, the DMN adeptly captures complex patterns and relationships within the data, resulting in significantly improved accuracy. Evaluation using RMSE showcases the model's superiority, with PM2.5 and PM10 predictions exhibiting RMSE results of 10.2111  $\mu\text{g}/\text{m}^3$  and 10.321  $\mu\text{g}/\text{m}^3$ , respectively. These impressive results underscore the efficacy of the proposed approach in enhancing air quality measurements and informing environmental management decisions.

#### 4.2. MAE Performance Comparison

Fig 6 shows the MAE performance comparison among proposed DMN model and compared with existing PM concentration prediction schemes like CEEMD-LSTM, INNGNN, HGNN and Proposed DMN. Its shows the MAE of proposed and existing schemes for PM2.5 and PM10, and the outputs show that the proposed scheme attained less MAE compared than others. The proposed DMN architecture, augmented by Polynomial and Spline Interpolation methods, presents a transformative solution to address challenges in Matter 2.5 and 10 concentration air monitoring systems. Through meticulous data preprocessing, feature extraction, and clustering using mRMR and BIRCH, the model optimizes the dataset for accurate analysis. Leveraging its innovative design, the DMN effectively captures intricate patterns in the data, resulting in significantly improved predictions. Evaluation using Mean Absolute Error (MAE) reveals remarkable performance, with PM 2.5 and PM 10 predictions exhibiting MAE values of 5.641 and 5.764  $\mu\text{g}/\text{m}^3$ . These

473 outcomes underscore the robustness and effectiveness of the proposed approach in enhancing  
474 air quality measurements and facilitating informed decision-making in environmental  
475 management.

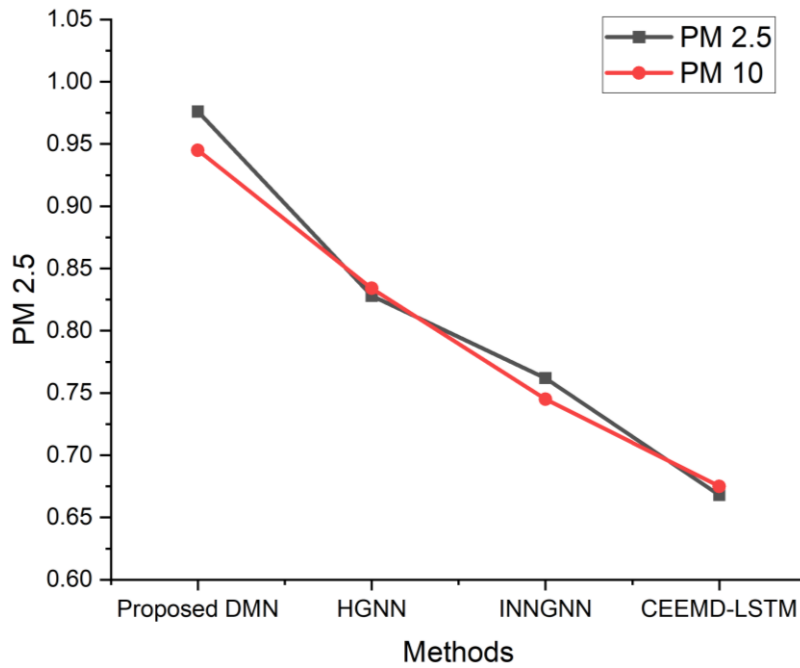


476

477 **Figure 6.** MAE performance comparison among PM concentration schemes

478 *4.3. R<sup>2</sup> Performance Comparison*

479 Fig 7 shows the  $R^2$  performance comparison among proposed DMN model and  
480 compared with existing PM concentration prediction schemes like CEEMD-LSTM,  
481 INNGNN, HGNN and Proposed DMN. Its shows the MAE of proposed and existing schemes  
482 for PM2.5 and PM10, and the outputs show that the proposed scheme attained high  $R^2$   
483 compared than others. The proposed DMN architecture, enriched with Polynomial and Spline  
484 Interpolation methods, presents a pioneering solution to address the complexities of Matter  
485 2.5 and 10 concentration air monitoring. By meticulously preprocessing data, extracting  
486 features, and employing clustering techniques like mRMR and BIRCH, the model optimizes  
487 dataset representation for accurate analysis. With its innovative design, the DMN adeptly  
488 captures intricate data patterns, resulting in exceptional predictive performance. The high  
489 coefficients of determination ( $R^2$ ) of 0.976 for PM 2.5 and 0.945 for PM 10 underscore the  
490 model's remarkable ability to explain variability in the data, affirming its effectiveness in  
491 enhancing air quality measurements and enabling informed decision-making in  
492 environmental management.



493

494

**Figure 7.**  $R^2$  Performance comparison among PM concentration schemes

495 The evaluation using key metrics demonstrates compelling results, with a RMSE of  
 496  $10.211\mu\text{g}/\text{m}^3$  for PM<sub>2.5</sub> and  $10.321\mu\text{g}/\text{m}^3$  for PM<sub>10</sub>, a MAE of  $5.641\mu\text{g}/\text{m}^3$  for PM<sub>2.5</sub> and  
 497  $5.764\mu\text{g}/\text{m}^3$  for PM<sub>10</sub>, and high  $R^2$  of 0.976 for PM<sub>2.5</sub> and 0.945 for PM<sub>10</sub>. These values  
 498 underscore the robustness and effectiveness of the proposed approach in enhancing air quality  
 499 measurements. Furthermore, they emphasize its potential to facilitate informed decision-  
 500 making in environmental management and advance public health initiatives.

## 501 5. Conclusion

502 This research presents a new model to address challenges in PM<sub>2.5</sub> and PM<sub>10</sub>  
 503 concentration air monitoring systems by proposing an innovative DMN architecture enhanced  
 504 with Polynomial and Spline Interpolation methods. By effectively handling discontinuities in  
 505 data sequences and smoothing transition fitting curves at interval junctions, the proposed  
 506 model generates an ideal dataset, thereby improving the robustness and accuracy of air  
 507 quality measurements. Through a systematic process of data collection, preprocessing, feature  
 508 extraction and selection using minimum redundancy maximum relevance (mRMR), and  
 509 clustering of similar features using the BIRCH scheme, the paper demonstrates the  
 510 effectiveness of the proposed approach in enhancing the reliability of PM<sub>2.5</sub> and PM<sub>10</sub>  
 511 concentration monitoring systems using Air Quality Data in India from Kaggle. Through  
 512 meticulous data preprocessing, feature extraction, and clustering techniques such as mRMR  
 513 and BIRCH, the model optimizes dataset representation for accurate analysis. Leveraging its  
 514 innovative design, the DMN effectively captures intricate data patterns, resulting in  
 515 exceptional predictive performance. Evaluation using key metrics reveals compelling results:  
 516 a Root Mean Square Error (RMSE) of  $10.211\mu\text{g}/\text{m}^3$  for PM<sub>2.5</sub> and  $10.321\mu\text{g}/\text{m}^3$  for PM<sub>10</sub>, a  
 517 Mean Absolute Error (MAE) of  $5.641\mu\text{g}/\text{m}^3$  for PM<sub>2.5</sub> and  $5.764\mu\text{g}/\text{m}^3$  for PM<sub>10</sub>, and high  
 518 coefficients of determination ( $R^2$ ) of 0.976 for PM<sub>2.5</sub> and 0.945 for PM<sub>10</sub>. These values  
 519 underscore the robustness and effectiveness of the proposed approach in enhancing air quality  
 520 measurements, facilitating informed decision-making in environmental management, and  
 521 advancing public health initiatives. Several avenues can be explored in future scope to  
 522 enhance the proposed methodology further and its practical implications for air quality  
 523 management and public health initiatives. Firstly, incorporating real-time data streams and

524 sensor fusion techniques could enhance the timeliness and accuracy of air quality  
525 measurements. Furthermore, extending the scope of the study to include additional pollutants  
526 and considering spatial-temporal variations could provide a more comprehensive  
527 understanding of air quality dynamics.

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