

1 **Estimation of changes in the Dead Sea surface water area through multiple**  
2 **water index algorithms and geospatial techniques**

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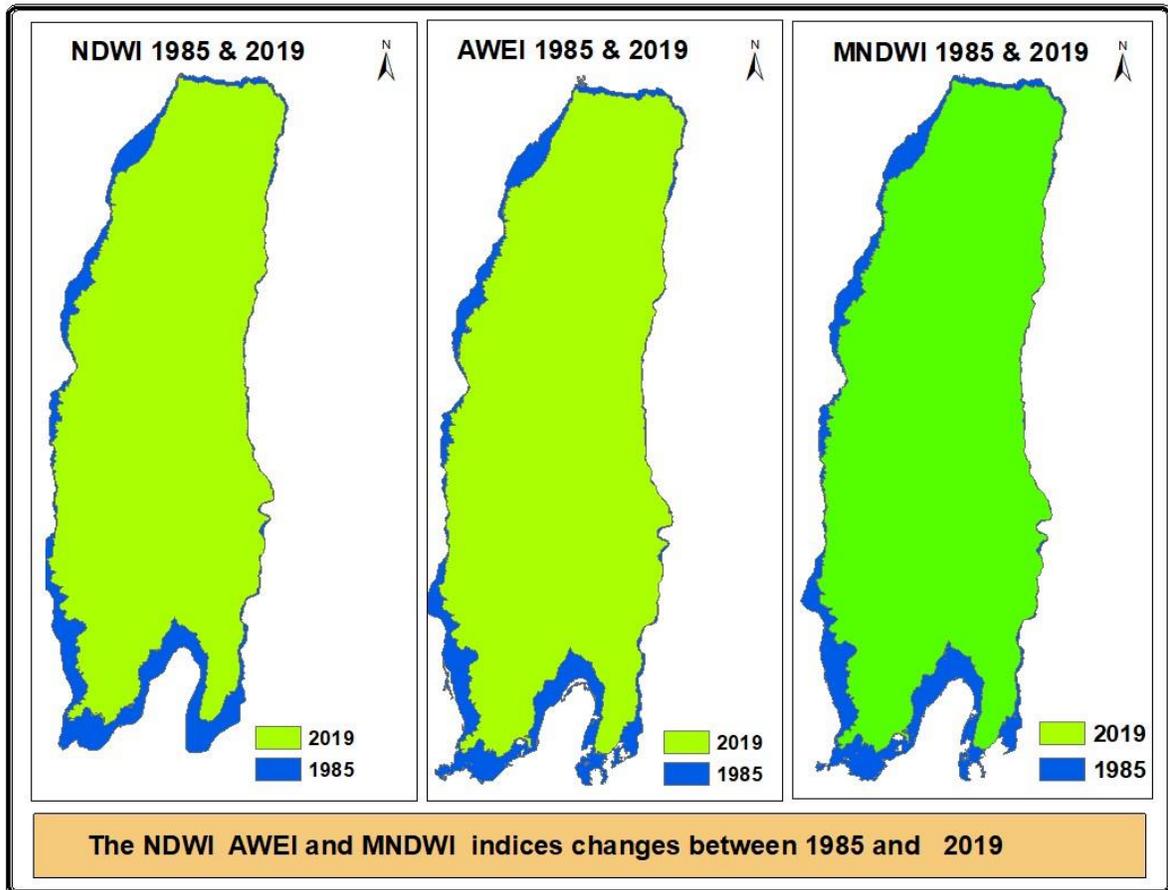
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22 **GRAPHICAL ABSTRACT**



23

24 **Abstract**

25 The study calculated the changes in the Dead Sea surface area from 1984 to 2019. The satellite  
26 images of 1985, 1990, 2000, 2010, and 2019 were classified by applying four different  
27 methods to estimate the changes in the Dead Sea surface water area. The methods included  
28 normalized difference water index (NDWI), modified normalized differences water index  
29 (MNDWI), automated water extraction Index (AWEI), and ISO cluster unsupervised  
30 classification. The results revealed a decrease of 76.63 sq. km area that accounts for an average  
31 of 11.27% sea area. The statistical model predicted that the Dead Sea surface area will shrink

32 by half within the next 143 years, and the sea will be completely dried by 2305 if appropriate  
33 measures are not taken by decision-makers to avoid further reduction of the surface area.

34 **Keywords**

35 Water indices, GIS, Geospatial, Dead Sea, Jordan.

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## 37 1. Introduction

38 Modern techniques of remote sensing and geographic information systems facilitate the  
39 detection and monitoring of water bodies in various regions of the world without making direct  
40 contact. The signature radiation of each object on the Earth's surface that distinguishes it from  
41 others is known as the reflectance band. Remote sensing and geographic information systems  
42 employ reflectance bands to remotely analyze the geographic components (Pekel *et al.*, 2016;  
43 Donchyts *et al.*, 2016; Xu, 2006; McFeeters, 1996). The Dead Sea that is located in western  
44 Jordan is of key importance. Seasonal monitoring of the Dead Sea is necessary to detect the  
45 changes or decline in its surface area that occurred in recent years. A high-saline lake is  
46 located along the Dead Sea transform fault system in the Rift Valley of Jordan (Nehorai *et al.*,  
47 2009; Yechieli *et al.*, 1998). This system separates Arabian and African Plates between  
48 Palestine, Jordan, and Israel. The Dead Sea is the lowest point on the Earth's surface (Nehorai  
49 *et al.*, 2009) that is 430 meters below sea level. The Dead Sea is small expanding to a length  
50 of about 52 km and width of 13 km. It is one of the most famous global natural landmarks and  
51 contains a high proportion of salts and minerals mainly including potassium, magnesium,  
52 chloride salts, and bromide. In addition to the Zarqa Ma'in watershed and wadi al-Mujib, the  
53 Jordan River is the main tributary of the Dead Sea. An extremely hot and dry desert climate  
54 of this region leads to a higher evaporation rate that significantly contributes to decreasing the  
55 sea surface area and increasing the salts concentration. Different factors critically contribute  
56 to the continuous decline of the Dead Sea surface area, mainly including 1) intensive water  
57 usage from Jordan River that is the most important tributary 2) Higher evaporation rates in the  
58 region, and 3) over-pumping of brine seawater into the salt ponds of Palestine, Jordan, and

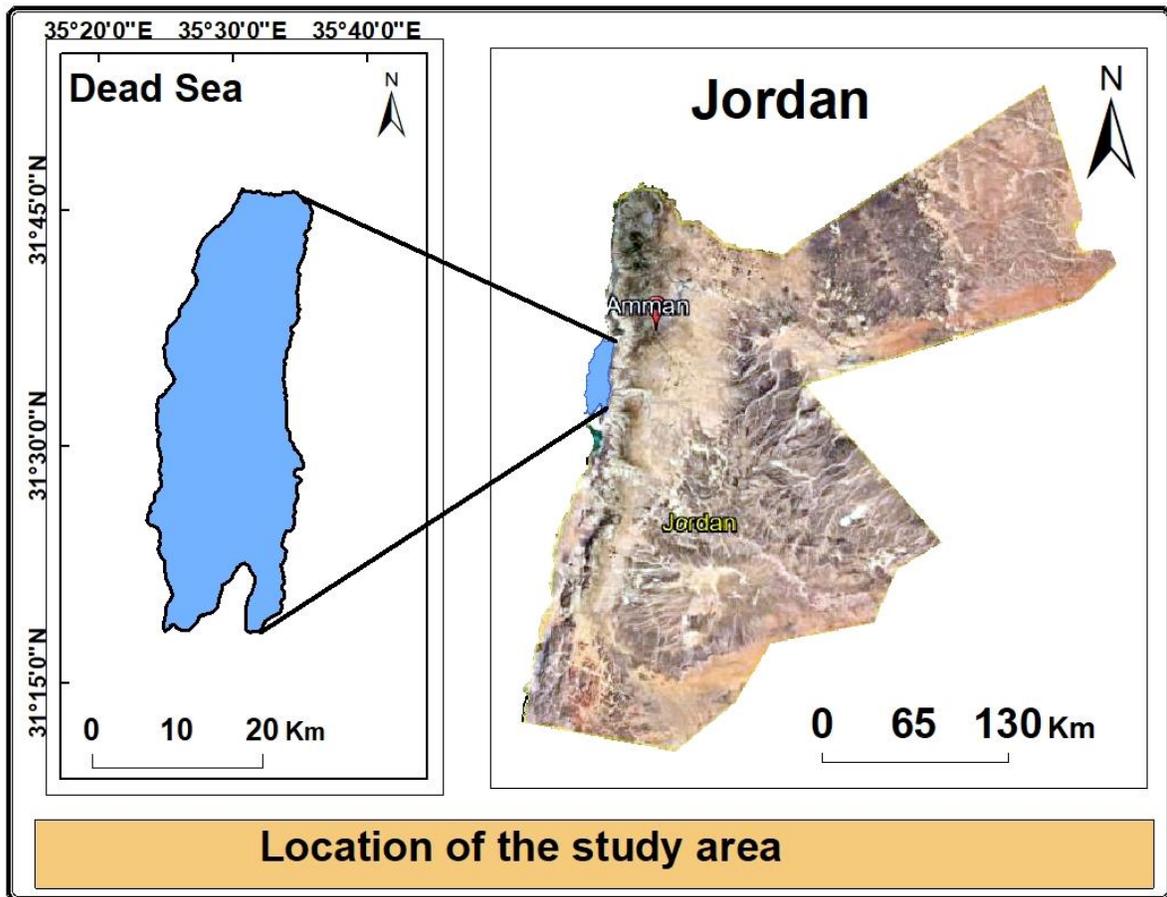
59 Israel for the extraction of salt and minerals such as potassium, bromine, magnesium chlorides,  
60 and other derivatives.

61 The factors such as the reduced precipitation in the nearby mountains, decreased  
62 groundwater level due to climate change, and tourist and industrial activities have further  
63 aggravated the situation. Several techniques have been followed previously to study the Dead  
64 Sea during different periods. Yechieli *et al.*, (1998), and Anati and Shasha (1989) estimated  
65 the decrease in the Dead Sea level at a rate of 80 cm/year, whereas Gertman and Hecht (2002)  
66 reported a diminishing rate of 60 cm/year. Lensky *et al.*, (2005) concluded a sea-level  
67 decreasing rate of 100 cm/year during the last decade. This study aims to verify the decline in  
68 the Dead Sea surface area during the study period. The study also applied remote sensing and  
69 geographic information systems to elaborate on the decreasing rate of sea surface area and  
70 water level during the last four decades.

71 Many external factors have an effect on to water bodies, wherever the extracted spectral  
72 features may well be a mix of water and different categories like built-up lands distortion. In  
73 2006, Xu proposed a modified normalized difference water index (mNDWI) according NDWI,  
74 and made the amendment by substitution the NIR band with the shortwave-infrared (SWIR)  
75 band, that helped to remove distortions from built-up lands. However, the best thresholds vary  
76 based on locations and time, that mean every index have may well be appropriate in some  
77 cases however, it isn't appropriate for different cases. Therefore, the indices were used in this  
78 study based on the spectral features used in Landsat 8 and conditions of the study area (Xu.  
79 2006, Zhou *et al.*, 2017). Furthermore, the performance of AWEI, NDWI, NDVI, and MNDWI  
80 water indicators to detect the changes in the Dead Sea area during certain periods was also  
81 evaluated.

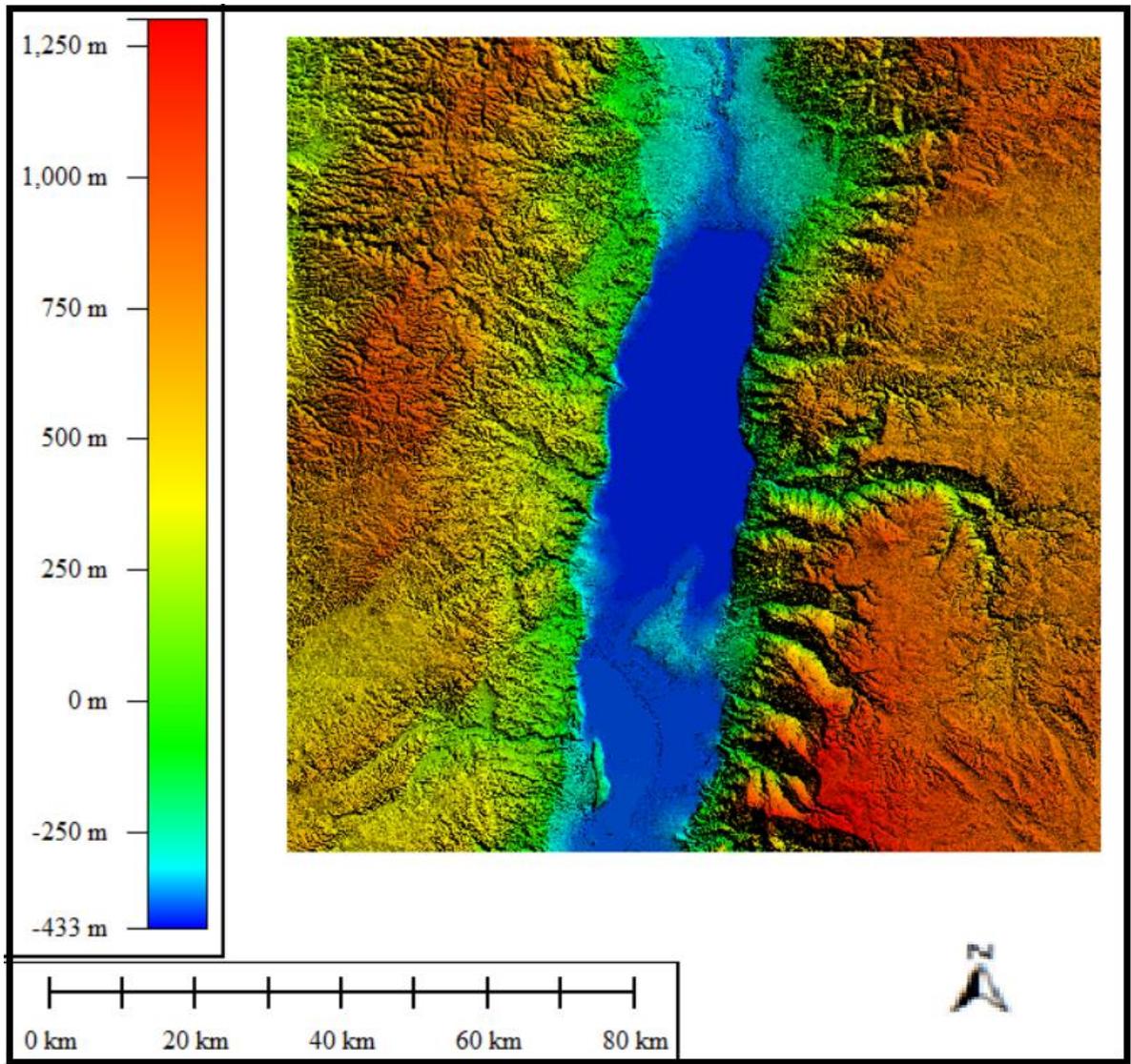
82 **2. Study Area**

83 The study area is located between Jordan, Israel, and Palestine. It is located approximately  
84 55 km southwest of the capital, Amman, and approximately 24 kilometers east of Jerusalem.  
85 The area covers parts of the Madaba, Balqa, and Karak governorates in Jordan. The total area  
86 is about 601 sq. km with the following coordinates: latitudes  $31^{\circ}17' 42''\text{N}$  and  $31^{\circ}46' 96''\text{N}$   
87 and longitudes  $35^{\circ}23' 79''\text{E}$  and  $35^{\circ}36'0.07''\text{E}$  (Figure 1).



89 **Figure 1.** The map of Jordan presenting the location of the Dead Sea.

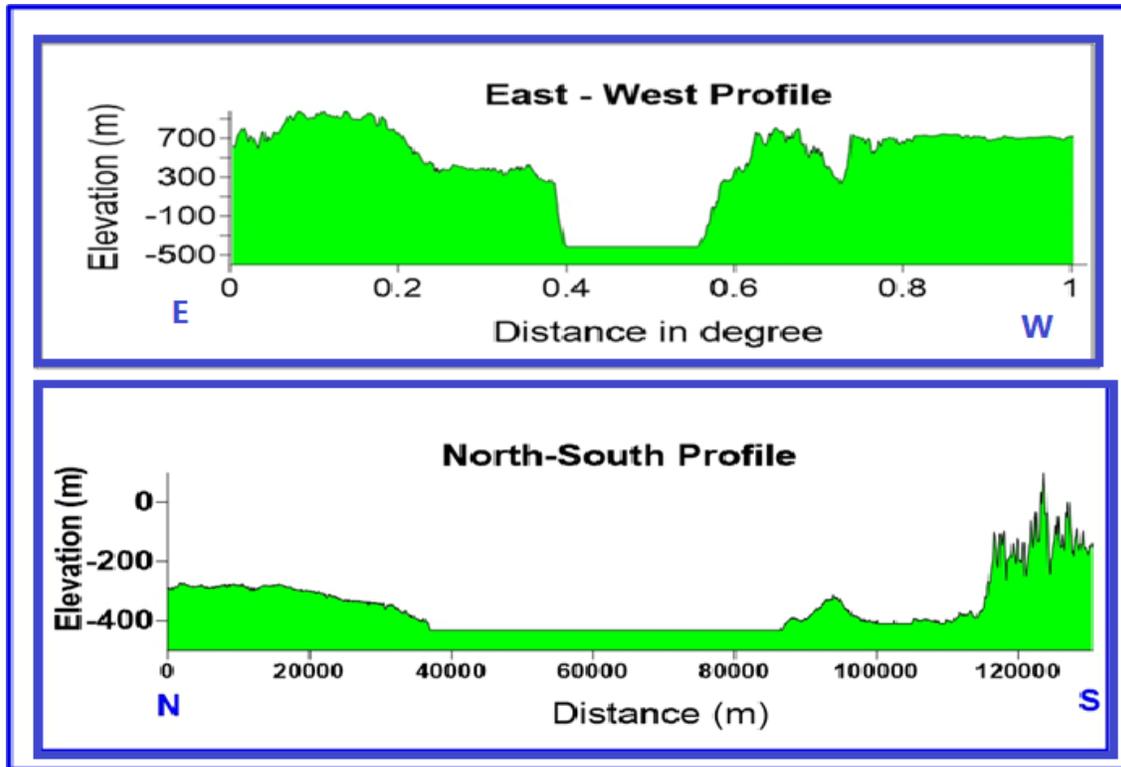
90 Generally, altitudes in the eastern and western parts of the study area are high. Figures  
91 2 and 3 present a 3D model view of altitudes, and east-west and north-south cross-sections of  
92 the Dead Sea area, respectively.



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**figure 2.** 3D-model view of the study area.



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**Figure 3.** Topographic E – W and N - S profiles of the study area.

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The Dead Sea area is characterized by dry air and sunny skies throughout the year. The region receives an average rainfall of 50 mm/annum whereas the yearly average temperature ranges between 32°C to 39°C in summer and 20 to 23°C in winter. The average percentage of humidity in the area is quite low (35%) (Al-Mashagbah and Al-Farajat, 2013). The Dead Sea region is among the key tourist areas for environmental physiotherapy. A combination of distinguished natural factors place this area in a competitive position for medical and clinical tourism, and environmental physiotherapy. The unique moisture-free climatic properties and sulfuric springs are known to cure various skin diseases. The World Health Organization recognized this area as a global center in 2011 for treating different skin diseases.

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107

### 108 **3. Experimental Methods**

#### 109 ***3.1 Image Pre-Processing***

110 ArcMap software was used for the pre-processing of Landsat images. The images  
111 downloaded from the “Earth Explorer website” were re-projected using Jordan Transverse  
112 Mercator (JTM) in the unit of meter to calculate study area in each year. In other hand decimal  
113 degree projection was used to display the location of study area as shown in Figure 1.  
114 Georeferencing of image data was carried out using georeferencing toolbar whereas the study  
115 area polygon shapefile served as a reference layer. Five multispectral satellite images were  
116 downloaded from the United States Geological Survey (USGS) Earth Explorer website  
117 (<https://earthexplorer.usgs.gov>). The images were acquired on Aug 21, 1985; Aug 3, 1990;  
118 Aug 25, 2000; Sep 6, 2010; and Aug 6, 2019, during the dry summer (with minimum cloud  
119 cover) to detect the changes in the Dead Sea surface area. The first and second images  
120 represent Landsat TM, the third and fourth images represent Landsat ETM+, and the fifth is  
121 from the Landsat 8 OLI imagery for path 174, row 38, covering the Dead Sea area. Image  
122 processing was carried out using ArcGIS 10.4 image analyst extension. Landsat data obtained  
123 from the US Geological Survey has already been orthorectified and georegistered, therefore,  
124 these steps were excluded.

#### 125 ***3.2 Water Indices***

126 These are the mathematical models to distinguish the contact line between the water  
127 masses and adjacent land. These models facilitate accurate defining of the shoreline in multi-  
128 time-varying images (Xu, 2006; Mcfeeters, 1996). Two or more spectral bands in the satellite  
129 image are often used to apply these mathematical models (Ji *et al.*, 2009).

130

131 **3.2.1 Normalized Difference Water Index (NDWI)**

132 The normalized difference water index (NDWI) is a new method that defines the  
133 features of open water and enhances its presence and visibility in remotely sensed digital  
134 images (Li *et al.*, 2013; Gao, 1996). NDWI method uses reflected radiation in the visible green  
135 light and near-infrared bands as shown in equation 1 (Mcfeeters, 1996):

136 
$$\text{NDWI} = (\text{Green} - \text{NIR}) / (\text{Green} + \text{NIR}) \quad (1)$$

137 Where NIR is Near-infrared,  
138 NDWI value ranges from -1.0 to 1.0. The positive values represent water area whereas the  
139 negative values represent non-water lands (Mcfeeters, 1996).

140 **3.2.2 Modified Normalized Differences Water Index (MNDWI)**

141 The MNDWI technique is almost similar to NDWI with only one exception of using a  
142 middle infrared band instead of a near-infrared band. This is simply a masking procedure that  
143 separates the land. Primarily, it is used for the removal of built-up land noise. In the MNDWI  
144 method, the water areas possess higher pixel values as compared to urban or vegetation areas  
145 with a lower pixel value. Therefore, it delineates the water class from other classes. This  
146 method can also efficiently eliminate shadow noise from the water data without involving  
147 advanced procedures (Han, 2005). The replacement of near-infrared band in NDWI with  
148 visible green light and middle infrared bands (MIR) in MNDWI occurs as shown in equation 2  
149 (Xu & H.Q., 2006):

150 
$$\text{MNDWI} = (\text{Green} - \text{MIR}) / (\text{Green} + \text{MIR}) \quad (2)$$

151 **3.2.3 Automated Water Extraction Index) AWEI)**

152 The automated water extraction Index (AWEI) is the most important method to assess  
153 the changes in the water area of water bodies. This index locates water with high precision,  
154 particularly in the mountainous areas with deep terrain where shadow causes the main

155 classification error. AWEI provides accurate classification in areas where other classification  
156 methods could not correctly identify the features either due to dark surfaces or shadows (Feyisa  
157 *et al.*, 2014). AWEI distinguishes between the pixels of water bodies and other lands for  
158 identifying agricultural and urban areas, and other classes present in the satellite images  
159 (Feyisa *et al.*, 2014). AWEI uses the reflectance of the Short-wave infrared (SWIR), near-  
160 infrared, visible green, and visible blue light bands as shown in equations 3 and 4 (Feyisa *et*  
161 *al.*, 2014):

$$162 \text{ AWEIsh} = \text{Blue} + 2.5 \times \text{Green} - 1.5 \times (\text{NIR} + \text{SWIR1}) - 0.25 \times \text{SWIR2} \quad (3)$$

$$163 \text{ AWEInSh} = 4 \times (\text{Green} - \text{SWIR1}) - (0.25 \times \text{NIR} + 2.75 \times \text{SWIR2}) \quad (4)$$

#### 164 165 **3.2.4 ISO Cluster Unsupervised Classification**

166 Iso clustering is a simple and best-known unsupervised machine algorithm. It identifies  
167 the number of classes (x) and based on the spectral similarity dispenses each data pixel to the  
168 closest cluster while keeping the classes as small as is acceptable (Lillesand, *et al.*, 2008).

169 Iso Cluster technique or migrating means technique employs a modified iterative optimization  
170 clustering procedure. This technique automatically finds clusters and several classes in an  
171 image to yield a classified image empirically specified by the classifier based on the land cover  
172 of the study area. Iso Cluster unsupervised classification extension in the ArcGIS 10.4.1  
173 software was used to classify the AWEI, NDWI, and MNDWI images into different classes.  
174 Subsequently, depending on the threshold value of the water indices, each image was  
175 converted only into two classes (water and non-water) for studying the changes in water cover  
176 during the last four decades.

177 Initially, the water indices were extracted from the images. Depending on the threshold  
178 values of the indices, the unsupervised post-classification methods were applied to separate

179 the water from non-water areas. The derived water indices were used to produce a classified  
180 map to determine the changes. Later on, the raster image was converted into a vector-based  
181 file containing a polygon having an attribute value equal to one (to represent water) or zero (to  
182 represent non-water areas). During the next step, the vector map was converted to a separate  
183 shapefile to represent and calculate the Dead Sea polygon area, and the loss of water area over  
184 the last 35 years.

### 185 **3.3 Accuracy Assessment**

186 The accuracy verification of digitally classified water index images is necessary. The  
187 verification is carried out by counting the errors in the maps and comparing the classified map  
188 data with the corresponding Google Earth reference map. To assess the accuracy of the  
189 classification and extraction of water areas in the study area, 150 random samples of different  
190 locations in the study area were selected for each image using the ArcMap software. These  
191 random points were converted to Keyhole Markup Language (KML) format and opened in the  
192 high-spatial-resolution Google Earth software to match the classification samples with the real  
193 information. The Kappa coefficient and overall accuracy indicators were applied to evaluate  
194 the accuracy of different water index maps (Ibrahim, 2016). The Kappa coefficient and overall  
195 accuracy indicators were calculated as shown in equations 5 and 6:

$$196 \quad \text{Overall accuracy (OA)} = (NW + TW) / N \quad (5)$$

$$197 \quad \text{Kappa coefficient} = (N * (NW + TW) - F) / (N^2 - F) \quad (6)$$

198 Where:

199 N is the number of random points;

200 TW is the number of correct water pixels;

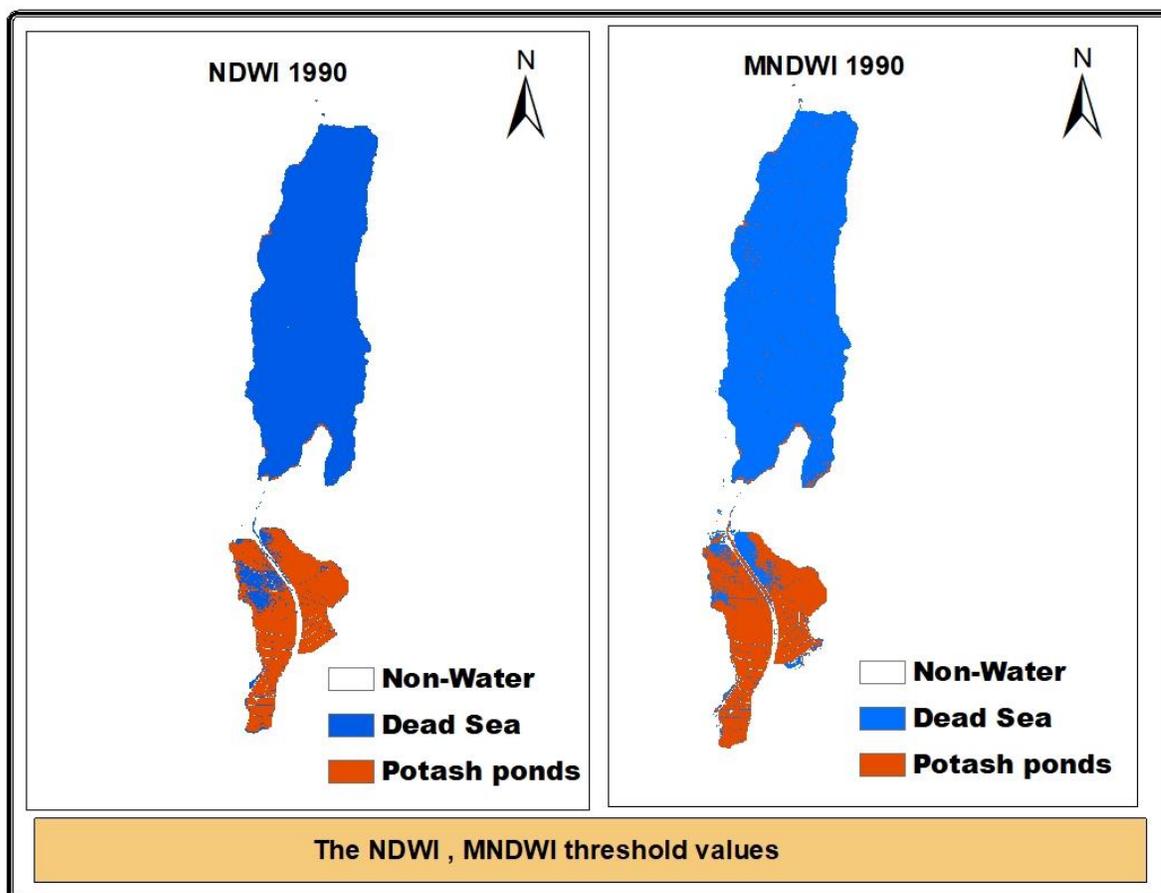
201 UW is the number of undetected water pixels;

202 IW is the number of incorrect water pixels;  
203 NW is the number of correctly rejected non-water pixels; and  
204 F is  $(UW + TW) / (IW + TW) + (IW + NW) / (UW + NW)$

#### 205 **4. Results and discussion**

206 This study employs a geographic information system and remote sensing satellite imagery  
207 to confirm the changes in the Dead Sea surface area. Four different methods were applied to  
208 differentiate among the satellite images of the Dead Sea surface areas captured in 1985, 1990,  
209 2000, 2010, and 2019. These methods included normalized difference water index (NDWI),  
210 modified normalized differences water index (MNDWI), automated water extraction Index  
211 (AWEI), and Iso Cluster unsupervised classification. During the study, water and land areas  
212 were separated by using a threshold value. The water index images revealed fluctuating and  
213 variable threshold values according to the type of water indices and time intervals (Komeil *et*  
214 *al.*, 2014).

215 The water pixels are known to have positive values whereas other land cover areas exhibit  
216 zero or lower values (Ji *et al.*, 2009). The pixel water area was noted to have much higher  
217 NDWI, MNDWI, and AWEI threshold values as compared to surrounding land cover types.  
218 The NDWI and MNDWI based water threshold values were observed to be generally greater  
219 than 0.3, which further increased with the rise in water salinity. This is evident from the  
220 threshold values in the shallow brine intake water station area in the south of the Dead Sea on  
221 the Lisan peninsula belonging to the Jordanian Arab Potash Company (Figure 4).



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**Figure 4.** Threshold values for NDWI and MNDWI water indices 1990

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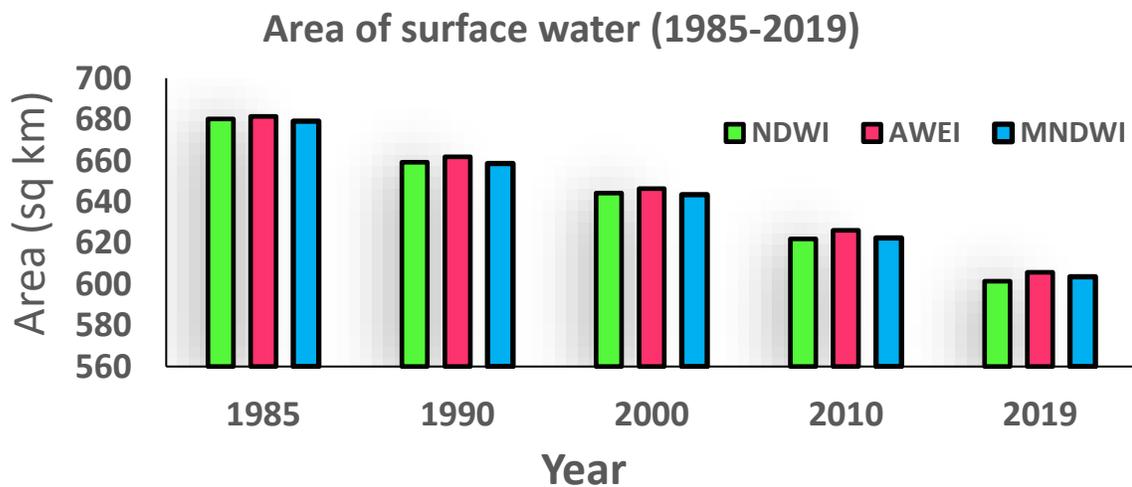
The areas calculated from the Dead Sea satellite image captured in 1985 using MNDWI, AWEI, and NDWI indicators were noted as 679 sq. km, 681.41 sq. km, and 680.12 sq. km, respectively, having an average of 680.19 sq. km. MNDWI, AWEI, and NDWI indicators estimated the areas from the image captured in 1990 as 658.53 sq. km, 661.89 sq. km, and 659.19 sq. km, respectively, having an average of 659.87 sq. km. The classification of satellite images captured in 2000 presented the surface water areas as 643.37 sq. km, 646.42 sq. km, and 644.23 sq. km, respectively, with an average of 644.67 sq. km. The areas calculated from the satellite image of 2010 were equal to 622.43 sq. km, 626.08 sq. km, and 621.90 sq.

233 km, respectively, with an average of 644.67 sq. km. The final satellite image of 2019 revealed  
 234 that the Dead Sea surface areas were reduced to 603.57 sq. km, 605.73 sq. km, and 601.37 sq.  
 235 km, respectively, having an average of 603.56 sq. km. These results are presented in Table 1  
 236 and Figure 5.

237 **Table 1:** Summary of surface water changes from 1985- 2019 based on different indices.

Year	NDWI Area (km <sup>2</sup> )	MNDWI Area (km <sup>2</sup> )	AWEI Area (km <sup>2</sup> )	Average Area (km <sup>2</sup> )
1985	680.12	679.04	681.41	680.19
1990	659.19	658.43	661.89	659.87
2000	644.23	643.37	646.42	644.67
2010	621.90	622.43	626.08	623.47
2019	603.37	603.57	605.73	604.22
Total	-76.75	-75.47	-75.68	75.97
% change	-11.28	-11.11	-11.11	-11.17

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**Figure 5.** Comparison of changes in surface water area from 1985 to 2019.

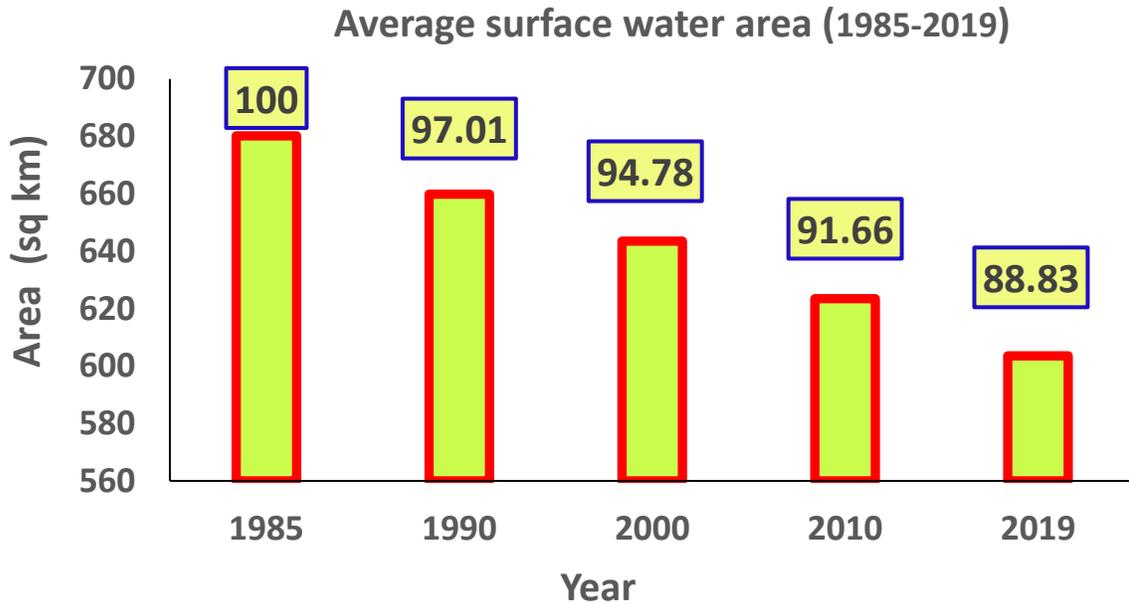
241 The Dead Sea surface area cover significantly reduced during the mentioned years.  
 242 Table 2 depicts the decrease in surface water area by 2.99% (20.32 sq. km), 2.30% (15.2 sq.  
 243 km), 3.29% (21.2 sq. km), and 3.09% (19.25 sq. km) during 1985–1990, 1990–2000, 2000–  
 244 2010, and 2010–2019, respectively. A significant reduction (76.63 sq. km) in the Dead Sea  
 245 surface water area has occurred during the last 34 years that accounts for an average of 11.27%  
 246 of the total sea area, as shown in Table 2 and Figure 6.

247 **Table 2:** Percent decrease in Dead Sea areas from 1985 to 2019.

Year	Average Area (sq.km)	% Area	% Change	% Decrease
1985	680.19	100	100	0
1990	659.87	97.01	-2.99	-2.99
2000	644.67	94.78	-5.22	-2.30
2010	623.47	91.66	-8.34	-3.29
2019	604.22	88.83	-11.17	-3.09
Total change	75.97			

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**Figure 6.** Changes in the Dead Sea Surface area from 1985 to 2019.

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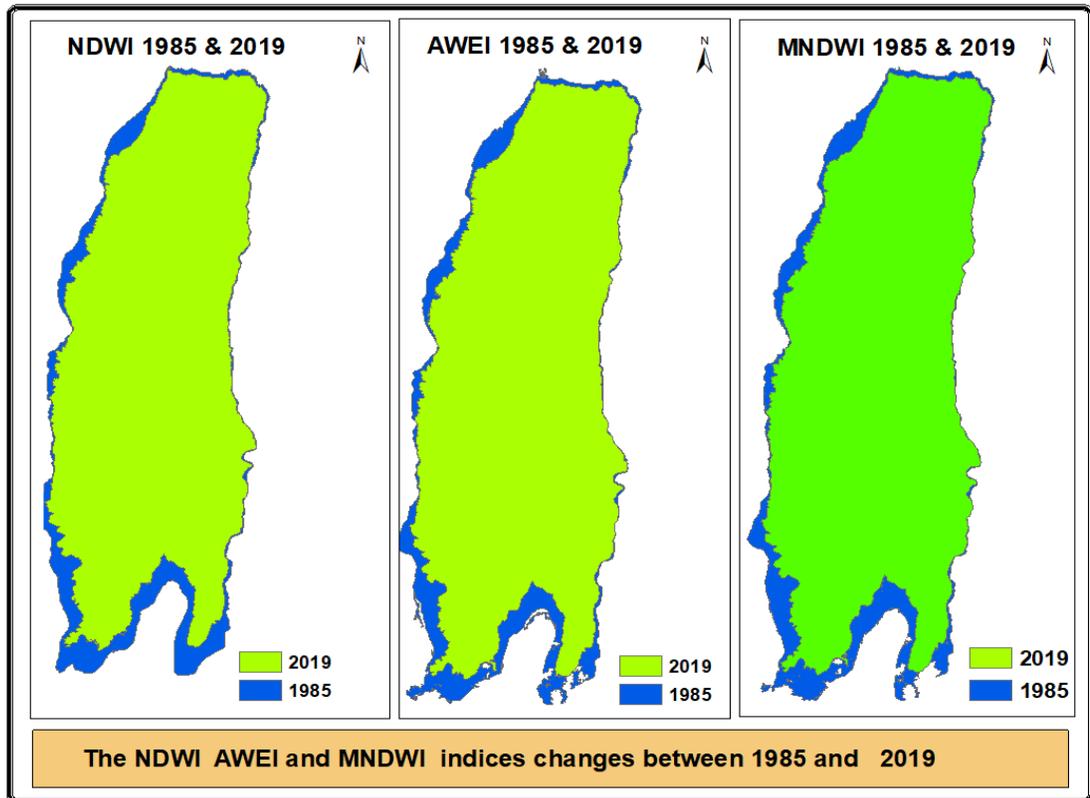
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Figure 7 illustrates the spatial changes in the Dead Sea seawater area from 1985 to 2019. It shows an overlay of the 2019 vector on top of the 1985 spatial distribution vector of the Dead Sea surface water. Based on the results, it is noteworthy that these changes mainly occurred on the southern and western sides of the Dead Sea.

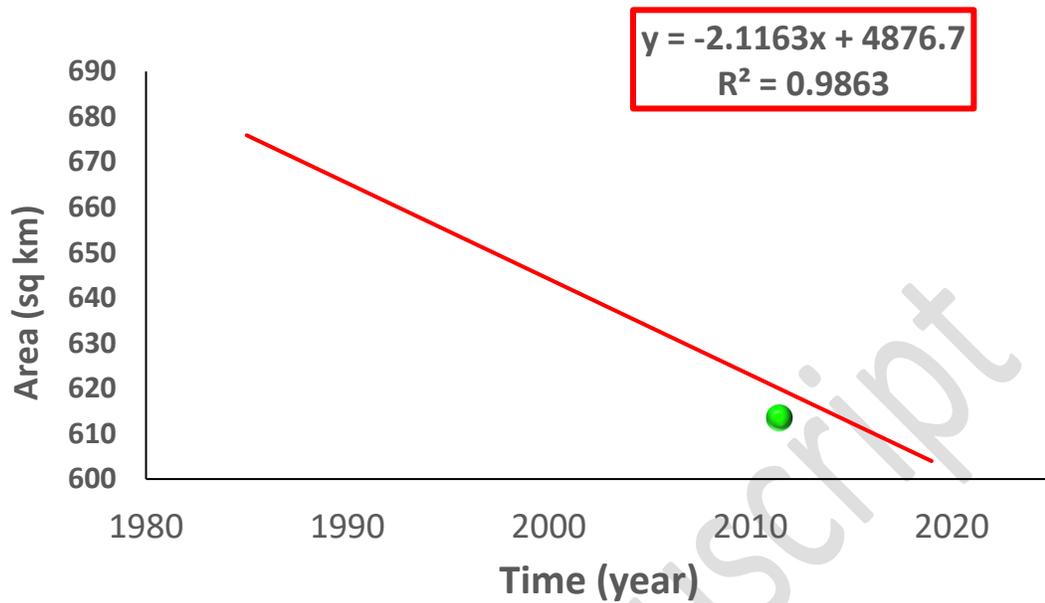


257

258 **Figure 7.** The seawater changes were based on the NDWI, AWEI, and MNDWI indices  
 259 between 1985 and 2019.

260

261 The rate of decreasing the Dead Sea water area was found to be about 2.25 sq. km per  
 262 year. Mathematical models further predicted future sea surface changes (Figure 8). Available  
 263 data and mathematical models demonstrated the depletion and complete drying of the Dead  
 264 Sea in the next 280 years if practical solutions are not implemented.

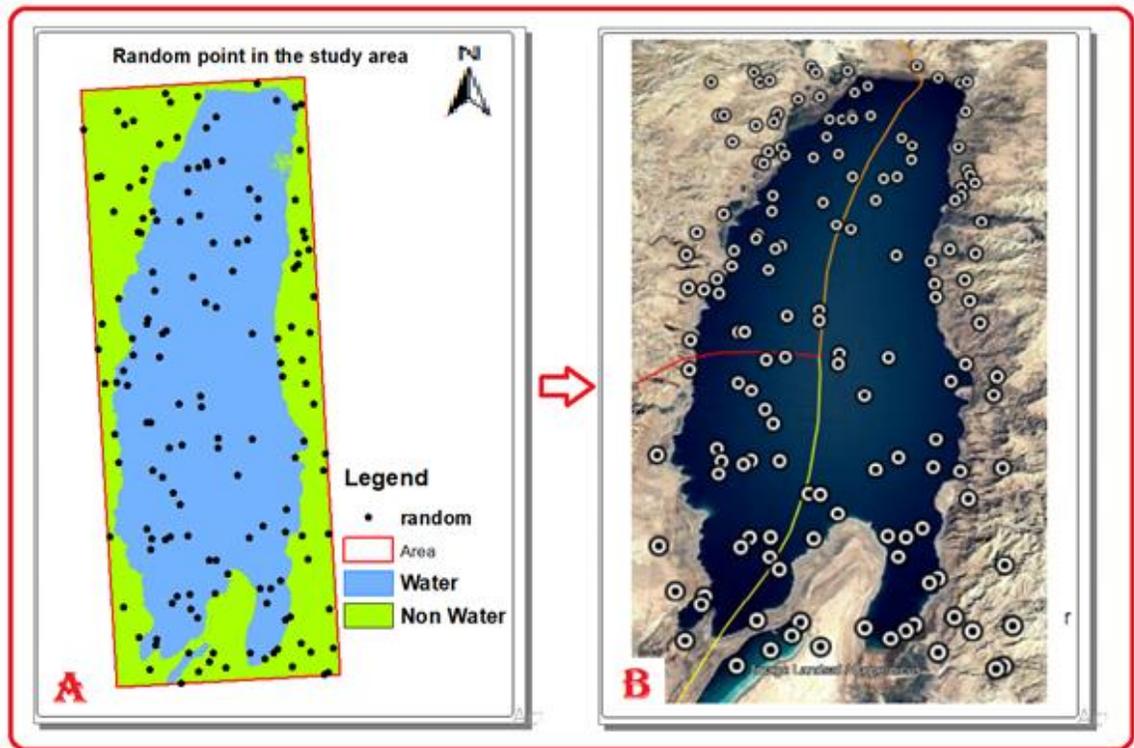


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266 **Figure 8.** A statistical model predicting the future changes in the Dead Sea area.

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268 The accuracy of classification and extraction of water areas was evaluated by randomly  
 269 selecting 150 samples of different locations from each satellite image using the ArcMap  
 270 software. These random points were converted to KML format and opened in the high-spatial-  
 271 resolution Google Earth software to ensure that some of the classification samples match the  
 272 reality (Figure 9). The overall accuracies of the classified water index images ranged from  
 273 98.67% to 100% whereas the Kappa coefficient indicator values remained as 0.97 to 1.0 (Table  
 274 3). The insignificant error in overall accuracy and Kappa coefficient was caused by the  
 275 difference in dates between the satellite images and the reference Google Earth images (up to  
 276 four months in some images). Therefore, in some cases, it led to the classification of water  
 277 values as non-water or vice versa as marginal points between water and non-water pixels. It  
 278 could have been correct and identical if the two images were taken simultaneously.



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281

**Figure 9.** Random points in ArcMap (A) and Google Earth (B).

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**Table 3.** The overall accuracy and Kappa Coefficient of the classified images.

Year	NDWI		MNDWI		AWEI	
	OA	Kappa	OA	Kappa	OA	Kappa
1985	99.34	0.99	100	1	99.35	0.98
1990	100	1	98.67	0.97	100	1
2000	99.33	0.98	100	1	100	1
2010	100	1	99.35	0.98	99.33	0.98
2019	100	1	100	1	100	1

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285

286 **5. Conclusion**

287 This study applied geographic information systems and remote sensing techniques to assess  
288 the changes in the Dead Sea surface area, in Western Jordan over the past 35 years. Four water  
289 index based algorithms were followed to recognize the differences in the Dead Sea surface  
290 area at different intervals. Normalized difference water index (NDWI), modified normalized  
291 differences water index (MNDWI), automated water extraction Index (AWEI), and ISO  
292 Cluster unsupervised classification methods analyzed the satellite images captured in 1985,  
293 1990, 2000, 2010, and 2019. The results helped in conceiving some valuable conclusions. The  
294 most important finding was that the surface area of the Dead Sea has decreased by 76.63 sq.  
295 km during the last 35 years that is approximately 11.27% of the total sea area. The rate of the  
296 annual decrease of the water surface area was calculated as 2.25 sq. km. A statistical model  
297 was also designed to predict the future status of the Dead Sea, which projected the shrinkage  
298 of the Dead Sea surface area by half within the next 143 years. The model further revealed that  
299 and the Dead Sea will completely dry around 2305 if necessary actions are not taken. The  
300 threshold values were utilized to distinguish water from non-water areas that varied with time  
301 and type of water indices used for the calculations.

302 The overall classification accuracy of the captured images during 1985, 1990, 2000, 2010,  
303 and 2019 ranged from 98.67% to 97.53%, which reveals a high validity of the results.  
304 Generally, the threshold values of NDWI and MNDWI for the water area were noted to be  
305 greater than 0.3, which further increased with the rise in water salinity. MNDWI, AWEI, and  
306 NDWI indicators predicted very close surface areas of the Dead Sea with only negligible  
307 differences. The results also revealed that most of the decline in the sea surface area occurred  
308 on the southern and western sides of the Dead Sea. The study further demonstrated that the

309 mapping of the Landsat TM, ETM, and OLI high-resolution satellite images utilizing remote  
310 sensing-based water indices and unsupervised classification techniques is very effective to  
311 identify the changes in surface water area over longer periods and provides accurate  
312 information for the decision-makers, which is matching with results that it was concluded by  
313 Zhou et al 2017, where is found that the water indices had reasonable good performances in  
314 open surface water body mapping (Herndon et al., 2020, Zhou et al., 2017). In addition, this  
315 results shown that the rates of declining sea area were found to be alarming that require serious  
316 and swift actions to save the Dead Sea.

317

## 318 **6. Recommendations**

319 The construction of the Bahrain Canal project is the most expeditious solution that can  
320 transfer sufficient water to the Dead Sea. This project depends on a channel to link the Dead  
321 Sea and the Red Sea (Gulf of Aqaba) or the Mediterranean Sea, however, there is a difference  
322 of approximately 400 meters as the Dead Sea is the lowest area on the surface of the earth  
323 below sea level. The rapid decline in the Dead Sea surface could be compensated through this  
324 project and it can further facilitate the construction of a power generation plant and a  
325 desalination water plant to benefit the Dead Sea countries. The shrinking of the Dead Sea  
326 surface area has resulted in environmental problems in that region such as increased salinity  
327 in Dead Sea water, decreased groundwater levels, and the formation of excavation. These  
328 issues are affecting the lives of local inhabitants of the region. The establishment of a  
329 desalination plant for the Red Sea water can be another possible solution. The freshwater from  
330 this plant can be channeled into the Dead Sea to save it from drought. Building a full  
331 geographic information system for the Dead Sea region is important as it will help to timely

332 estimate the changes and decision-making. The data of this GIS system must also be updated  
333 regularly.

334

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### Figure Captions

394 **Figure 1.** The map of Jordan presenting the location of the Dead Sea.

395 **Figure 2.** 3D-model view of the study area.

396 **Figure 3.** Topographic E – W and N - S profiles of the study area.

397 **Figure 4.** Threshold values for NDWI and MNDWI water indices 1990 (Blue color represents  
398 the Dead Sea; Green color represents Potash ponds).

399 **Figure 5.** Comparison of changes in surface water area from 1985 to 2019.

400 **Figure 6.** Changes in the Dead Sea Surface area from 1985 to 2019.

401 **Figure 7.** The seawater changes were based on the NDWI, AWEI, and MNDWI indices  
402 between 1985 and 2019.

403 **Figure 8.** A statistical model predicting the future changes in the Dead Sea area.

404 **Figure 9.** Random points in ArcMap (A) and Google Earth (B).

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### Table Tittles

407 **Table 1:** Summary of surface water changes from 1985- 2019 based on different indices.

408 **Table 2:** Percent decrease in Dead Sea areas from 1985 to 2019.

409 **Table 3.** The overall accuracy and Kappa Coefficient of the classified images.

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